

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Leval, Pierre N.	2. Court or Organization U.S. Court of Appeals, 2nd Cir	3. Date of Report 05/15/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 40 Foley Square Rm 1901 New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Council member	The American Law Institute
2. Trustee, Executor	[REDACTED]
3. Member - Photography Committee	Museum of Modern Art
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Leval, Pierre N.

Date of Report

05/15/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/7/08	University of Pennsylvania - Annenberg School (for teaching in Jordan - Nov. '07)	\$2,100.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Jan-Dec 08	State St. Cultural Inst. Pension Plan - pension
2. Fall '08	New York University - teaching
3. Jan., '08	U.S. Institute of Museum & Library Services - arts funding panel
4. June, '08	New York City Dept. of Cultural Affairs - arts funding panel

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The State Bar of California	March 1 - 4, 2008	S. Monica/S Francisco CA	Lectures	travel/lodging/food
2. Stanford University	March 5 - 9, 2008	Stanford, CA	Conference	travel/lodging/food
3. The University of Michigan	March 27 - 28, 2008	Ann Arbor, MI	Teaching	travel/lodging/food
4. Aspen Institute	July 2007	Aspen, CO	Teaching	travel/lodging/food
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Leval, Pierre N.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 23-31 of filing instructions.)

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	MONY	Loan on life insurance policy	L
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Leval, Pierre N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Abbot Labs (common stock)(self [REDACTED])	E	Dividend	P1	T					
2. Exxon Mobil (common)	D	Dividend	O	T					
3. Schering Plough (com) (self [REDACTED])	D	Dividend							
4. " " (self)		None			Sold (part)	8/13	N	G	
5. " " (self)		None			Sold	12/11	L	F	
6. " " [REDACTED]		None			Sold	12/11	L	E	
7. Applera ApplBiosys (ABI) formerly PE Bi osystems (common)	B	Dividend	M	T					
8. Applera - Celera Gen (CRA) (formerly PE Celera Genomics(com)		None	K	T					
9. USX	A	Dividend	J	T					
10. Daimler Chrysler (common)	A	Dividend	J	T					
11. FMC Money Mkt (self [REDACTED] (Dreyfus)	A	Interest	J	T					
12. FMC Select Fund (common) (self [REDACTED])	G	Dividend	P1	T					
13. FMC Select Fund - self		None			Sold (part)	1/4	K	A	
14. " - self		None			Sold (part)	1/28	J	A	
15. " - self		None			Sold (part)	3/26	L	B	
16. " - self		None			Sold (part)	3/28	L	A	
17. " - self		None			Buy	4/02	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Leval, Pierre N.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. " - self		None			Sold (part)	6/30	J		
19. " - self		None			Sold (part)	7/16	L		
20. " - self		None			Sold (part)	8/14	N	B	
21. " - self		None			Sold (part)	8/28	J	A	
22. " - self		None			Sold (part)	9/08	K	A	
23. " - self		None			Sold (part)	9/29	J		
24. " - self		None			Buy	10/02	J		
25. " - self		None			Sold (part)	10/29	J		
26. " - self		None			Sold (part)	11/28	J		
27. " - self		None			Sold (part)	12/11	N		
28. " - self		None			Sold (part)	12/19	N		
29. " - self		None			Sold (part)	12/29	J		
30. " - self		None			Sold (part)	12/31	K		
31. " - self		None			Buy	12/31	J		
32. " █████		None			Buy	1/11	J		
33. "		None			Buy	4/2	J		
34. "		None			Buy	6/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. "		None			Buy	7/02	J		
36. "		None			Buy	10/02	J		
37. "		None			Sold (part)	12/11	M		
38. "		None			Sold (part)	12/19	L		
39. "		None			Buy	12/23	J		
40. "		None			Sold (part)	12/31	K		
41. Fed. Hm Ln Bk Cons Disc Nt 6/4/08		None			Sold	5/15	N	D	
42. Fed Hm Ln Bk Cons Disc Nts 0.0% due 2/6 /08		None			Sold	2/06	P1		
43. Fed Hm Ln Bk Cons Disc Nts due 4/23/08		None			Buy	2/7	P1		
44. Fed Hm Ln Bk Cons Disc Nts due 4/23/08		None			Sold	4/23	P1	D	
45. Fed Hm Ln Bk Cons Disc Nts due 10/27/08		None			Buy	4/23	P1		
46. Fed Hm Ln Bk Cons Disc Nts due 10/27/08		None			Sold	5/19	P1	D	
47. Fed Hm Ln Bk Cons Disc Nts 0.0% due 5/3 0/08		None			Sold	5/19	O	C	
48. Lincoln St. Realty (limited partnership)		None	J	W					
49. Hershey (common)	C	Dividend	L	T					
50. Smith Barney Liquid Res Fund (common)	B	Dividend	K	T					
51. Gen. American Inv. (common) (GAM)	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Chase Bank (account)	A	Interest	J	T					
53. Chase Bank account self		None	K	T					
54. Chase - to Citibank		None				1/10	J		
55. Citibank account - from Chase		None	J	T		1/10	J		
56. Chase - to Fidelity		None				1/10	N		
57. Fidelity - from Chase		None				1/10	N		
58. Chase - to Fidelity		None				8/27	N		
59. Fidelity - from Chase		None				8/27	N		
60. Art Works		None	J	Q					
61. Chase (Bank of NY) account & money market fund (estate)		None	J	T					
62. Vanguard GNMA Fixed Income Fund (trust)	C	Interest	L	T			J		
63. FHKCX	A	Dividend	J	T	Buy	1/14	K		
64. FEMKX	A	Dividend	J	T	Buy	1/14	K		
65. "	A	Dividend	J	T	Sold (part)	12/12	J		
66. FSMAX	A	Dividend	K	T	Buy	1/14	K		
67. "		None			Sold (part)	12/12	K		
68. FLGEX	A	Dividend	K	T	Buy	1/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting Leval, Pierre N.	Date of Report 05/15/2009
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69. "		None			Sold (part)	12/12	K		
70. HGOCX		None	K	T	Buy	1/16	K		
71. IHSAX		None	K	T	Buy	1/16	K		
72. HNSCX		None	K	T	Buy	1/16	K		
73. HIOCX	A	Dividend	K	T	Buy	1/16	K		
74. HCACX	A	Dividend	K	T	Buy	1/16	K		
75. IGNCX	B	Dividend	J	T					
76. TILFX		None	K	T	Buy	1/15	K		
77. "		None			Sold (part)	12/12	J		
78. VTRIX	A	Dividend	J	T					
79. "		None			Buy	1/15	K		
80. "		None			Sold (part)	12/12	K		
81. FNYXX	C	Interest	N	T	Buy	8/27	N		
82.									
83.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Leval, Pierre N.

