

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

<b>1. Person Reporting (last name, first, middle initial)</b> GUTIERREZ, PHILIP S.	<b>2. Court or Organization</b> U.S. DISTRICT COURT - CENTRAL DISTRICT OF CA	<b>3. Date of Report</b> 05/10/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> U.S. DISTRICT JUDGE - ACTIVE	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b> 255 E. TEMPLE STREET SUITE 870 LOS ANGELES, CA 90012	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President	Los Angeles Criminal Justice Inn of Court
2. Board Member	Association of Business Trial Lawyers
3.	
4.	
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2010	Ontario - Montclair School District
2.		
3.		
4.		

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Association of Business Trial Lawyers	10/19/10 - 10/24/10	Kohala, HI	Seminar	Travel and lodging
2.					
3.					
4.					
5.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Bank of America	Credit Card	J
2.		
3.		
4.		
5.		

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	Bank of America Account	A	Interest	J	T					
2.	State Teachers Retirement System	C	Interest	M	T					
3.	Ontario-Montclair School Dist. 403(b), Putnam Investments									
4.	- Putnam Equity Income Fund CI-A	A	Dividend	J	T					
5.	- Putnam Growth Opps CI-A	A	Dividend	J	T					
6.	- Putnam Int'l Capital Opps CI-A	A	Dividend	J	T					
7.	- Putnam Research Fund CI-A	A	Dividend	J	T					
8.	Ontario-Montclair Sch. Dist. 403(b), The Best of America IV									
9.	- AM CENT VP VALUE I	A	Dividend			Sold	12/10/10	J		
10.	- DREY VIF2 APPRECIATION PT IS	A	Dividend	J	T					
11.	- FID VIP 2 ASSET MGR PORT	A	Dividend	J	T					
12.	FID VIP 2 CONTRAFND PORT	A	Dividend	J	T					
13.	- NVIT INV DEST MOD AGGR 2	A	Dividend	J	T					
14.	- NVIT MULT-MGR SMCAP VAL 1	A	Dividend	J	T					
15.	- NVIT MULT-MGR LG CAP GR 1	A	Dividend	J	T					
16.	- OPP GLOBAL SEC FUND/VA III	A	Dividend	J	T					
17.	- NVIT MULTI CAP VAL I	A	Dividend	J	T	Buy	12/10/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - OPP GLOBAL SEC VA 3	A	Dividend	J	T	Buy	12/10/10	J		
19. American Funds, Fundamental Investors-A	A	Dividend	J	T					
20. Lincoln Financial Group (Lincoln National Corp.)									
21. - American Funds Bond - Class 2/R	A	Dividend	J	T					
22. - American Funds Global Growth Inc.	A	Dividend	K	T					
23. - American Funds Growth	A	Dividend	J	T					
24. - American Funds Growth Income	A	Dividend	J	T					
25. Merrill Lynch Wealth Management									
26. - Janus INTECH Risk Managed Growth (JRMGX)	A	Dividend	K	T					
27. Janus Enterprise Class I (JMGRX)	A	Dividend	J	T					
28. - Columbia Marsico Growth Fund (NMGIX)	A	Dividend	K	T					
29. - Eaton Vance Large Cap Value Fund (EHSTX)	A	Dividend	K	T					
30. - Columbia Large Cap Value Fund (NVLEX)	A	Dividend	K	T					
31. - Goldman Sachs Mid Cap Value Fund (GCMAX)	A	Dividend	J	T					
32. - Alger Small Cap Growth Fund (ALSRX)	A	Dividend	J	T					
33. - Wells Fargo Advantage Small Cap Disciplined (SCOVX)	A	Dividend			Sold	12/6/10	J		
34. - Artisan International Fund (ARTIX)	A	Dividend	J	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000  
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
 (See Column C2) U = Book Value V = Other W = Estimated

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Harbor International Fund (HAINX)	A	Dividend	K	T					
36. - PIMCO Total Return Fund (PTTPX)	B	Dividend	L	T					
37. - BlackRock High-Yield Bond Fund (BHYAX)	A	Dividend	J	T					
38. - Columbia Cash Reserves (NCRXX)	A	Dividend			Sold	08/15/10	J		
39. Metlife Variable Universal Life Fixed Fund	A	Interest	J	T					

- |  |  |  |  |  |                         |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value  | R = Cost (Real Estate Only)<br>V = Other   | S = Assessment<br>W = Estimated  | T = Cash Market  |                         |
| 3. Value Method Codes<br>(See Column C2)         |  |  |  |  |                         |

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VII, Line 25 - On August 15, 2010, Banc of America Investment Services, Inc. merged into Merrill Lynch Wealth Management.

Part VII, Line 36 - Typographical error on the 2009 report. Corrected from PTTAX to PTPX.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ PHILIP S. GUTIERREZ**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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