

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008**

1. Person Reporting (last name, first, middle initial) RAGGI, REENA	2. Court or Organization U.S. COURT OF APPEALS, 2ND CIR	3. Date of Report 05/07/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES CIRCUIT JUDGE	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. COURTHOUSE 225 CADMAN PLAZA EAST BROOKLYN, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. MEMBER, JUDICIAL ADVISORY BOARD	GEORGE MASON SCHOOL OF LAW, CENTER FOR LAW AND ECONOMICS
2. MEMBER, PARISH COUNCIL	CHURCH OF THE ASSUMPTION, BROOKLYN NEW YORK
3. TRUSTEE	WILLIAM NELSON CROMWELL FOUNDATION
4. SECRETARY, BOARD	PIERREPONT STREET TENANTS' ASSOCIATION
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	NEW YORK UNIVERSITY LAW SCHOOL - SALARY	\$25,830.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. DUKE LAW SCHOOL	01/31/2008	DURHAM, NC	LECTURE	HOTEL AND MEALS
2. WESTERN NEW ENGLAND COLLEGE OF LAW	05/15/2008	SPRINGFIELD, MA	COMMENCEMENT ADDRESS	HOTEL AND MEALS
3. HARVARD LAW SCHOOL	10/05/2008	WASHINGTON, DC	LECTURE	HOTEL AND MEALS
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CITIBANK PREFERRED MONEY MARKET ACCOUNT	A	Interest	J	T					
2. CITIBANK SAVINGS ACCOUNT	B	Interest	J	T					
3. CITIBANK CHECKING ACCOUNT	A	Interest	J	T					
4. CITI NEW YORK TAX FREE RESERVE MMF - R	C	Interest	M	T					
5. CITI NEW YORK TAX FREE RESERVE MMF - R	A	Interest	J	T					
6. CITIGROUP GLOBAL MKTS INC ACCOUNT NO.1 - R									
7. -FEDERAL HOME LOAN BANK CONS BONDS	C	Interest			REDEMPTION	12/25	L		
8. -FEDERAL FARM CREDIT BANK CONS BONDS	D	Interest	N	T	REDEEM PART	03/30	L	B	
9. -NEW YORK CITY HLTH & HOSP CRP TAX EXEMPT MUNI BONDS		None			Closed				
10. -NEW YORK CITY GENL OBLIG TAX EXEMPT OID		None			Closed				
11. -U.S. TREASURY NOTES SER R 2011 DTD 12/31/2006	E	Interest	O	T					
12. -FEDERAL HOME LOAN BANK CONS BOND DTD 05/18/2005	B	Interest			Redeemed	02/08	M		
13. -FEDERAL HOME LOAN BANK CONS BONDS DTD 01/29/03	D	Interest			Redeemed	02/01	M		
14. -NEW YORK ST URBAN DEV CORP REV RFDG	D	Interest	M	T					
15. -CORPORATE BOND - GENERAL ELECTRIC CAP CORP DTD-3/06/2008	C	Interest	L	T	Buy	03/03	L		
16. -NEW YORK CITY G/O SER I DD 04/01/04 F/C 8/1/04	B	Interest	M	T	Buy	06/03	M		
17. -NEW YORK N Y GENL OBLIG BDS-G-B/E DD 01/03/2008		None	L	T	Buy	08/29	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -CERTIFICATE OF DEPOSIT		None	L	T	Buy	09/29	L		
19. CITIGROUP GLOBAL MKTS INC ACCO UNT NO.2 - ●									
20. -FEDERAL NATL MTG MEDIUM TERM NOTE	C	Interest			Redeemed	01/25	M		
21. -VARIOUS NY STATE AND NY CITY TAX EXEMPT MUNI BONDS		None			Closed				
22. -NY STATE DORM AUTH REVS ST TAX EXEMPT OID	B	Interest	K	T					
23. -U.S. TREASURY STRIPS		None			Closed				
24. CITIGROUP GLOBAL MKTS INC ACCO UNT NO.3 - ●					Closed				
25. GREENWICH ST.EMPLOYEES FUND					Closed				
26. NY COLLEGE SAVINGS ACCT - ●					Closed				
27. NY COLLEGE SAVINGS ACCT - R					Closed				
28. KEOGH PLAN - MERRILL LYNCH ●					Transferred (to line 32)				
29. CITIGROUP 401K PLAN									
30. -STANDISH MELLON STABLE VALUE					Sold	01/14	K		
31. -RUSSELL 3000 INDEX					Sold	01/14	N		
32. CITIBANK IRA MONEY MARKET A CCOUNT-R	A	Interest	J	T	Transferred (to line 33)				
33. CITI SMITH BARNEY CGM IRA CUST-R									
34. -GENERAL ELECTRIC CAPITAL CORP	C	Interest	M	T	Buy	06/04	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -GENERAL ELECTRIC CAPITAL CORP	C	Interest	M	T	Buy	09/2	M		
36. -COCA COLA ENETRPRISE INC NOTES-ZERO CPN	C	Interest	M	T	Buy	03/03	M		
37. - GOVERNMENT BONDS	C	Interest	L	T	Buy	03/11	L		
38. CHARLES SCHWAB MMF IRA ACCO UNT - ●					Closed				
39. CHARLES SCHWAB & CO INC CUST I RA ROLLOVER	B	Interest	L	T					
40. VANGUARD NEW YORK TAX-EXEMPT MMF	D	Dividend	O	T	Redeemed (part)	06/17	M		
41. VANGUARD INTER-TERM TAX-EXEM PT ADMIRAL SHS	C	Dividend	M	T	Buy	06/17	M		
42. VANGUARD TOTAL STOCK MARKET INDEX FUND ADM	B	Dividend	M	T	Buy	06/17	M		
43. THE VANGUARD GROUP IRA ROLLO VER - R									
44. -VANGUARD PRIME MONEY MARKET FUND	D	Dividend	N	T	Open	01/28	N		
45. -VANGUARD TOTAL BOND MARKET I NDEX FUND	C	Dividend	M	T	Open	06/17	L		
46.									