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05/15/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

In Part VII, item no. 60, "Art Works," the date of the appraisal was Jan. 20, 2005.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Leval, Pierre N.

Date of Report

05/15/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

**SELF INITIATED
AMENDMENT**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

AO 10
Rev. 1/2008

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008**

1. Person Reporting (last name, first, middle initial) Leval, Pierre N.	2. Court or Organization U.S. Court of Appeals, 2nd Cir	3. Date of Report 05/20/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input checked="" type="checkbox"/> Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 40 Foley Square Rm 1901 New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
<p align="center">IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Council member	The American Law Institute
2. Trustee, Executor	[REDACTED]
3. Member - Photography Committee	Museum of Modern Art
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
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FINANCIAL DISCLOSURE REPORT
Page 2 of 13

Name of Person Reporting Leval, Pierre N.	Date of Report 05/20/2009
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 1/7/08	University of Pennsylvania - Annenberg School (for teaching in Jordan - Nov. '07)	\$2,100.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section. (Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Jan-Dec 08	State St. Cultural Inst. Pension Plan - pension
2. Fall '08	New York University - teaching
3. Jan., '08	U.S. Institute of Museum & Library Services - arts funding panel
4. June, '08	New York City Dept. of Cultural Affairs - arts funding panel

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The State Bar of California	March 1 - 4, 2008	S. Monica/S Francisco CA	Lectures	travel/lodging/food
2. Stanford University	March 5 - 9, 2008	Stanford, CA	Conference	travel/lodging/food
3. The University of Michigan	March 27 - 28, 2008	Ann Arbor, MI	Teaching	travel/lodging/food
4. Aspen Institute	July 2007	Aspen, CO	Teaching	travel/lodging/food
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 13

