

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2005**

1. Person Reporting (last name, first, middle initial) GARAUFIS, NICHOLAS G	2. Court or Organization EASTERN DISTRICT OF NEW YORK	3. Date of Report 07/31/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES DISTRICT JUDGE	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address US DISTRICT COURT EDNY 225 CADMAN PLAZA EAST BROOKLYN, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors	Spectator Publishing Company, Inc.
2. Member, Executive Committee	United States Tennis Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 02/09/97	ABC, INC. PENSION PLAN MONTHLY SURVIVOR BENEFIT.
2.	
3.	

RECEIVED
 2006 AUG -9 A 10:39
 FINANCIAL DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

GARAUFIS, NICHOLAS G

Date of Report

07/31/2006

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2005	ABC Inc. Retirement Plan	\$ \$13,053
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2005	Self-employed - Consultant
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. U.S. Tennis Association	Received \$1,200 expense reimbursement to attend two USTA meetings in LaQuinta, CA and Lansdowne, VA
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

GARAUFIS, NICHOLAS G

Date of Report

07/31/2006

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

GARAUFIS, NICHOLAS G

Date of Report

07/31/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Oppenheimer NY Municipal A	A	Interest	K	T					
2. Oppenheimer Quest Opportunity Value Fund A	A	Dividend	L	T					
3. Oppenheimer Equity Fund Cl. A	A	Dividend	L	T	Partial Sale	08/02	J	A	
4. Pershing Govt. Acct	A	Dividend	L	T					
5. Walt Disney Company Common Stock	A	Dividend	J	T					
6. Fidelity Advisor Inflation-Protected Bd Fd Cl A	A	Dividend	K	T					
7. Alliance Real Estate Investment Fund Class A	A	Dividend	K	T					
8. Oppenheimer Quest Small Cap Value Fund	A	Dividend	K	T	Partial Sale	02/15	J	A	
9. Alliance Global Technology A Fund	A	Dividend	J	T					
10. Alliance Growth & Income Fund Class B	A	Dividend	L	T					
11. Alliance Bernstein Cap Resv	A	Dividend			Sold	12/31	J	A	
12. Alliance Premier Growth Fund Class B	A	Dividend	J	T					
13. City of New York Deferred Compensation Plan	A	Dividend	K	T					
14. Equivest Variable Annuity	A	Dividend	K	T					
15. Trust #1		None	L	T					
16. ABC Pension Plan		None	J	T					
17. Aim Global Trends Cl. B	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3=\$25,000,001 - \$50,000,000	R=Cost (Real Estate Only)	P4=More than \$50,000,000	T=Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

GARAUFIS, NICHOLAS G

Date of Report

07/31/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Aim Mid-Cap Core Equity Cl B	A	Dividend	K	T					
19. Aim Constellation Fd. Cl B	A	Dividend	K	T					
20. Oppenheimer Quest Balanced Value Fund Class A	B	Dividend			Sold	12/29	K	A	
21. Davis New York Venture Class A	A	Dividend	K	T					
22. Neuberger Berman Focus Fund	A	Dividend	L	T	Partial Sale	11/28	J	A	
23. Blackrock Mid Cap Value Fund Class A	A	Dividend	L	T	Partial Sale	7/26	J	A	
24. Growth Fund of America Class F	A	Dividend	M	T					
25. Van Kampen Global Franchise	A	Dividend	K	T	Partial Sale	5/27	K	A	
26. Fidelity Advisor Dividend Growth	A	Dividend	J	T					
27. Aim Basic Value Fund B	A	Dividend	J	T					
28. Aim Int. Govt. Fund	A	Dividend	J	T					
29. Aim Blue Chip Fd. Cl. B	A	Dividend	K	T					
30. Aim Global Growth Fd Cl B	A	Dividend	J	T					
31. Alliance Balanced Shares Cl B	A	Dividend	K	T					
32. Alliance Bernstein NY Muni Tr	A	Dividend	L	T					
33. Oppenheimer Quest Opportunity Value Cl A	A	Dividend			Sold	12/29	L	A	
34. American Capital World Growth & Income	A	Dividend	K	T	Purchase	4/4	J		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$250,000,001 - \$500,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 R=Cost (Real Estate Only)	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000 T=Cash Market	E=\$15,001 - \$50,000
--	--	---	--	---	-----------------------

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

GARAUFIS, NICHOLAS G

Date of Report

07/31/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Gabelli Asset Fund	A	Dividend	D	T	Purchase	5/4	J		
36. Ishares Tr Russell 1000	A	Dividend	K	T	Purchase	3/21	K		
37. Ishares Midcap	A	Dividend	K	T					
38. Ishares Tr MSCI	A	Dividend	K	T	Purchase	4/27	K		
39. Ishares Russell 2000	A	Dividend	K	T	Purchase	2/28	K		
40. Mutual Series Shares Class A	A	Dividend	J	T					
41. Standard & Poors Depository Receipt	A	Dividend	K	T	Purchase	5/6	J		
42. Rochester Limited Term (Oppenheimer NY Muni)	A	Dividend	J	T					
43. Pimco Low Duration	A	Dividend	K	T					
44. Pimco Total Return Cl. C	A	Dividend	K	T	Purchase	8/18	K		
45.					Partial Sale	09/14	J	A	
46. Pimco All Asset All Auth	A	Dividend	J	T	Purchase	12/22	J		
47. Oppenheimer Global Fund	A	Dividend	K	T					
48. Van Kampen Equity & Income	A	Dividend	K	T	Partial Sale	9/19	J	A	
49. American Balance	A	Dividend	J	T					
50. Franklin Mutual Series Cl C	A	Dividend	J	T	Purchase	7/22	J		
51. Oppenheimer Ltd. Term NY Muni	A	Dividend	K	T	Purchase	12/22	K		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 HI=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3=\$25,000,001 - \$50,000,000	R=Cost (Real Estate Only)	P4=More than \$50,000,000	T=Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

GARAUFIS, NICHOLAS G

Date of Report

07/31/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Alliance Bernstein Lg Cap Growth B	A	Dividend	J	T					
53. American Capital Inc. Bldr. A	A	Dividend	J	T	Purchase	6/16	J		
54. Aim Trimark	A	Dividend	J	T	Purchase	10/13	J		
55. Rochester Fd. Muni A	A	Dividend	J	T	Purchase	11/22	J		
56. Oppenheimer Global Cl. C	A	Dividend	J	T	Purchase	6/20	J		
57. American Growth Fd of America C	A	Dividend	J	T	Purchase	5/19	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting	Date of Report
GARAUFIS, NICHOLAS G	07/31/2006

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII, Page 1, Line 9:

Name changed from Alliance Technology A Fund to Alliance Global Technology A Fund.

VII, Page 1, Line 15:

Trust #1 is funded with an Equitable Life Insurance Company life insurance policy with a cash surrender value at 12/31/05 of \$48,451.

VII, Page 1, Line 16:

ABC, Inc. Pension Plan. I am designated to receive monthly pension benefits under [REDACTED] pension plan. Section III, Line 2 for income received. These payments will cease upon my death.

VII, Page 2, Line 23:

Name changed from State Street Mid Cap Value Fund Class A to Blackrock Mid Cap Value Fund Class A.

VII, Page 2, Line 34:

Name changed from Capital World Growth & Income to American Capital World Growth & Income.

VII, Page 3, Line 41:

Name changed from Standard & Poors to Standard & Poors Depository Receipt.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting	Date of Report
GARAUFIS, NICHOLAS G	07/31/2006

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



Date _____

8/7/06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544