AO 10
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
NEWMAN, PAULINE	U.S. Ct. of Ap. for Fed. Cir.	05/13/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
Circuit Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2011 to 12/31/2011

7. Chambers or Office Address

717 Madison Place, N.W.
Washington, D.C. 20439

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory & Review Committee	Advanced Science & Technology Adjudication Project
2.	Advisory Committee	George Mason Univ. School of Law, Law & Economics Center
3.	Trustee	Trusts (Trust #1, Trust #2)
4.	Advisory Committee	Global Innovation Forum
5.	Director	Judicial Leadership Development Conference Group

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Pension, FMC Corporation, earned before entry on duty	$35,100.00
2. 2011	Royalty, Thomson Reuters Publishing Company, textbook	$1,730.25
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	New York University School of Law	March 21-24, 2011	New York, New York	Conference	Lodging and Food
2.	New York Intellectual Property Law Association - 89th Annual Conference	March 25, 2011	New York, New York	Annual Conference	Travel, Lodging and Food
3.	Fordham University Law School	April 27 -30, 2011	New York, New York	Conference	Travel, Lodging and Food
4.	Intellectual Property Owners Education Foundation	May 22-26, 2011	Brussells, Belgium	Conference	Travel, Lodging and Food
5.	2011 New Jersey Intellectual Property Law Association	June 3, 2011	Short Hills, New Jersey	Conference	Lodging and Food

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6. New Hampshire University Law School	September 30, 2011	Concord, New Hampshire	Conference	Lodging and Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. T.Rowe Price Mut.Funds (New Horizon; Div. Gr. Sci&Tech.Fund)	A	Dividend	K	T					
2. PNC Bank Accounts	B	Interest	N	T					
3. First Trust Municipal Bond Fund (Oppenheimer)	B	Interest	K	T					
4. First Trust Municipal Bond Fund (Oppenheimer)	B	Interest	J	T					
5. American Century Growth Mutual Fund	A	Dividend	L	T					
6. Oppenheimer Municipal Bond Fund	C	Interest	L	T					
7. American Capital Bond Fund (Trust #2)	A	Dividend	J	T					
8. Bedford Money Market Portfolio	A	Dividend	J	T					
9. Oklahoma Gas & Electric Co. (Common) (Trust #1)	A	Dividend	J	T					
10. Remington Oil & Gas Corp. (Trust #1)		None	J	T					
11. American Century Select Mutual Fund	A	Dividend	L	T					
12. Putnam Europe Mutual Rund	B	Dividend	L	T					
13. Franklin NY Mutual Fund	A	Dividend	K	T					
14. Putnam Health Science Mutual Fund	B	Dividend	L	T					
15. Nasdaq 100 Trust		None	K	T					
16. First Eagle Global Mutual Fund	A	Dividend	J	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ppp

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ PAULINE NEWMAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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