

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Pregerson, Harry	2. Court or Organization United States Court of Appeals for Ninth Circuit	3. Date of Report 05/18/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Court Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 21800 Oxnard Street Suite 1140 Woodland Hills, Ca 91367	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Marine Corps Reserve Officers Association
2. Member	Advisory Board, Childhelp USA
3. Member	Advisory Board of Directors, Metropolitan Division, The Salvation Army
4. Member	Board of Trustees, Devil Pups, Inc
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	State of California Judge's Retirement System	\$17,387.00
2. 2010	GGWH LLC - Nonemployee Compensation	\$18,000.00
3. 2010	Raymeond James & Assoc - IRA	\$1,074.00
4. 2010	Peter E Haas Public Services Award	\$20,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Los Angeles Community College District - Salary
2. 2010	Raymond James & Assoc - IRA
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	

1. Lot 59, Oxnard Shoes, CA (1964)		None	O	W					
2. Lot 60, Oxnard Shoes, CA (1964)		None	O	W					
3. Part of Lot [redacted] Woodland Hills, CA (1963)		None	O	W					
4. Part of Lot [redacted] Woodland Hills, CA (1979)		None	N	W					
5. Part of Lot [redacted] Woodland Hills, CA (1984)		None	N	W					
6. Limited Partnership Interest in Penn Cal Investors, Cal LTD	G	Rent	O	U					
7. CGM403(B) Custodian UIPL LA Community College									
8. - CG Capital Markets Money Market Fund	A	Dividend	J	T					
9. - CG Capital Markets Core Fixed Income Fund	A	Dividend	K	T					
10. - CG Capital Markets Lg Capital Value Investments	C	Dividend	L	T	Buy (add'l)	06/04/10	J		
11.					Sold (part)	07/23/10	K	B	
12. - CG Capital Markets Sm Capital Value Investments	A	Dividend	J	T	Sold (part)	06/04/10	J	B	
13.					Sold (part)	07/23/10	J	A	
14. - CG Capital Markets Emerging Markets Investments	B	Dividend	L	T	Buy (add'l)	06/04/10	J		
15.					Sold (part)	07/23/10	J	A	
16. - CG Capital Markets International Fixed Income Investments	A	Dividend	J	T					
17. - CG Capital Markets International Equity Investments	B	Dividend	K	T	Buy (add'l)	06/04/10	J		

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	

18.					Sold (part)	07/23/10	J	A	
19.	- CG Capital Markets Lg Cap Growth Investment	A	Dividend	M	T	Buy (add'l)	06/04/10	J	
20.					Buy (add'l)	07/23/10	K		
21.	- CG Capital Markets Sm Capital Growth Investments	A	Dividend	J	T	Buy (add'l)	07/23/10	J	
22.					Sold (part)	06/04/10	J	C	
23.	- Western Asset Money Mkt CI A	A	Distribution	N	T	Open	06/30/10	N	
24.	Chase	A	Interest	M	T				
25.	Wachovia Bank CD	A	Interest			Closed	06/30/10	L	
26.	Wachovia Bank	A	Interest			Closed	06/30/10	M	
27.	MorganStanley Smith Barney								
28.	- CG Capital Markets International Equity Investments	B	Dividend	M	T	Buy (add'l)	06/04/10	J	
29.					Sold (part)	07/23/10	J	A	
30.	- CG Capital Markets Lg Cap Growth Investment	A	Dividend	L	T	Buy (add'l)	06/04/10	J	
31.					Buy (add'l)	07/23/10	K		
32.	- Citibank NA BDP				Closed	06/30/10	J		
33.	- Citicorp Trust Bank	A	Interest	K	T				
34.	- Citibank NA South Dakota BDP	A	Interest	M	T				

