

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Shapiro, Norma L.	2. Court or Organization EDPA, Philadelphia	3. Date of Report 05/13/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address U.S. Courthouse 601 Market Street Philadelphia, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Life Trustee	Jewish Publication Society
2. Member, Committee on Women in the Profession (WIP)	Pennsylvania Bar Association
3. Chair, Leadership Development & Recruitment Committee	Pennsylvania Bar Association
4. Member, Sandra Day O'Connor Award Committee	Philadelphia Bar Association
5. Chair, Board of Director	Violette De Mazia Foundation
6. Chair, Standing Committee on Federal Court Improvements	American Bar Association
7. Member, 2010 John Marshall Award Selection Committee	American Bar Association
8. Representative, Appellate Judges Education Institute, Board of Directors	American Bar Association
9. Executrix	Estate [REDACTED] (See Part VIII)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	ABA	8/6/2010-8/7/2010	San Francisco, CA	Appellate Judges Education Institute	Transportation, Food & Lodging
2.	ABA	10/20/2010-10/21/2010	Washington, D.C.	Commission on American Jury Project	Transportation, Food & Lodging
3.	ABA	11/13/2010-11/14/2010	Philadelphia, PA	Standing Committee on Federal Judicial Improvements - Fall Meeting	Transportation, Food & Lodging
4.	ABA	11/18/2010-11/21/2010	Dallas, TX	Appellate Judges Education Institute Summit	Transportation, Food & Lodging
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)* NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)* NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
		1.	Mellon Bank (Checking Acct)		None	J	T			
2.	Firsttrust Bank (Checking)	A	Interest	J	T					
3.	Firsttrust Bank (Savings)	A	Interest	K	T					
4.	Stifel Nicolaus General Money	A	Dividend	J	T					
5.	State of Israel Savings Bond	A	Interest	J	T					
6.	VvFinance		None	J	T					
7.	Calamos Global Dynamic		None			Sold	01/10/10	K	A	
8.	Oppenheimer PA Municipal Bond	B	Dividend	K	T					
9.	Advisors Disciplined TR [REDACTED]	A	Dividend			Sold	08/25/10	K	A	
10.	Firsttrust [REDACTED]	A	Dividend			Sold	08/25/10	J	A	
11.	Firsttrust [REDACTED]	B	Dividend			Sold	10/10/10	K	B	
12.	Vanguard Life Strategy Mod. Growth-IRA		None	M	T					
13.	Vanguard STAR Fund-IRA		None	M	T	Sold (part)				
14.	Vanguard GNMA Fund Admiral	B	Dividend	M	T	Redeemed	02/01/10	K	A	
15.	Rental Property, Hallandale, FL		None			Sold	05/17/10	M	F	Emilio Autore
16.	Unit Investment Trust First Trust [REDACTED]	B	Dividend	K	T	Buy	01/12/10	K		
17.	Unit Investment Trust First Trust [REDACTED]	A	Dividend	K	T	Buy	08/25/10	K		

1. Income Gain Codes: A = \$1,000 or less; F = \$50,001 - \$100,000; J = \$15,000 or less; N = \$250,001 - \$500,000; P3 = \$25,000,001 - \$50,000,000; Q = Appraisal; U = Book Value

2. Value Codes: J = \$15,000 or less; N = \$250,001 - \$500,000; P3 = \$25,000,001 - \$50,000,000; Q = Appraisal; U = Book Value

3. Value Method Codes: R = Cost (Real Estate Only); V = Other

C = \$2,501 - \$5,000; G = \$100,001 - \$1,000,000; K = \$15,001 - \$50,000; O = \$500,001 - \$1,000,000; R = Cost (Real Estate Only); V = Other

D = \$5,001 - \$15,000; H = \$15,001 - \$50,000; I11 = \$1,000,001 - \$5,000,000; L = \$50,001 - \$100,000; P1 = \$1,000,001 - \$5,000,000; P4 = More than \$50,000,000; S = Assessment; W = Estimated

E = \$15,001 - \$50,000; H12 = More than \$5,000,000; M = \$100,001 - \$250,000; P2 = \$5,000,001 - \$25,000,000; T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Unit Trust First Trust [REDACTED]	A	Dividend	J	T	Buy	08/25/10	J		
19. Unit Trust First Trust [REDACTED]	A	Dividend	K	T	Buy	10/08/10	K		

- | | | | | | |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information - Part I - Positions

Numbers 1, 2, 4 and 6. These are non-profit organizations. I have no control over the expenditures of these organizations, although with effort I could ascertain their assets.

Number 5 is a 501(c)(3) charitable organization. I do vote on the expenditure of funds together with the other four Directors. I receive no compensation. The total assets of this organization at the end of 2010 was the amount of approximately \$9,000,000.

██████████ His Will names me the Executrix and sole beneficiary of his estate.

His assets, listed under Section VII, became assets of the Estate ██████████ in 2008, they were transferred to a Tax Exempt Marital Trust and rolled over into my Vanguard IRA. All assets listed in Section VII which were in his name prior to his death were in the Estate ██████████ at year end and transferred to the Tax Exempt ██████████ Trust in 2008. Bank accounts that were previously ██████████ accounts are now titled in my name only.

Part VII Investments and Trusts.

#7. VvFinance. My stockbroker can give me no information about this former holding or even whether it exists. I receive no income from it. I know nothing about this except the amount invested was minimal and it is probably worthless.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Norma L. Shapiro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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