

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2007**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial)  Pregerson; Harry	<b>2. Court or Organization</b>  United States Court of Appeals for the Ninth Circuit	<b>3. Date of Report</b>  09/04/2008
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  United States Circuit Court Judge	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2007 to 12/31/2007
<b>7. Chambers or Office Address</b>  21800 Oxnard Street Suite 1140 Woodland Hills, CA 91367	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Marine Corps Reserve Officers Association
2.	Member	Advisory Board, Childhelp USA
3.	Member	Advisory Board of Directors, Metropolitan Division, The Salvation Army
4.	Member	Board of Trustees, Devil Pups, Inc.
5.		

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 9

Name of Person Reporting

Pregerson, Harry

Date of Report

09/04/2008

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2007	State of California Judge's Retirement System	\$ 15,008.
2. 2007	GGWH LLC	\$ 18,000.
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 9

Name of Person Reporting

Pregerson, Harry

Date of Report

09/04/2008

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Pregerson, Harry

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09/04/2008

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U-Haul International Inc.	B	Rent	J	W	Sell	3/15	J	A	
2. Lot ●, Oxnard Shores, CA (1964)		None	O	W					
3. Lot ●, Oxnard Shores, CA (1964)		None	O	W					
4. Part of Lot ● Woodland Hills, CA (1963)		None	O	W					
5. Part of Lot ● Woodland Hills, CA (1979)		None	N	W					
6. Part of Lot ● Woodland Hills, CA (1984)		None	N	W					
7. Limited Partnership Interest, Penn Cal Investors, A Calif.Lt	G	Rent	P1	W					
8. CGM 403(B) Custodian UIPL L.A. Community College District	D	Dividend	O	T					
9. Minnesota Life Ins Single Payment Annuity non qualified	D	Interest	M	T					
10. IRA ACCT Wachovia Securities Bank Deposit Sweep Option	A	Interest	J	T					
11. Microsoft Corporation - Washington	A	Dividend	J	T					
12. Washington Mutual Bank	C	Interest	N	T					
13. Bank deposit sweep option	C	Interest	K	T					
14. Bonds - Palomar Pomerado Health System, CA Rev.	A	Interest	J	T	Sell	1/18	J	A	
15.					Sell	8/7	K	A	
16. Janus Twenty Fund money market fund #37	A	Dividend	L	T	Exchange	9/12	M		
17. Janus Contrarian Fund #37	D	Dividend	M	T	Exchange	9/12	M		

1. Income Gain Codes: (See Columns BI and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns CI and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Janus Research Fund (formerly Janus Mercury) #37	A	Dividend	L	T	Exchange	8/7	K		
19. Janus Olympus Fund	A	Dividend	L	T					
20. Janus Orion Fund #37	A	Dividend	L	T	Exchange	9/12			
21. Janus Moneymarket Fund		Dividend	L	T	Exchange	8/7	P3		
22. Janus Money Market Fund		Dividend	L	T	Exchange	8/7	P3		
23. Janus Money Market Fund		Dividend	L	T	Exchange	9/12	P3		
24. Janus Money Market Fund		Interest	J	T	Exchange	8/7	P3		
25. Janus Money Market Fund		Interest	J	T	Buy	9/12	P3		
26. Janus Money Market Fund		Interest	J	T	Buy	9/12	P4		
27. Centennial Money Market TR	A	Dividend	J	T					
28. Schlumberger LTD stock	A	Dividend	J	S					
29. Transocean Inc, Ordinary shares		None	J	T					
30. Smith Barney Muni FDS CA Money Market	A	Dividend	J	T					
31. Smith Barney Aggressive Growth	A	Dividend	L	T					
32. Smith Barney Appreciation Fund Class B	A	Dividend	K	T					
33. Alliance Bernstein Value Fund		None	K	T	Sell	3/2	L	C	
34. World Savings Bank	D	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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**FINANCIAL DISCLOSURE REPORT**

<b>Name of Person Reporting</b> Pregerson, Harry	<b>Date of Report</b> 09/04/2008
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35. Nuveen Preferred & Conv. Income Fund 2	A	Dividend	J	T					
36. CG Capital Markets Gov't Money Investments	A	Interest	J	T					
37. CG Capital Markets Core Fixed Income Fund	C	Interest	K	T					
38. CG Capital Markets Lg Capital value Investment	C	Interest	M	T					
39. CG Capital Markets Sm Capital Value Investments	A	Interest	J	T	Buy	9/12	J		
40. CG Capital Markets Emerging Markets Investments	A	Interest	K	T					
41. CG Capital Markets International Equity Investments	A	Interest	M	T					
42. CG Capital Markets Lg Capital Markets Lg Cap. Growth	B	Interest	M	T					
43. CG Capital Markets Sm Cap Growth Investments	B	Interest	K	T					
44. Orion Bank CD Naples FL Act/365	B	Interest	L	T	Buy	2/28	L		
45. Northern Trust Bank	D	Interest	N	T					
46. The Oakmark Funds	C	Interest	M	T					
47. Plains Capital Bank		None	L	T	Buy	5/12	L		
48. Citigroup CHP XVII	A	Interest		T	Buy	9/27	J		
49. Money Fund - Western Asset Class A CG Capital Mkts.		None	J	T	Buy	2/23	J		
50. Washington Mutual Bank CD		Interest	K						
51. U.S. Treasury Bills		Interest	M		Buy	2/5	M		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
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52. U.S. Treasury Bills		Interest	M		Buy	3/5	M		
53. U.S. Treasury Bills		Interest	M		Buy	8/6	M		
54. U.S. Treasury Bills		Interest	M		Buy	8/9	M		
55. U.S. Treasury Bills		Interest			Buy	9/6	M		
56. Indymac Bank - Certificate of Deposit	A	Dividend	L		Buy	9/12	L		
57. JP Morgan Chase - CO Notes		Dividend	K		Buy	11/15	K		
58. JP Morgan Chase CO Notes	A	Dividend	J		Buy	11/21	L		
59.									
60.									
61.									
62.									
63.									

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**FINANCIAL DISCLOSURE REPORT**

Page 8 of 9

<b>Name of Person Reporting</b>	<b>Date of Report</b>
Pregerson, Harry	09/04/2008

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

NOTE: We have tried to keep all items in Section VII listed in the same order as previous report with the new items being placed at the end of the list. The exception is the new IRA accounts -- buy and sell in the IRA accounts has remained within the IRA account setup.

If you see a stock listed again on the report, it is because it was purchased through a different brokerage firm.

During the latter part of the year, moved all items from Wachovia over to Edward Jones. No longer with Wachovia.



**FINANCIAL DISCLOSURE REPORT**

Page 9 of 9

Name of Person Reporting

Pregerson, Harry

Date of Report

09/04/2008

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544