AO 10
Rev. 1/2006

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gardephe, Paul G	**2. Court or Organization** U.S. District Court, SDNY	**3. Date of Report** 05/12/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge, Active	**5a. Report Type (check appropriate type)** ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. Courthouse 500 Pearl Street New York, NY 10007	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer__________ Date__________	

***IMPORTANT NOTES:* The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.**

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

☐ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1. Partner	Patterson Belknap Webb & Tyler LLP
2. Board Member	Fund for Modern Courts
3. Advisory Board Member	Sunsglow
4.	
5.	

RECEIVED
2009 MAY 18 A 11:42
FINANCIAL DISCLOSURE OFFICE

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2003	with Time Warner Inc., regarding deferred compensation plan, Time Warner Savings Plan
2. 2008	with Patterson Belknap Webb & Tyler LLP, regarding capital account and fees earned while a firm partner, and 401k, money purchase, and cash balance plans
3.	

Name of Person Reporting	Date of Report
Gardephe, Paul G	05/12/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2008	Patterson Belknap Webb & Tyler LLP, partnership income	$ 813,054.00
2. 2008	Time Warner Inc. deferred compensation payment	$ 8948.52
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2008	Family Services of Westchester
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ NONE *(No reportable reimbursements.)*

SOURCE	DESCRIPTION
1.	
2.	
3.	
4.	
5.	

Name of Person Reporting	Date of Report
Gardephe, Paul G	05/12/2009

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

[X] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

[X] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gardephe, Paul G	05/12/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
						If not exempt from disclosure			
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Dreyfus Basic Money Market Mutual Fund	D	Dividend	O	T					
2. Janus Research Fund		None	J	T					
3. Apple Bank cert of deposit	A	Interest	J	T					
4. Neuberger Berman Int'l Fund		None	K	T	Buy	1/4	J		
5. Janus Enterprise Fund		None	J	T					
6. Janus Research Fund		None	J	T					
7. Janus Growth and Income Fund		None	L	T					
8. Janus Worldwide Fund		None	J	T					
9. Fidelity Magellan Fund		None	J	T					
10. Neuberger Berman Partners Fund		None	J	T	Buy	1/7	J		
11. Time Warner Savings Plan		None	M	T					
12. -- Dodge &Cox Stock Fund									
13. -- Time Warner Stock Fund									
14. Time Warner Deferred Compensation Plan		None	J	T					
15. -- Dodge & Cox Stock Fund									
16. New York College Savings Plan (no control other than risk)		None	N	T					
17. Patterson Belknap Money Purchase Plan		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Gardephe, Paul G	05/12/2009

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
						If not exempt from disclosure			
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Vanguard 500 Index Fund									
19. -- Vanguard Growth and Income Fund									
20. -- Vanguard Windsor Fund									
21. Patterson Belknap 401k		None	L	T					
22. -- Vanguard 500 Index Fund									
23. -- Vanguard Growth and Income Fund									
24. -- Vanguard Windsor Fund									
25. Vanguard Tax Managed Cap Appreciation		None	K	T					
26. Vanguard Tax Managed Growth & Income		None	K	T					
27. Vanguard Tax Managed Int'l Fund		None	L	T					
28. Vanguard Tax Managed Small Cap Fund		None	K	T					
29. Vanguard Prime Money Market Fund	A	Dividend	L	T					
30. Northwestern Mutual Life ins policy (cash value, no control)	A	Dividend	J	T					
31. Northwestern Mutual Life ins policy (cash value, no control)	B	Dividend	K	T					
32. Northwestern Mutual Life ins policy (cash value, no control)	B	Dividend	K	T					
33. Savings Bank Life Ins. (cash value, no control)	A	Dividend	J	T					
34. Savings Bank Life Ins. (cash value, no control)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gardephe, Paul G	05/12/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII. Northwestern Mutual Life and Savings Bank Life Insurance assets were inadvertently omitted from nominee report.

Name of Person Reporting	Date of Report
Gardephe, Paul G	05/12/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] **Date** May 14, 2009

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
500 PEARL STREET
NEW YORK, NEW YORK 10007

CHAMBERS OF
PAUL G. GARDEPHE
UNITED STATES DISTRICT JUDGE

July 7, 2009

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

RECEIVED
2009 JUL 13 A 11: 28
FINANCIAL DISCLOSURE OFFICE

Re: FDR 2008

Dear Committee on Financial Disclosure:

I am writing in response to Judge Baldock's July 1, 2009 letter seeking additional information concerning entries on Part VII of my Form AO-10, Financial Disclosure Report for 2008.

With respect to the Vanguard Prime Money Market Fund investment: this represents an investment made for me by Patterson Belknap Webb & Tyler LLP after I left the firm on August 8, 2008. It represents proceeds from a cash balance account maintained by the firm. For purposes of Column D, the transaction was a buy; the investment was made on September 25, 2008; and the value code is L.

With respect to Part VII, page 4, lines 12-13 and 15, and page 5, lines 18-20, and 22-24: I held investments in all of the listed funds at the time of my prior report. I listed them in my 2008 FDR only to provide further detail and at the suggestion of Committee staff.

Sincerely,

[redacted]