

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gardephe, Paul G.	2. Court or Organization U.S. District Court, SDNY	3. Date of Report 6/1/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge, Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. Courthouse 500 Pearl Street New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Advisory Board Member	Sunglow
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 2003	with Time Warner Inc., regarding deferred compensation plan, Time Warner Savings Plan
2. 2008	with Patterson Belknap Webb & Tyler LLP, regarding capital account and fees earned while a firm partner, and 401k, money purchase, and cash balance plans
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2009	Time Warner Inc. deferred compensation payment	\$4,963.00
2. 2009	New York Law School	\$4,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Family Services of Westchester
2. 2009	Friends of Karen
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing Instructions.)*

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Dreyfus Basic Money Market Mutual Fund	B	Dividend	O	T					
2. Janus Research Fund	B	Dividend	J	T					
3. Apple Bank cert of deposit	A	Interest	J	T					
4. Neuberger Berman Int'l Fund	D	Dividend	K	T					
5. Janus Enterprise Fund	B	Dividend	J	T					
6. Janus Research Fund	B	Dividend	J	T					
7. Janus Growth and Income Fund	E	Dividend	L	T					
8. Janus Worldwide Fund	D	Dividend	K	T					
9. Fidelity Magellan Fund	C	Dividend	J	T					
10. Neuberger Berman Partners Fund	C	Dividend	J	T					
11. Time Warner Savings Plan	E	Dividend	M	T					
12. -- Dodge & Cox Stock Fund									
13. -- Time Warner Stock Fund									
14. Time Warner Deferred Compensation Plan	C	Dividend	J	T					
15. -- Dodge & Cox Stock Fund									
16. New York College Savings Plan (no control other than risk)	F	Dividend	O	T					
17. Patterson Belknap Money Purchase Plan	E	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. –Vanguard 500 Index Fund									
19. – Vanguard Growth and Income Fund									
20. – Vanguard Windsor Fund									
21. Patterson Belknap 401k	E	Dividend	L	T					
22. – Vanguard 500 Index Fund									
23. – Vanguard Growth and Income Fund									
24. – Vanguard Windsor Fund									
25. Vanguard Tax Managed Cap Appreciation	E	Dividend	L	T					
26. Vanguard Tax Managed Growth & Income	E	Dividend	L	T					
27. Vanguard Tax Managed Int'l Fund	E	Dividend	L	T					
28. Vanguard Tax Managed Small Cap Fund	E	Dividend	L	T					
29. Vanguard Prime Money Market Fund	A	Dividend	L	T					
30. Northwestern Mutual Life ins policy (cash value, no control)	A	Dividend	J	T					
31. Northwestern Mutual Life ins policy (cash value, no control)	B	Dividend	K	T					
32. Northwestern Mutual Life ins policy (cash value, no control)	B	Dividend	K	T					
33. Savings Bank Life Ins. (cash value, no control)	A	Dividend	J	T					
34. Savings Bank Life Ins. (cash value, no control)	A	Dividend	J	T					

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35. Mutual of America Money Market Fund	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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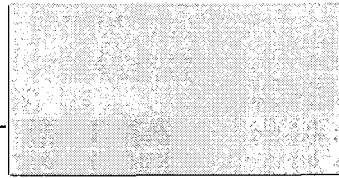
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544