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Rev. 1/2008

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Erickson, Ralph R.	**2. Court or Organization** District of North Dakota	**3. Date of Report** 05/13/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge--Active	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2008 to 12/31/2008
7. Chambers or Office Address Quentin Burdick US Courthouse 655 1st Ave. N., Ste. 410 Fargo, ND 58102-4952	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer**__________ **Date**______	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 1/15/88	401K Ohnstad Twichell, P.C.
2. 2/15/93	NDPERS County Retirement Plan
3. 1/02/05	NDPERS State Judicial Retirement Plan
4. 12/31/96	457(b) Plan State of North Dakota

Name of Person Reporting	Date of Report
Erickson, Ralph R.	05/13/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	12/31/08	Self-employed Optometrist
2.		
3.		
4.		

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Erickson, Ralph R.	05/13/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Erickson, Ralph R.	05/13/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AWSHX	A	Dividend	J	T					
2. Lsi Logic Corp.		None	J	T					
3. Intel, Inc., common stock	A	Dividend	J	T					
4. Alcatel Lucent common stock		None	J	T					
5. Oakmark Select, mutual fund	A	Dividend	J	T					
6. Proctor & Gamble, common stock	A	Dividend	J	T					
7. Wells Fargo & Co., common stock	A	Dividend	J	T					
8. PIMCO High Yield Fund #I 08, Alerus Fina ncial (SEP IRA) PHIYX	A	Dividend	J	T					
9. Federated Kaufmann Small Cap Alerus SEP IRA SEP IRA FKASX		None	J	T	Sold (part)	12/19	J		
10. Goldman Sachs Real Estate Securities Fund #639 SEP IRA GREIX	A	Dividend	J	T		*			
11. Fidelity Advisors Midcap Fund #533 Alerus SEP IRA FMCCX	A	Dividend			Sold	5/16	K		
12. Pimco Commodity Real Return Strategy Fu nd Inst. #45 SEP	A	Dividend	J	T		*			
13. Vanguard 500 Index Signal Shares Fund I 340 (Alerus SEP)	A	Dividend	K	T	Sold (part)	12/19	J		
14. Fidelity Investors Diversified Int. Fd. Alerus SEP FDVIX	A	Dividend	K	T	Sold (part)	1/22	J	A	
15. Northern Instutional Funds Prime Obligatio ns Alerus SEP	A	Int./Div.	J	T					
16. Dimensional US Small Cap Value Fund (Al erus SEP)	A	Dividend	K	T		*			
17. Janus Advisor Mid Cap Growth (Alerus SEP)I	A	Dividend	J	T	Buy	5/20	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

of filing

	(A-H)	or int.)	(J-P)	Code 3 (Q-W)	redemption)	Day	(J-P)	(A-H)	(if private transaction)
18. Riversource Mid Cap Value R5 (Alerus SE	A	Dividend	J	T	Buy	5/21	K		
19. Federated Capital Reserve Fund, State Bank & Trust (X) 401K	C	Dividend	K	T					
20. ING Aetna 457(b) ING (Aetna) VP Index P lus Large Cap.		None	K	T					
21. ING Aetna 457(b) Fidelity VIP Growth Po rtfolio 109		None	K	T					
22. ING Aetna 457(b) T.Rowe Price MC Gr-Ini t-449		None	J	T					
23. ING Aetna 457(b) Oppenheimer Global Pt-Init--432		None	J	T					
24. Gate City Savings--Savings Account	A	Interest	J	T					
25. Digital Broadcast Corporation		None	J	W					
26. Phoenix Life Insurance Policy Trust #1	D	Int./Div.	M	T					
27. Guardian Insurance ([redacted] Life Insurance Cash Value)	D	Dividend	L	T					
28. Guardian Insurance (Cash Value)	C	Dividend	L	T					
29. Guardian Insurance (Cash Value)		None				*			
30. Guardian Insurance (Cash Value)	C	Dividend	K	T					
31. Jackson National Life [redacted] Life Insura nce)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Sec. VII Line 29. Purchased new Guardian Life Insurance Policy on October 1, 2007. Under the terms of the policy it has no accumulated cash value or surrender value at this time.

Sec. VII Line 10. Partial holding in Goldman Sachs Real Estate Securities fund was sold on 4/30/2008 value code "J" no gain was realized. Additional shares were purchased on 7/7/2008 value code "J" and 12/19/2008 value code "J".

Sec. VII Line 12. Partial holding in Pimco Commodity Real Return Strategy fund #45 was sold on 4/30/2008 value code "J" gain code "A". Additional shares were purchased on 12/19/2008 value code "J".

Sec. VII Line 16. Purchased holding in Dimensional US Small Cap Value Fund on 1/04/2008 value code "J". Additional shares were purchased on 5/20/2008 value code "J." Partial holdings were sold on 7/21/2008 value code "J" no gain was realized. Partial holdings were sold on 1021/2008 value code "J" no gain was realized.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signatu[redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544