47.									
48.									
49.									
50.									
51.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- 1) PART VII, PAGE 5, LINE 25: THIS ASSET WAS TRANSFERRED TO THE ESTATE [REDACTED] ON FEBRUARY 5, 2007.
- 2) PART VII, PAGE 5, LINE 30: THIS ASSET WAS FORMERLY KNOWN AS STABLE VALUE FUNDS. THE ASSETS WERE SOLD ON JANUARY 14, 2008 AND ROLLOVERED TO THE VANGUARD GROUP IRA ROLLOVER ACCOUNT.
- 3) PART VII, PAGE 5, LINE 31: THIS ASSET WAS FORMERLY KNOWN AS SALOMON CAPITAL FUND. THE ASSETS WERE SOLD ON JANUARY 14, 2008 AND ROLLOVERED TO THE VANGUARD GROUP IRA ROLLOVER ACCOUNT.
- 4) PART VII, PAGE 5, LINE 39: THIS ASSET REPRESENTS FUNDS FROM A DIRECT ROLLOVER OF AN IRA ACCOUNT OWNED BY [REDACTED] (DEC 02/05/2007).
- 5) PART VII, PAGE 5, LINE 40: THIS ASSET ACCOUNT WAS OPENED ON 09/27/2007 FROM THE PROCEEDS OF A LIFE INSURANCE POLICY ON [REDACTED] (DEC 02/05/2007).
- 6) PART VII, PAGE 5, LINE 24: THIS ACCOUNT WAS CLOSED ON JULY 5, 2006.
- 7) PART VII, PAGE 5, LINE 23: THESE ASSETS WERE REDEEMED ON NOVEMBER 15, 2006.
- 8) PART VII, PAGE 5, LINE 26: THIS ACCOUNT WAS CLOSED ON JANUARY 31, 2007.
- 9) PART VII, PAGE 5, LINE 27: THIS ACCOUNT WAS CLOSED ON JANUARY 31, 2007.
- 10) PART VII, PAGE 5, LINE 28: THIS ACCOUNT REPRESENTS THE RETIREMENT ASSETS OF [REDACTED] (DEC 02/05/2007). THE ASSETS WERE TRANSFERRED TO CITIBANK ROLLOVER IRA ACCOUNT ON FEBRUARY 29, 2008.
- 11) PART VII, PAGE 5, LINE 29: THIS ACCOUNT REPRESENTS THE RETIREMENT ASSETS OF [REDACTED] (DEC 02/05/2007). THE ASSETS WERE SOLD ON JANUARY 14, 2008 AND TRANSFERRED TO THE VANGUARD GROUP IRA ROLLOVER ACCOUNT.
- 12) PART VII, PAGE 6, LINE 38: THIS ACCOUNT WAS CLOSED ON NOVEMBER 27, 2007.
- 13) PART VII, PAGE 6, LINE 44: THIS ACCOUNT WAS OPENED ON JANUARY 28, 2008 FROM THE ROLLOVER FUNDS FROM THE CITIGROUP 401(K) PLAN FROM LINE #30 AND LINE #31.
- 14) PART VII, PAGE 6, LINE 45: THIS ACCOUNT WAS OPENED ON JUNE 17, 2008 AS AN EXCHANGE FROM THE VANGUARD PRIME MONEY MARKET FUND FROM LINE #44.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544