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Rev. 1/2010

### FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Erickson, Ralph R.	2. Court or Organization District of North Dakota	3. Date of Report 05/10/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge--Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address Quentin Burdick US Courthouse 655 1st Ave. N., Ste. 410 Fargo, ND 58102-4952	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1/15/88	401K Ohnstad Twichell, P.C.
2. 2/15/93	NDPERS County Retirement Plan
3. 1/02/05	NDPERS State Judicial Retirement Plan
4. 12/31/96	457(b) Plan State of North Dakota

Erickson, Ralph R.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.			
2.			
3.			
4.			

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.*  
*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	12/31/2010	Self-employed Optometrist
2.		
3.		
4.		

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.*  
*(Includes those to spouse and dependent children: see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting Erickson, Ralph R.	Date of Report 05/10/2010
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AWSHX	A	Dividend	J	T					
2. Lsi Logic Corp.		None	J	T					
3. Intel, Inc., common stock	A	Dividend	J	T					
4. Alcatel Lucent common stock		None	J	T					
5. Oakmark Select, mutual fund	A	Dividend	J	T					
6. Proctor & Gamble, common stock	A	Dividend	J	T					
7. Wells Fargo & Co., common stock	A	Dividend	J	T					
8. PIMCO High Yield Fund #108, Alerus Financial (SEP IRA) PHIYX	A	Dividend			Sold	02/17/09	J		
9. Federated Total Return Bond fund 328	A	Dividend			Buy	02/19/09	J		
10. Federated Total Return Bond Fund 328		None			Buy (add'l)	04/06/09	J		
11. Federated Total Return Bond Fund 328		None			Buy (add'l)	04/24/09	J		
12. Federated Total Return Bond Fund 328		None			Buy (add'l)	06/04/09	J		
13. Federated Total Return Bond Fund 328		None			Buy (add'l)	07/09/09	J		
14. Federated Total Return Bond Fund 328		None			Buy (add'l)	08/04/09	J		
15. Federated Total Return Bond Fund 328		None			Buy (add'l)	08/10/09	J		
16. Federated Total Return Bond Fund 328		None			Buy (add'l)	10/06/09	J		
17. Federated Total Return Fund 328		None			Buy (add'l)	12/21/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Federated Total Return Fund 328		None			Sold	12/31/09	J		
19. Federated Kaufmann Small Cap Alerus SEP IRA SEP IRA FKASX		None			Sold (part)	01/21/09	J		
20. Federated Kaufmann Small Cap Alerus SEP		None			Buy (add'l)	04/21/09	J		
21. Federated Kaufmann Small Cap Alerus SEP					Sold	05/29/09	J		
22. Goldman Sachs Real Estate Securities Fund #639 SEP IRA GREIX	A	Dividend			Buy (add'l)	01/06/09	J		
23. Goldman Sachs Real Estate Securities Fund		None			Buy (add'l)	02/19/09	J		
24. Goldman Sachs Real Estate Securities Fund		None			Sold	11/17/09	J		
25. Pimco Commodity Real Return Strategy Fund Inst. #45 SEP	A	Dividend			Sold (part)	12/21/09	J		
26. Pimco Commodity Real Return Strategy Fund					Sold	12/31/09	J		
27. Vanguard 500 Index Signal Shares Fund 1340 (Alerus SEP)	A	Dividend			Buy (add'l)	12/21/09	J		
28. Vanguard 500 Index Signal Shares Fund 1340					Sold	12/31/09	L		
29. Fidelity Investors Diversified Int. Fd. Alerus SEP FDVIX	A	Dividend			Buy (add'l)	01/06/09	J		
30. Fidelity Investors Diversified Int. Fund					Buy (add'l)	02/19/09	J		
31. Fidelity Investors Diversified Int. Fund					Buy (add'l)	04/06/09	J		
32. Fidelity Investors Diversified Int. Fund					Buy (add'l)	04/21/09	J		
33. Fidelity Investors Diversified Int. Fund					Sold	07/22/09	K		
34. Fidelity Advisor Intl. Discovery Fund J	A	Dividend			Buy	07/07/09	J		

