United States Court of Appeals
for the Fifth Circuit

Priscilla R. Owen
Circuit Judge
903 San Jacinto Boulevard
Austin, Texas 78701
512-916-5167

July 6, 2007

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FINANCIAL
DISCLOSURE OFFICE

The Honorable Ortrie D. Smith, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbia Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2006 Filing

Dear Judge Smith:

Thank you for your letter pointing out the need to amend and supplement my report. I apologize. In spite of many, many hours of pouring over my records and the form, my goal of filing a faultless report was not met! The omissions were inadvertent.

Regarding Part IV, lines 1-4, the additional information is as follows:

Council for National Policy, Ritz-Carlton, Lake Las Vegas, Henderson, Nevada
Defense Research Institute, Pointe Hilton Tapatio Cliffs, Phoenix, Arizona
Southern Illinois University School of Law, SIU Law School, Carbondale, Illinois (and unplanned layover in St. Louis, Missouri, due to cancellation of connecting flight).
Federalist Society, Mayflower Hotel, Washington, D.C.

Regarding, Part VII, page 8, lines 80, 84, and 85, and page 9, lines 87, 89, 90, and 102, Column D(1), additional information is as follows:

Line 80, Clipper Fund – Value Code 2 should be J
Line 84, Federated Stock Trust – Value Code 2 should be J
Line 85, Federated Stock Trust – Value Code 2 should be J
Line 87, LKCM Small Cap Equity Fund – Value Code 2 should be J

Line 89, T Rowe Price Mid-Cap Growth Fund – Value Code 2 should be J
Line 90, T Rowe Price Mid-Cap Growth Fund – Value Code 2 should be J
Line 102, Oakmark I Fund – Value Code 2 should be J

Regarding Part VII, page 10, line 109 for "Dodge and Cox International," the value (J-P) is Code L, and the value method (Q-W) is Code T.

Please accept this letter as an amendment to my report. Three copies are included with the original of this letter.

Best regards,

[redacted]

Priscilla R. Owen

AO 10 Rev. 1/2007	**FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Owen, Priscilla R	**2. Court or Organization** Fifth Circuit Court of Appeals	**3. Date of Report** 05/11/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	**5a. Report Type (check appropriate type)** ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2006 to 12/31/2006
7. Chambers or Office Address Homer Thornberry Judicial Bldg 903 San Jacinto Blvd., Rm 434 Austin, Texas 78701	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer__________ Date______	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Texas Hearing and Service Dogs
2.	Advisory Board Member	Federalist Society, Austin Chapter
3.	Advisroy Board Member	Federalist Society, Houston Chapter
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2006	State of Texas Employee Retirement System, Judicial Retirement Plan System II; age 65, 50% of state salary paid TX Supreme Court justice or 40% at 60
2. 2006	State of Texas TexasSaver 401K Plan; contributions may reamain in this plan or can roll over to an IRA or qualified 401K
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2006	United States Department of Agriculture - Direct and Counter Cyclical Program	$ 448.00
2.			
3.			
4.			
5.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

[X] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		
5.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DESCRIPTION
1.	Council for National Policy	2-9-2006 hotel, meals, transportation; conference
2.	Defense Research Institute	3-9-2006 hotel, meals, transportation; CLE, conference
3.	Southern Illinois University School of Law	4-24-2006 hotel, meals, transporation; Hiram H. Lesar Lecture
4.	Federalist Society	11-15-2006 hotel, meals, transportation; annual meeting
5.		

Name of Person Reporting	Date of Report
Owen, Priscilla R	05/11/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	The Headliners Club	Honorary Membership (dues, privileges)	$ 1200.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

[X] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Owen, Priscilla R	05/11/2007

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Federated Prime Obligation	A	Dividend	J	T					
2.	T Rowe Price Mid-Cap Growth	A	Dividend	J	T					
3.	Vanguard Index 500 Fund	A	Dividend	J	T					
4.	1/8 interest, surface, [redacted] Matagorda County, Texas	B	Rent	K	W					
5.	1/12 mineral interest, [redacted] Matagorda County, Texas		None	J	W					
6.	1/4 interest in [redacted] Matagorda County, Texas	A	Rent	J	W					
7.	[redacted] Matagorda County, Texas	A	Rent	J	W					
8.	net royalty .0040779 (Apache), Matagorda County, Texas	A	Royalty	J	W					
9.	net royalty .0016627 (Unit) Matagorda County, Texas		None	J	W					
10.	7/24 mineral int. (Holliman), [redacted] Matagorda Cty, TX	A	Rent	J	W					
11.	royalty or mineral interests in Matagorda, Brazoria, Cts.,TX		None	J	W					
12.	cash, Community Bank & Trust	A	Interest	J	T					
13.	cash, Chase checking	A	Interest	J	T					
14.	cash, Chase money market	A	Interest	J	T					
15.	Federated Prime Obligation (IRA)	A	Dividend	K	T					
16.						redeem part	1/13	J	A	
17.						redeem part	2/02	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Owen, Priscilla R	05/11/2007