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. MONY	Loan on life insurance policy	L
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT
Page 4 of 13

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Abbot Labs (common stock)(self [REDACTED])	E	Dividend	P1	T					
2. Exxon Mobil (common)	D	Dividend	O	T					
3. Schering Plough (com) (self [REDACTED])	D	Dividend							
4. " " (self)		None			Sold (part)	8/13	N	G	
5. " " (self)		None			Sold	12/11	L	F	
6. " " [REDACTED]		None			Sold	12/11	L	E	
7. Applera ApplBiosys (ABI) formerly PE Bi osystems (common)	B	Dividend	M	T					
8. Applera - Celera Gen (CRA) (formerly PE Celera Genomics(com)		None	K	T					
9. USX	A	Dividend	J	T					
10. Daimler Chrysler (common)	A	Dividend	J	T					
11. FMC Money Mkt (self [REDACTED]) (Dreyfus)	A	Interest	J	T					
12. FMC Select Fund (common) (self [REDACTED])	G	Dividend	P1	T					
13. FMC Select Fund - self		None			Sold (part)	1/4	K	A	
14. " - self		None			Sold (part)	1/28	J	A	
15. " - self		None			Sold (part)	3/26	L	B	
16. " - self		None			Sold (part)	3/28	L	A	
17. " - self		None			Buy	4/02	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT
Page 5 of 13

Name of Person Reporting Leval, Pierre N.	Date of Report 05/20/2009
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. " - self		None			Sold (part)	6/30	J		
19. " - self		None			Sold (part)	7/16	L		
20. " - self		None			Sold (part)	8/14	N	B	
21. " - self		None			Sold (part)	8/28	J	A	
22. " - self		None			Sold (part)	9/08	K	A	
23. " - self		None			Sold (part)	9/29	J		
24. " - self		None			Buy	10/02	J		
25. " - self		None			Sold (part)	10/29	J		
26. " - self		None			Sold (part)	11/28	J		
27. " - self		None			Sold (part)	12/11	N		
28. " - self		None			Sold (part)	12/19	N		
29. " - self		None			Sold (part)	12/29	J		
30. " - self		None			Sold (part)	12/31	K		
31. " - self		None			Buy	12/31	J		
32. " [REDACTED]		None			Buy	1/11	J		
33. "		None			Buy	4/2	J		
34. "		None			Buy	6/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 6 of 13

Name of Person Reporting

Leval, Pierre N.

Date of Report

05/20/2009

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. "		None			Buy	7/02	J		
36. "		None			Buy	10/02	J		
37. "		None			Sold (part)	12/11	M		
38. "		None			Sold (part)	12/19	L		
39. "		None			Buy	12/23	J		
40. "		None			Sold (part)	12/31	K		
41. Fed. Hm Ln Bk Cons Disc Nt 6/4/08		None			Sold	5/15	N	D	
42. Fed Hm Ln Bk Cons Disc Nts 0.0% due 2/6/08		None			Sold	2/06	P1		
43. Fed Hm Ln Bk Cons Disc Nts due 4/23/08		None			Buy	2/7	P1		
44. Fed Hm Ln Bk Cons Disc Nts due 4/23/08		None			Sold	4/23	P1	D	
45. Fed Hm Ln Bk Cons Disc Nts due 10/27/08		None			Buy	4/23	P1		
46. Fed Hm Ln Bk Cons Disc Nts 0.0% due 5/30/08	E	Interest			Sold	5/30	O	C	
47. Lincoln St. Realty (limited partnership)		None	J	W					
48. Hershey (common)	C	Dividend	L	T					
49. Smith Barney Liquid Res Fund (common)	B	Dividend	K	T					
50. Gen. American Inv. (common) (GAM)	C	Dividend	M	T					
51. Chase Bank (account)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting Leval, Pierre N.	Date of Report 05/20/2009
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Chase Bank account self		None	K	T					
53. Citibank account (see Part VIII)		None	J	T					
54. Art Works		None	J	Q					
55. Chase (Bank of NY) account & money market fund (estate)	A	Interest	J	T					
56. Vanguard GNMA Fixed Income Fund (trust)	C	Interest	L	T					
57. FHKCX	A	Dividend	J	T	Buy	1/14	K		
58. FEMKX	A	Dividend	J	T	Buy	1/14	K		
59. "	A	Dividend	J	T	Sold (part)	12/12	J		
60. FSMAX	A	Dividend	K	T	Buy	1/14	K		
61. "		None			Sold (part)	12/12	K		
62. FLGEX	A	Dividend	K	T	Buy	1/14	K		
63. "		None			Sold (part)	12/12	K		
64. HGOCX		None	K	T	Buy	1/16	K		
65. IHSAX		None	K	T	Buy	1/16	K		
66. HNSCX		None	K	T	Buy	1/16	K		
67. HIOCX	A	Dividend	K	T	Buy	1/16	K		
68. HCACX	A	Dividend	K	T	Buy	1/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Leval, Pierre N.