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Fidelity Advisor Intl. Discovery Fund I					Buy (add'l)	07/22/09	K		
36. Fidelity Advisor Intl. Discovery Fund I					Sold (part)	12/21/09	J	A	
37. Fidelity Advisor Intl. Discovery Fund I					Sold	12/31/09	J	A	
38. Northern Institutional Funds Prime Obligations Alerus SEP	A	Interest	M	T	Buy (add'l)	12/31/09	M		
39. Dimensional US Small Cap Value Fund (Alerus SEP)	A	Dividend			Buy (add'l)	02/19/09	J		
40. Dimensional US Small Cap Value Fund (Alerus SEP)					Buy (add'l)	03/19/09	J		
41. Dimensional US Small Cap Value Fund (Alerus SEP)					Sold (part)	04/15/09	J		
42. Dimensional US Small Cap Value Fund (Alerus SEP)					Buy (add'l)	06/19/09	J		
43. Dimensional US Small Cap Value Fund (Alerus SEP)					Sold (part)	07/21/09	J		
44. Dimensional US Small Cap Value Fund (Alerus SEP)					Sold (part)	10/20/09	J		
45. Dimensional US Small Cap Value Fund (Alerus SEP)					Sold (part)	12/21/09	J		
46. Dimensional US Small Cap Value Fund (Alerus SEP)					Sold	12/31/09	K		
47. Janus Advisor Mid Cap Growth (Alerus SEP) I					Sold (part)	01/21/09	J		
48. Janus Advisor Mid Cap Growth (Alerus SEP) I	A	Dividend			Merged (with line 49)	07/06/09	K		
49. Janus Enterprise Fund CI I	A	Dividend			Open	07/06/09	K		
50. Janus Enterprise Fund CI I					Sold (part)	12/21/09	J		
51. Janus Enterprise Fund CI I					Sold	12/31/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market:	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Riversource Mid Cap Value R5 (Alerus SEP)	A	Dividend			Sold (part)	12/21/09	J		
53. Riversource Mid Cap Value R5 (Alerus SEP)	A	Dividend			Sold	12/31/09	K		
54. Buffalo Small Cap FD Inc. (Alerus Sep)	A	Dividend			Buy	05/19/09	J		
55. Buffalo Small Cap FD Inc. (Alerus SEP)					Buy (add'l)	01/29/09	J		
56. Buffalo Small Cap FD Inc. (Alerus SEP)					Sold (part)	07/21/09	J	A	
57. Buffalo Small Cap FD Inc. (Alerus SEP)					Buy (add'l)	09/04/09	J		
58. Buffalo Small Cap FD Inc. (Alerus SEP)					Buy (add'l)	09/21/09	J		
59. Buffalo Small Cap FD Inc. (Alerus SEP)					Buy (add'l)	10/06/09	J		
60. Buffalo Small Cap FD Inc. (Alerus SEP)					Buy (add'l)	11/19/09	J		
61. Buffalo Small Cap FD Inc. (Alerus SEP)					Buy (add'l)	12/04/09	J		
62. Buffalo Small Cap FD Inc (Alerus SEP)					Sold (part)	12/21/09	J	A	
63. Buffalo Small Cap FD Inc (Alerus SEP)					Sold	12/31/09	J	A	
64. Cohen & Steers Institutional Global Realty Fund Class I	A	Dividend			Buy	10/06/09	J		
65. Cohen & Steers Institutional Global Realty Fund Class I					Buy	12/21/09	J		
66. Cohen & Steers Institutional Global Realty Fund Class I	A	Dividend			Sold	12/31/09	J		
67. Federated Capital Reserve Fund, State Bank & Trust (X) 401K	A	Interest	K	T					
68. ING Actna 457(b) ING (Actna) VP Index Plus Large Cap.	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. ING Aetna 457(b) Fidelity VIP Growth Portfolio 109	A	Int./Div.	K	T					
70. ING Aetna 457(b) T.Rowe Price MC Gr-Init-449	A	Int./Div.	J	T					
71. ING Aetna 457(b) Oppenheimer Global Pt-Init-432	A	Int./Div.	J	T					
72. Gate City Savings--Savings Account	A	Interest	J	T					
73. Digital Broadcast Corporation		None	J	W					
74. Phoenix Life Insurance Policy Trust #1	D	Int./Div.			Distributed	12/29/09	M		
75. Guardian Insurance Trust #1			M	T	Buy	12/29/09	M		
76. Guardian Insurance [redacted] Life Insurance (Cash Value)	D	Dividend	L	T					
77. Guardian Insurance (Cash Value)	C	Dividend	L	T					
78. Guardian Insurance (Cash Value)	C	Dividend	K	T					
79. Jackson National Life [redacted] Life Insurance)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

VII Investments and Trusts. Lines 9 through 18. Federated Total Return Bond Fund 328. Various amounts of shares were bought and sold on the dates indicated. The entire holding was liquidated on December 31, 2009 as is indicated in line 18. All holdings in the SEP were liquidated and transferred to the Northern Institutions Prime Funds Prime Obligations Account as indicated on Line 38 in anticipation of restatement of [REDACTED] retirement plan.