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					redeem part	2/13	J	A	
19.					redeem part	2/16	J	A	
20.					redeem part	2/28	J	A	
21.					redeem part	3/02	J	A	
22.					redeem part	3/10	J	A	
23.					redeem part	4/04	J	A	
24.					redeem part	4/17	J	A	
25.					redeem part	5/11	J	A	
26.					redeem part	5/23	J	A	
27.					redeem part	6/13	J	A	
28.					redeem part	7/13	J	A	
29.					redeem part	8/14	J	A	
30.					redeem part	9/14	J	A	
31.					redeem part	9/15	J	A	
32.					redeem part	10/12	J	A	
33.					redeem part	11/14	J	A	
34.					redeem part	12/07	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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35.					redeem part	12/13	J	A	
36.					redeem part	12/15	J	A	
37.					purchase	1/05	J		
38.					purchase	2/03	J		
39.					purchase	2/07	J		
40.					purchase	2/13	J		
41.					purchase	2/15	J		
42.					purchase	2/27	J		
43.					purchase	3/03	J		
44.					purchase	3/16	J		
45.					purchase	3/21	J		
46.					purchase	3/21	J		
47.					purchase	3/31	L		
48.					purchase	4/06	J		
49.					purchase	5/01	J		
50.					purchase	5/03	J		
51.					purchase	5/08	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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52.					purchase	5/16	J		
53.					purchase	5/23	J		
54.					purchase	5/30	J		
55.					purchase	6/05	J		
56.					purchase	6/27	J		
57.					purchase	6/28	J		
58.					purchase	6/29	J		
59.					purchase	7/07	J		
60.					purchase	8/03	J		
61.					purchase	8/04	J		
62.					purchase	8/07	J		
63.					purcahse	8/11	J		
64.					purchase	9/06	J		
65.					purchase	9/26	J		
66.					purchase	9/27	J		
67.					purchase	9/28	J		
68.					purchase	10/04	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69.					purchase	11/03	J		
70.					purchase	11/30	J		
71.					purchase	12/05	J		
72.					purchase	12/11	K		
73.					purchase	12/12	J		
74.					purchase	12.20	J		
75.					purchase	12/21	J		
76.					purchase	12/22	J		
77.					purchase	12/26	J		
78.					purchase	12/28	J		
79.					purchase	12/29	J		
80. Clipper Fund (IRA)		None			gain dist.	5/22		B	
81.					sold	12/08	K	C	
82. Federal Nat'l Mortgage Ass'n 3.30% (IRA)	A	Interest			matured	12/11	J	A	
83. Federal Nat'l Mortgage Ass'n 3.77% (IRA)	B	Interest	K	T					
84. Federated Stock Trust (IRA)	A	Dividend	K	T	gain dist.	11/29		A	
85.					gain dist.	11/29		B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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86. Harbor Capital Appreciation Fund (IRA)	A	Dividend	J	T					
87. LKCM Small Cap Equity Fund (IRA)		None	L	T	gain dist.	1/04		D	
88. T Rowe Price Blue Chip Growth Fund (IRA)	A	Dividend	L	T					
89. T Rowe Price Mid-Cap Growth Fund (IRA)	A	Dividend	L	T	gain dist.	12/21		A	
90.					gain dist.	12/21		C	
91. Tweedy Browne Global Value Fund (IRA)		None			sold	3/31	L	E	
92. Vanguard Growth & Income Fund (IRA)	A	Dividend	K	T					
93. Vanguard Index 500 Fund (IRA)	B	Dividend	M	T					
94. Fidelity U.S. Bond Index Fund (401K)	A	Dividend	J	T					
95. Federal Nat'l Mortgage Assn'n MTN Note 4.1% (IRA)	A	Interest	J	T					
96. Federal Farm Cr BKS Cons Deb 5.05% (IRA)	A	Interest	J	T					
97. CitiGroup Inc. Common (IRA)	A	Dividend			sold	5/05	J	A	
98. Kinder Morgan Inc. Kans Common (IRA)	A	Dividend			sold	5/05	J	A	
99. Procter & Gamble Co. (IRA)	A	Dividend			sold	5/05	J	A	
100. Vanguard Total Stock Market Index Portfolio (IRA)	A	Dividend	J	T					
101. Oakmark I Fund (IRA)	A	Dividend			purchase	12/07	K		
102.					gain dist.	12/19		B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. ConocoPhillips Common (IRA)	A	Dividend			purchase	2/13	J		
104.					sold	5/05	J	A	
105. Valero Enerby Corp NEW (IRA)	A	Dividend			purchase	2/13	J		
106.					sold	5/05	J	B	
107. Federal Farm CR BKS CONS DEB 3.7%	A	Interest	J	T	purchase	2/02	J		
108. Federal Home Loan MRT Corp 3.25%	A	Interest	J	T	purschase	2/27	J		
109. Dodge & Cox International		None			purchase	4/04	L		
110.					purchase	12/07	J		
111. Federal Home LN MTG Corp MTN NOTE 5.0%		None	J	T	purchase	9/15	J		
112. Federal NATL MTG ASSN DEB 5.4%		None	J	T	purchase	12/12	J		
113. National Covenant Properties -- CD	A	Interest	J	T	purchase	7/31	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Owen, Priscilla R	05/11/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Name of Person Reporting	Date of Report
Owen, Priscilla R	05/11/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] **Date** May 11, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544