

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

<b>1. Person Reporting (last name, first, middle initial)</b>  Mordue, Norman A.	<b>2. Court or Organization</b>  Northern District of New York	<b>3. Date of Report</b>  5/11/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  Chief Judge, NDNY - active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b>  P.O. Box 7336 100 S. Clinton St. Syracuse, NY 13261	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Vietnam Veterans Leadership Program of Onondaga County (Not for Profit Corporation)
2. General Partner	Northside Waterflood Partnership, a New York General Partnership. Previously a passive partner. SEE VIII.
3.	
4.	
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1999	Entitled to NYS Retirement Benefits from years in State system as a Prosecutor and Judge (28 years)
2.	
3.	

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 6

Name of Person Reporting

Mordue, Norman A.

Date of Report

5/11/2011

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2009	NYS Vested Pension Plan	\$65,652.84
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Judges Association	May 22-23,2010	Washington, DC	Board Mtg	Transportation, hotel, meals
2.	The New York Intellectual Property Law Association	March 26-27, 2010	New York, NY	Annual Dinner in Honor of Federal Judiciary	Transportation, hotel, meals
3.					
4.					
5.					

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 6

Name of Person Reporting

Mordue, Norman A.

Date of Report

5/11/2011

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

# FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

Mordue, Norman A.

Date of Report

5/11/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	Syracuse Police Federal Credit Union Joined 12/4/98 (Account)	A	Interest	L	T					
2.	Key Bank (Accounts)	B	Interest	M	T					
3.	Metropolitan Life Insurance Co.	A	Interest	J	T					
4.	Farm Lot, Niles, NY	A	Rent	L	W					
5.	Northside Waterflood Partnership C (Y)									
6.	Key Bank (IRA) (CDs)		None	J	T					
7.	Key Bank (IRA) (CAM) (CDs)		None	J	T					
8.	UBS Bank, formerly McDonalds Investments Inc. IRA (CAM)		None	J	T					
9.	India Fund, Inc.		None	J	T					
10.	AIM Asia Pacific Growth Fund		None	J	T					
11.	IRA (CAM) AIM Asia Pacific Growth Fund		None	J	T					
12.	India Fund, Inc.		None	J	T					
13.	Citigroup, Inc.		None	J	T					
14.	General Electric Co.	A	Dividend	J	T					
15.										
16.										
17.										

1. Income Gain Codes: A = \$1,000 or less  
(See Columns B 1 and D4) F = \$50,001 - \$100,000  
2. Value Codes J = \$15,000 or less  
(See Columns C 1 and D3) N = \$250,001 - \$500,000  
3. Value Method Codes P3 = \$25,000,001 - \$50,000,000  
(See Column C2) Q = Appraisal  
U = Book Value

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000  
R = Cost (Real Estate Only)  
V = Other

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000  
S = Assessment  
W = Estimated

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000  
T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

Mordue, Norman A.

Date of Report

5/11/2011

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

### I. Positions:

Line 2. Northside Waterflood Partnership, a NY Partnership is financially insolvent. The Manager had been indicted for fraud concerning this entity in the Western District of New York and thereafter took his life.

### VII. Investments and Trusts:

Line 5. Northside Waterflood Partnership, a NY Partnership is financially insolvent.

# FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting	Date of Report
Mordue, Norman A.	5/11/2011

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Norman A. Mordue**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544