VII. Investments and Trusts. Lines 19 through 21. Federated Kaufman Small Cap Fund. Various amounts of shares were bought and sold on the dates indicated. The entire remaining holding was liquidated on May 29, 2009 as indicated on Line 21.

VII. Investments and Trusts. Lines 22 through 24. Goldman Sachs Real Estate Securities Fund. Various amounts of shares were bought and sold on the dates indicated. The entire remaining holding was liquidated on November 17, 2009 as indicated on Line 24.

VII Investments and Trusts. Lines 25 through 26. Pimco Commodity Real Return Strategy Fund. Various amounts of shares were sold on the dates indicated. The entire holding was liquidated on December 31, 2009 as is indicated in line 26. All holdings in the SEP were liquidated and transferred to the Northern Institutions Prime Funds Prime Obligations Account as indicated on Line 38 in anticipation of restatement of [REDACTED] retirement plan.

VII Investments and Trusts. Lines 27 through 28. Vanguard 500 Index Signal Shares Fund. Various amounts of shares were bought on December 21, 2009. The entire holding was liquidated on December 31, 2009 as is indicated in line 28. All holdings in the SEP were liquidated and transferred to the Northern Institutions Prime Funds Prime Obligations Account as indicated on Line 38 in anticipation of restatement of [REDACTED] retirement plan.

VII Investments and Trusts. Lines 29 through 33. Fidelity Investors Diversified International Fund. Various amounts of shares were bought and sold on the dates indicated. The entire holding was liquidated on December 31, 2009 as is indicated in line 33. All holdings in the SEP were liquidated and transferred to the Northern Institutions Prime Funds Prime Obligations Account as indicated on Line 38 in anticipation of restatement of [REDACTED] retirement plan.

VII Investments and Trusts. Lines 34 through 37. Fidelity Advisors International Discovery Fund 1. Various amounts of shares were bought and sold on the dates indicated. The entire holding was liquidated on December 31, 2009 as is indicated in line 37. All holdings in the SEP were liquidated and transferred to the Northern Institutions Prime Funds Prime Obligations Account as indicated on Line 38 in anticipation of restatement of [REDACTED] retirement plan.

VII Investments and Trusts. Lines 39 through 46. Dimensional US Small Cap Value Fund. Various amounts of shares were bought and sold on the dates indicated. The entire holding was liquidated on December 31, 2009 as is indicated in line 46. All holdings in the SEP were liquidated and transferred to the Northern Institutions Prime Funds Prime Obligations Account as indicated on Line 38 in anticipation of restatement of [REDACTED] retirement plan.

VII. Investments and Trusts. Line 48. Janus Advisor Mid Cap Growth Fund was exchanged for Janus Enterprise CI 1 on July 6, 2009.

VII Investments and Trusts. Lines 49 through 51. Janus Enterprise CI 1 Fund. Various amounts of shares were bought and sold on the dates indicated. The entire holding was liquidated on December 31, 2009 as is indicated in line 51. All holdings in the SEP were liquidated and transferred to the Northern Institutions Prime Funds Prime Obligations Account as indicated on Line 38 in anticipation of restatement of [REDACTED] retirement plan.

VII Investments and Trusts. Lines 54 through 63. Buffalo Small Cap FD Inc.. Various amounts of shares were bought and sold on the dates indicated. The entire holding was liquidated on December 31, 2009 as is indicated in line 63. All holdings in the SEP were liquidated and transferred to the Northern Institutions Prime Funds Prime Obligations Account as indicated on Line 38 in anticipation of restatement of [REDACTED] retirement plan.

VII Investments and Trusts. Lines 64 through 67. Cohen & Steers Institutional Global Fund. Various amounts of shares were bought and sold on the dates indicated. The entire holding was liquidated on December 31, 2009 as is indicated in line 67. All holdings in the SEP were liquidated and transferred to the Northern Institutions Prime Funds Prime Obligations Account as indicated on Line 38 in anticipation of restatement of [REDACTED] retirement plan.

VII. Investments and Trusts. Lines 74 and 75. Section 1035 exchange between Phoenix Life Insurance and Guardian Mutual took place on December 29, 2009.

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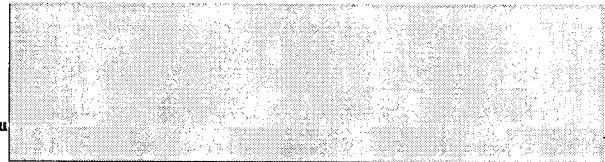
Name of Person Reporting Erickson, Ralph R.	Date of Report 05/10/2010
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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544