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. - CG Capital Markets - Money Market	A	Interest	J	T					
36. - CG Capital Markets Core Fixed Income Fund	B	Dividend	K	T					
37. - CG Capital Markets Lg Capital Value Investments	C	Dividend	L	T	Buy (add'l)	06/04/10	J		
38.					Sold (part)	07/23/10	K	B	
39. - CG Capital Markets Sm Capital Value Investments	A	Dividend	J	T	Sold (part)	06/04/10	J	B	
40.					Sold (part)	07/23/10	J	A	
41. - CG Capital Markets Emerging Markets Investments	B	Dividend	L	T	Buy (add'l)	06/04/10	J		
42.					Sold (part)	07/23/10	J	A	
43. - CG Capital Markets International Fixed Income Investments	A	Dividend	J	T					
44. - CG Capital Markets Sm Capital Growth Investments	A	Dividend	K	T	Buy (add'l)	07/23/10	J		
45.					Sold (part)	06/04/10	J	B	
46. - Bank of China - NY DTD 03/31/10, 0.6%	A	Interest	M	T	Buy	03/23/10	M		
47. - American Express Centurion Bk DTD 03/31/10, 0.9%	B	Interest	M	T	Buy	03/23/10	M		
48. - Ally Bank - UT DTD 03/31/10, 1.3%	B	Interest	M	T	Buy	03/23/10	M		
49. Northern Trust									
50. - MFO American Centy CA Tax-Free Bd Fd Inv	D	Dividend	N	T					
51. - MFB NTHN CA Mun Money Mkt	A	Interest	M	T					

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
52. Raymond James										
53. - US Treasury Bills 08/15/23					Sold (part)	10/19/10	K	D		
54.					Sold	10/20/10	K	C		
55. - US Treasury Bills 08/15/21					Sold (part)	10/19/10	K	D		
56.					Sold	10/20/10	J	B		
57. - US Treasury Bills 08/15/20					Sold	10/19/10	K	C		
58. - US Treasury Bills 08/15/22					Sold (part)	10/20/10	K	C		
59.					Sold	10/20/10	J	B		
60. - US Treasury Bills 08/15/24					Sold	10/19/10	K	C		
61. - US Treasury Bills 08/15/26					Sold	10/19/10	K	B		
62. - JP Morgan Chase CO Notes	A	Interest	K	T						
63. - Raymond James Money Market	A	Interest			Closed	06/30/10	J			
64. - Schlumberger LTD Stock					Sold	11/30/10	K	D		
65. - Microsoft Coporation Stock	A	Dividend	K	T	Buy (add'l)	11/30/10	K			
66. - Nuveen Preferred & Conv Income Fund 2	A	Interest			Sold	11/16/10	K	A		
67. - Cascade Bank	A	Interest			Closed	06/17/10	L			
68. - Compass Bank					Closed	06/10/10	L			

- | | | | | | |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
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G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
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| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | M = \$100,001 - \$250,000
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| 3. Value Method Codes
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69. - FHLM 2.5% Due 10/15/22	B	Interest	K	T	Buy	10/25/10	L		
70. - American Express MM	A	Interest	N	T	Open	06/30/10	N		
71. Raymond James IRA #1: Microsoft Corporation Stock	A	Dividend	J	T	Distributed (part)	06/30/10	J		
72. Raymond James IRA #2: Community Bank & Trust CD	A	Interest	J	T	Distributed (part)	06/30/10	J		
73. Harry Pregerson 1996 Irrevocable Trust									
74. - USBank Bank	A	Interest	J	T					
75. - Janus Fund	A	Dividend	J	T					
76. Ing Direct									
77. - Orange Savings	B	Interest	M	T					
78. - Orange CD	A	Interest			Closed	06/30/10	K		
79. - Orange CD	A	Interest			Closed	06/30/10	K		
80. City National									
81. - CDs	B	Interest	N						
82. - Checking Accts	A	Interest	N						
83. Janus Money Market Fund D	A	Int./Div.	N	T					
84. Wells Fargo Bank	A	Interest	N	T	Open	06/30/10	N		
85. Vanguard 403(B)7 A/C Total Bond Mkt Index Inv	A	Dividend	K	T	Buy	06/30/10	K		

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86.									
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Asset #74 CalNational changed its name to US Bank

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Harry Pregerson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
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