

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting (last name, first, middle initial)</b> HAMILTON, PHYLLIS J.	<b>2. Court or Organization</b> US DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA	<b>3. Date of Report</b> 05/06/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> DISTRICT JUDGE - ACTIVE	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b> US DISTRICT COURT 1301 CLAY STREET OAKLAND, CA 94612	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</b>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

RECEIVED  
 2011 MAY 11 A 9:43  
 FINANCIAL  
 DISCLOSURE OFFICE

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

**Hamilton, Phyllis J.**

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 7

Name of Person Reporting

HAMILTON, PHYLLIS J.

Date of Report

05/06/2011

**III. NON-INVESTMENT INCOME.** *(Reporting Individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 01-07 2010	OAKLAND CITY ATTORNEY'S OFFICE - SALARY
2. 08-12 2010	SAFEWAY, INC - SALARY
3. 09-12 2010	PUBLIC EMPLOYEES RETIREMENT SYSTEM - RETIREMENT BENEFITS
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 7

Name of Person Reporting

HAMILTON, PHYLLIS J.

Date of Report

05/06/2011

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. FDIC (took over Colonial Bank)	MORTGAGE ON RENTAL PROPERTY, COLUMBIA, SC (Pt. VII, line 2)	N
2.		
3.		
4.		
5.		

**FINANCIAL DISCLOSURE REPORT**

Page 4 of 7

Name of Person Reporting <b>HAMILTON, PHYLLIS J.</b>	Date of Report <b>05/06/2011</b>
---	-------------------------------------

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank - IRA		A	Interest	M	T					
2. Rental Property, Columbia, SC (9/04)		D	Rent	N	W					
3. Wells Fargo Bank Accounts		B	Interest	M	T					
4. Ally Bank Accounts		A	Interest	M	T					
5. E-Trade Bank Accounts		C	Interest	J	T					
6. Intel Stock		A	Dividend	J	T					
7. Agilent Stock			None	J	T					
8. Microsoft Stock			None	J	T					
9. Nasdaq 100 Fund			None	K	T					
10. Janus Worlwide Mutual Fund		A	Dividend	K	T					
11. Harbor Bond Fund Mutual Fund		A	Dividend	L	T	Sold (part)	03/03/10	L	B	
12. Vanguard Index 500 Mutual Fund		B	Dividend	K	T					
13. Janus Mercury Fund Mutual Fund		A	Dividend	J	T					
14. Safeco Equity Mutual Fund		A	Dividend	J	T					
15. Wells Fargo Advantage Fund (formerly known as Strong)		A	Dividend	J	T					
16. US Savings Bonds		C	Interest	M	T					
17. Fidelity Puritan Fund		A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 5 of 7

Name of Person Reporting <b>HAMILTON, PHYLLIS J.</b>	Date of Report <b>05/06/2011</b>
---	-------------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Vanguard Windsor Fund	A	Dividend			Sold	03/01/10	J	A	
19. Royce Value Fund	A	Dividend			Sold	03/01/10	J	A	
20. Lifepath Index 2020	A	Dividend	J	T	Buy	09/01/10	J		
21. PIMCO Total Return	D	Dividend	L	T	Buy	03/01/10	M		
22. Hartford Int'l Opportunity HLS	A	Dividend	J	T	Buy	03/01/10	J		
23. Hartford Small Company HLS	A	Dividend	J	T	Buy	03/01/10	J		
24. Lord Abbett Small Cap Value	A	Dividend	J	T	Buy	03/01/10	J		
25.									

- |  |   |  |  |  |                             |
|--|---|--|--|--|-----------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000   | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000    | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000     |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | P4 = More than \$50,000,000 |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                            | T = Cash Market  |                             |

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 7

<b>Name of Person Reporting</b>	<b>Date of Report</b>
HAMILTON, PHYLLIS J.	05/06/2011

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

**FINANCIAL DISCLOSURE REPORT**

Page 7 of 7

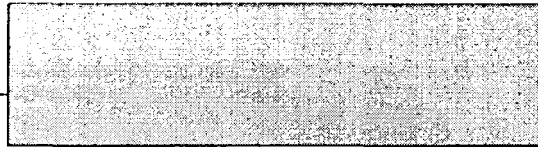
Name of Person Reporting <b>HAMILTON, PHYLLIS J.</b>	Date of Report <b>05/06/2011</b>
---	-------------------------------------

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544