Date of Report

05/20/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. IGINCX	B	Dividend	J	T					
70. TILFX		None	K	T	Buy	1/15	K		
71. "		None			Sold (part)	12/12	J		
72. VTRIX	A	Dividend	J	T					
73. "		None			Buy	1/15	K		
74. "		None			Sold (part)	12/12	K		
75. FNYXX	C	Interest	N	T	Buy	8/27	N		
76. Alabama 5.25 bond	A	Interest	K	T	Buy	6/9	K		
77. Detroit 5.5 bond	B	Interest	L	T	Buy	6/4	L		
78. E. Rochester 5.375 bond		None	L	T	Buy	12/24	L		
79. Farmington 5.7 bond	A	Interest	K	T	Buy	6/18	K		
80. Kentucky 6.0 bond	C	Interest	M	T	Buy	6/4	M		
81. Mahoning 5.5 bond	A	Interest	K	T	Buy	6/17	K		
82. NY MTA 5.25 4/1/14 bond		None	M	T	Buy	12/18	M		
83. NY MTA 5.0 7/1/15 bond	C	Interest	M	T	Buy	6/6	M		
84. NY MTA 5.625 7/1/16 bond	B	Interest	L	T	Buy	6/5	L		
85. Mission Viejo 6 bond	B	Interest	K	T	Buy	6/10	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Leval, Pierre N.

Date of Report

05/20/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Muskingum 5.4 bond	B	Interest	K	T	Buy	6/18	K		
87. NYS Bridge 5.125 1/1/12 bond		None	K	T	Buy	6/17	K		
88. NY Dom 5.1 2/15/26 bond		None	L	T	Buy	12/22	K		
89. NY Dom 5.1 2/15/26 bond		None	L	T	Buy	12/23	K		
90. NY Dom 6.45 8/15/24 bond		None	M	T	Buy	12/19	M		
91. NY Dom 5.25 8/1/25 bond	C	Interest	M	T	Buy	6/2	L		
92. NY Dom 5.25 8/1/19 bond		None	M	T	Buy	12/19	M		
93. NY Dom 5.4 2/1/31 bond		None	L	T	Buy	12/31	M		
94. NY Dom 5.625 8/1/22 bond		None	L	T	Buy	12/19	M		
95. NY Dom 6.25 2/1/36 bond	C	Interest	L	T	Buy	6/17	M		
96. NY Dom 5.75 8/1/24 bond		None	M	T	Buy	12/24	L		
97. NY Dom 5.75 8/1/24 bond		None			Buy	12/31	L		
98. NY Dom 6.0 8/1/35 bond	C	Interest	L	T	Buy	6/17	M		
99. NY Dom 5.375 8/1/27 bond	B	Interest	L	T	Buy	6/3	L		
100. NY Dom 5.0 bond 4/1/12		None	L	T	Buy	12/19	L		
101. NYS Energy 5.5 bond	B	Interest	L	T	Buy	6/9	L		
102. NYS Envir. 5.125 bond	D	Interest	M	T	Buy	6/9	M		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 10 of 13

