AO 10 Rev. 1/2010

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosenblatt, Paul G.	**2. Court or Organization** U.S. District Court, Arizona	**3. Date of Report** 05/11/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. Courthouse, Suite 621 401 W. Washington St., SPC 56 Phoenix, AZ 85003-2156	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer ________ Date ________	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Successor Co-Trustee (with no beneficial interest)	[redacted] Trust
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Rosenblatt, Paul G.	05/11/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Rosenblatt, Paul G.	05/11/2010

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. undeveloped land South of Prescott, AZ		None	M	W					
2. J.P. Morgan Chase Bank	A	Interest	K	T					
3. IRA Bank of America - Cash - Equivalent	A	Interest	K	T					
4. First Fed. Credit Union Tempe, AZ	A	Interest	L	T					
5. Wells Fargo Bank Accounts	A	Interest	K	T					
6. Wells Fargo Advisors, LLC Brokerage Acct									
7. Vodafone Airtouch PLC	A	Dividend	J	T					
8. Exxon Mobil	A	Dividend	K	T					
9. AT&T Common Stock	A	Dividend	K	T					
10. Edison International	A	Dividend	J	T					
11. Chevron Common Stock	A	Dividend	J	T					
12. Maricopa Co. AZ 6% 12-1-19 IDA Hos. Fac Rev	A	Interest	J	T					
13. Maricopa Co. AZ Indl Hlth	A	Interest	J	T					
14. Phoenix, AZ 5.2% Indl Dev Auth Multi-Family Hsg	A	Interest			Redeemed	12/15/09	K		
15. FRB-Treasury Direct Acct									
16. Tax Free Trust of AZ - Trust	A	Dividend	K	T					
17. Rental Property #1, Prescott, AZ	D	Rent	M	W					

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Rosenblatt, Paul G.	05/11/2010

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Delaware Investments Arizona	A	Dividend	J	T					
19. Verizon	A	Dividend	J	T					
20. Comcast Corp. New Class A	A	Dividend	J	T					
21. AZ State 5% 7-1-24	A	Dividend	K	T					
22. Phoenix, AZ 5.25% 7-1-27 Civic Improv	A	Dividend	K	T					
23. Phoenix, AZ 5.25% 7-1-32	A	Dividend	K	T					
24. Puerto Rico 5% 8-1-31	A	Dividend	K	T					
25. Salt River Proj 5% 1-1-31	A	Dividend	K	T					
26. Scottsdale, AZ 4.75% 7-1-20	A	Dividend	J	T					
27. Aurora Benefit Asset Account	A	Interest	K	T					
28. FRB Treasury Direct Acct 3-7/8 Note T 07	A	Interest	J	T					
29. Geneos Wealth Management IRA:									
30. Allianz NFJ Dividend Value Fund	A	Dividend			Sold	09/01/09	J		
31. Allianz NACM International Fund	A	Dividend			Sold	09/01/09	J		
32. Pimco All Asset Fund Asset Fund	A	Dividend			Sold	09/01/09	J		
33. Pimco Developing Local Markets Fund	A	Dividend			Sold	09/01/09	J		
34. National Bank Accounts	A	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Goodyear, AZ 4.5% 7-1-24	A	Interest	J	T					
36. FRB Treasury Direct Acct 3/12 Note B18	A	Interest	K	T					
37. Prudential Advanced Series Apex II Annuity	A	Interest	K	T	Buy	09/01/09	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part I, Line 1. Neither I nor [redacted] have a beneficial interest in the trust. We have no [redacted]
Part VII, Line 6, Wachovia transitions to Wells Fargo Advisors, LLC in May, 2009.
Part VII, Lines 29-33, Geneos Wealth Management IRA transferred to Prudential Advanced Series Apex II Annuity on September 1, 2009.
Line 39 in 2008 report: Wells Fargo Advisors converts AGE Deposit Program, Wachovia Bank to a bank deposit sweep which had no reportable income. It should not have been reported in 2008.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signat

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544