

Copy

FOR CALENDAR YEAR 2006

(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting (last name, first, middle initial)</b> NEWMAN, PAULINE	<b>2. Court or Organization</b> U.S. Ct. of Ap. for Fed. Cir.	<b>3. Date of Report</b> 05/15/2007
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> Circuit Judge - Active Status	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2006 to 12/31/2006
<b>7. Chambers or Office Address</b> 717 Madison Place, N.W. Washington, D.C. 20439	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
Advisory & Review Committee	Advanced Science & Technology Adjudication Project
2. Advisory Committee	George Mason Univ. School of Law, Law & Economics Center
Trustee	Trusts
4. Director	Rule of Law Foundation
5. Director	Judiciary Leadership Development Council

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2006	Pension, FMC Corporation, earned before entry on duty	\$ 35,100.00
2. 2006	Royalty, West Publishing Company, textbook	\$ 3,132.08
3.		
4.		
5.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.  
(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Advanced Science & Technology Adjudication Project	Mar. 9-11, 2006; Chapel Hill, North Carolina; Travel, Lodging and Food.
2. New York Intellectual Property Law Association	Mar. 24-25; New York, New York; Travel, Lodging and Food.
3. Fordham University School of Law	Apr. 20-21; New York, New York; Travel, Lodging and Food.
4. Federal Circuit Bar Association	June 28-July 1; Albuquerque, New Mexico; Travel, Lodging and Food.
5. American Chemical Society	Sept. 9-13; San Francisco, California; Travel, Lodging and Food.

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6. Stanford University Law School	Sept. 14-15; Stanford, California; Travel, Lodging and Food.
7. Advanced Science & Technology Adjudication Project	Oct. 5-7; Chicago, Illinois; Travel, Lodging and Food.
8. Appellate Judges Education Institute	Nov. 9-11; Dallas, Texas; Travel, Lodging and Food.

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Canada Southern Petroleum (Common)		None			Redemption	06-30	K	A	
2. T.Rowe Price Mut.Funds (New Horizon; Div. Gr. Sci&Tech.Fund)	A	Dividend	K	T	Donatd-in-pt				
3. PNC Bank Accounts	B	Interest	N	T					
4. First Trust Municipal Bond Fund (Oppenheimer)	B	Interest	K	T					
5. First Trust Municipal Bond Fund (Oppenheimer)	B	Interest	J	T					
6. American Century Growth Mutual Fund	A	Dividend	M	T					
7. Oppenheimer Municipal Bond Fund	C	Interest	L	T					
8. American Capital Bond Fund	A	Dividend	J	T					
9. Corporate High Yield Mutual Bond Fund (Merrill Lynch)	B	Dividend	K	T					
10. Bedford Money Market Portfolio	A	Dividend	J	T					
11. Invesco Health Sci. Mut. Fd. (now AIM Health Sci. Mut. Fd.)	A	Dividend	K	T					
12. Oklahoma Gas & Electric Co. (Common)	A	Dividend	J	T					
13. Remington Oil & Gas Corp.		None	J	T					
14. American Century Select Mutual Fund	A	Dividend	M	T					
15. MFS Emerging Growth Mutual Fund	A	Dividend	K	T					
16. Putnam Europe Mutual Fund	B	Dividend	M	T					
17. Seligman Comm. & Info. Mutual Fund					Donated				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Franklin NY Mutual Fund	A	Dividend	K	T					
19. Franklin Fix Cap Growth Mutual Fund	A	Dividend	K	T					
20. Putnam Health Science Mutual Fund	B	Dividend	L	T					
21. Nasdaq 100 Trust		None	K	T					
22. First Eagle Global Mutual Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature 

Date May 15, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544