Name of Person Reporting Leval, Pierre N.	Date of Report 05/20/2009
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. NYS Urban 5.5 bond	A	Interest	K	T	Buy	7/3	K		
104. Norfolk 5.75 bond	B	Interest	L	T	Buy	6/3	L		
105. NC Med 5.5 bond	B	Interest	L	T	Buy	6/6	L		
106. NC East Mun 5.5 bond	B	Interest	K	T	Buy	6/4	L		
107. Peninsula 8.7 bond	B	Interest	K	T	Buy	6/2	K		
108. RI Dep 6.0 bond	B	Interest	L	T	Buy	6/18	L		
109. RIS Health 5.5 bond	B	Interest	K	T	Buy	6/3	L		
110. Sacramento 5.0 bond	B	Interest	L	T	Buy	6/11	L		
111. St. Paul 5.5 bond	B	Interest	L	T	Buy	6/6	L		
112. St. Paul 5.5 bond	A	Interest	K	T	Buy	8/12	K		
113. Triborough 5.25 1/1/13 bond		None	L	T	Buy	12/19	L		
114. Triborough 5.25 1/1/14 bond	C	Interest	M	T	Buy	6/9	M		
115. NY MTA 5.25 4/1/14 bond - [REDACTED]		None	L	T	Buy	12/18	L		
116. Muskingum 5.35 bond - [REDACTED]	A	Interest	K	T	Buy	6/3	K		
117. NYC Mun 5.75 bond - [REDACTED]	A	Interest	K	T	Buy	6/4	K		
118. NY Dorm 5.1 2/15/26 bond - [REDACTED]		None	K	T	Buy	12/23	K		
119. NY Dorm 6.45 8/15/24 bond - [REDACTED]		None	L	T	Buy	12/19	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT
Page 11 of 13

Name of Person Reporting

Leval, Pierre N.

Date of Report

05/20/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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120. NY Dorm 5.25 8/1/25 bond - [REDACTED]	B	Interest	K	T	Buy	6/2	L		
121. NY Dorm 5.375 8/1/27 bond - [REDACTED]	B	Interest	K	T	Buy	6/3	L		
122. NY Dorm 5.0 2/1/12 bond - [REDACTED]		None	K	T	Buy	12/19	K		
123. Norfolk 5.75 bond - [REDACTED]	B	Interest	L	T	Buy	6/3	L		
124. RI Health 5.5 bond - [REDACTED]	B	Interest	K	T	Buy	6/3	L		
125. NY MTA 5.5 7/1/12 bond	C	Interest			Buy	6/9	M		
126. NY MTA 5.5 7/1/12 bond		None			Sold	8/13	M		
127. Fed Hm Ln Bk 10/27/08	C	Interest			Sold (part)	6/9	O		? when bought ?
128. Fed Hm Ln Bk 10/27/08	C	Interest			Sold	6/17	N		?
129. NY HSG Fin 5.875		None			Buy	6/17	M		
130. NY HSG Fin 5.875		None			Sold	8/13	M	A	
131. NY Dorm 6.25 2/1/36		None			Sold (part)	8/1	J		
132. Kentucky 6.0		None			Sold (part)	10/1	J		
133. NY Urban 5.5		None			Sold (part)	11/24	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Leval, Pierre N.

Date of Report

05/20/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

In Part VII, item no. 54, "Art Works," the date of the appraisal was Jan. 20, 2005.
In Part VII, line 53, the funds in Citibank were transferred from Chase Bank.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Leval, Pierre N.

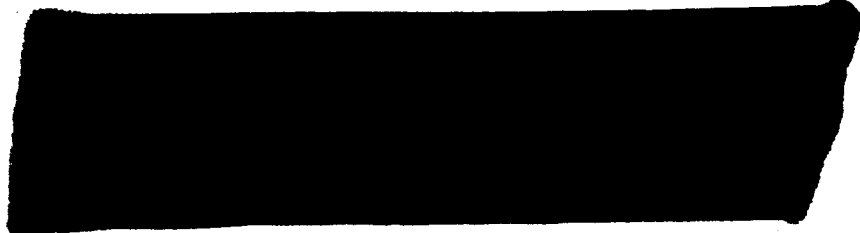
Date of Report

05/20/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544