

### FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Caputo, A. Richard	2. Court or Organization U.S. Dist. Ct. M.D. of PA	3. Date of Report 5/01/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address 235 North Washington Avenue P.O. Box 1246 Scranton, PA 18501	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Family Trust
2.	
3.	
4.	
5.	

RECEIVED  
MAY 3 10 53 AM '06  
U.S. DISTRICT COURT  
SCRANTON, PA

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 11

Name of Person Reporting

Caputo, A. Richard

Date of Report

5/01/2006

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		
5.		

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 11

Name of Person Reporting

Caputo, A. Richard

Date of Report

5/01/2006

**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Caputo, A. Richard

Date of Report

5/01/2006

**VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)**

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [REDACTED] Trust	C	Dividend	N	T					
2. - Acco Brands Corp. (spinoff from Fortune Brand) Common Stk									
3. - Allergan Inc. - Common Stk									
4. - Altria Group Inc. - Common Stk					Sold	2/2	J	C	
5. - Burlington Resources - Common Stk					Buy	11/16	J		
6. - Citigroup Inc. - Common Stk									
7. - Citigroup Inc. - Common Stk					Buy	11/16	J		
8. - Carnival Corp. k/n/a Carnival CP New Paired - Common Stk									
9. - Cendant Corp. - Common Stk									
10. - Chico's FAS Inc. - Common Stk					Partial Sale	5/23	J	C	
11.					Partial Sale	8/4	J	C	
12. - Cooper COS Inc. - Common Stk									
13. - Conoco Phillips - Common Stk					Partial Sale	11/16	J	C	
14. - Cisco Sys. Inc. - Common Stk									
15. - Chevron n/k/a Chevron Texaco Corp. - Common Stk					Sold	6/29	J	C	
16. - Dominion Res. Inc. VA NEW - Common Stk									
17. - Dow Chemical Co. - Common Stk									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Caputo, A. Richard

Date of Report

5/01/2006

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Dow Chemical Co. - Common Stk					Buy	11/16	J		
19. - Fortune Brands Inc. - Common Stk					Buy	2/2	J		
20. - General Electric Company - Common Stk									
21. - Goldman Sachs Group Inc. - Common Stk					Buy	11/16	J		
22. - Harley Davidson Inc. - Common Stk					Sold	12/13	J	D	
23. - Ingersoll Rand Company Ltd Class A - Common Stk									
24. - Johnson & Johnson - Common Stk									
25. - LPL Premier Money Market Shares									
26. - Microsoft Corp - Common Stk									
27. - Plantronics Inc. - Common Stk					Buy	5/23	J		
28.					Buy	6/29	J		
29. - Panera Bread Company - Common Stk					Buy	11/16	J		
30. - PHH Corporation (spinoff from Cendant Corp) - Common Stk					Sold	11/16	J	A	
31. - SCP Pool Corp. - Common Stk									
32. - SCP Pool Corp. - Common Stk					Buy	2/2	J		
33. - Symantec Corp. - Common Stk									
34. - Symantec Corp. - Common Stk					Buy	6/29	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	R = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Caputo, A. Richard

Date of Report

5/01/2006

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. IRA #1	B	Dividend	M	T					
36. - Allergan Inc. - Common Stk					Sold	8/19	J	A	
37. - Bristol Myers Squibb Co. - Common Stk									
38. - Citigroup Inc. - Common Stk									
39. - Cendant Corp. - Common Stk									
40. - Chico's FAS Inc. - Common Stk					Partial Sale	5/23	J	C	
41.					Partial Sale	8/4	J	C	
42. - Cooper COS Inc. NEW - Common Stk									
43. - Carlisle Companies Inc. - Common Stk									
44. - Dupont E.I. De Nemour & Company - Common Stk									
45. - Dell Inc. - Common Stk									
46. - Google Inc. - Common Stk					Buy	8/19	J		
47.					Partial Sale	12/6	J	C	
48.					Sold	12/23	J	C	
49. - Harley Davidson Inc.- Common Stk					Sold	12/8	J		
50. - Ishares Tr NASDAQ Biotech Index Fund									
51. - JDS Uniphase Corp. - Common Stk									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Caputo, A. Richard

Date of Report

5/01/2006

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Valuc Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Lucent Technologies Inc. - Common Stk									
53. - Leucadia National Corp. - Common Stk									
54. - Microsoft Corp. - Common Stk									
55. - Precision Castparts Corp. - Common Stk					Partial Sale	12/6	J	D	
56. - Pfizer Incorporated - Common Stk									
57. - PHH Corporation (spinoff from Cendant Corp) - Common Stk									
58. - Plantronics Inc. - Common Stk					Buy	6/16	J		
59. - Sirius Satellite Radio Ind. - Common Stk									
60. - Symantec Corp. - Common Stk									
61. - Wheeling Pittsburgh Corp. - Common Stk					Buy	12/28	J		
62. - Whole Foods Market Inc. - Common Stk									
63. - Whole Foods Market Inc. - Common Stk					Buy	12/16	K		
64. - LPL Premier Money Market Shares									
65. Eastern Penn PA S.D. Bond	B	Interest	L	T					
66. Great Valley S.D. PA Bond	B	Interest	K	T					
67. Pennsylvania State HEFA Hlt Svcs Bond	B	Interest	K	T					
68. PA State B/E Turnpike Comm. Bond	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>Caputo, A. Richard</b>	Date of Report <b>5/01/2006</b>
---	------------------------------------

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Discover Bank Greenwood De Certificate of Deposit	A	Interest	K	T	Buy	5/4	K		
70. LPL Premier Money Market Shares	A	Dividend	J	T					
71. IRA #2	A	Dividend	J	T					
72. - Google Inc. - Common Stk					Buy	11/18	J		
73.					Sold	12/23	J	A	
74. - LPL Premier Money Market Shares									
75. - Standard & Poors Dep Rcpts B/E					Sold	11/18	J	A	
76. - Whole Foods Market Inc. - Common Stk					Buy	12/23	J		
77. Pfizer Inc. - Common Stk	A	Dividend			Sold	12/30	J		
78. Wachovia Corp. - Common Stk	D	Dividend	N	T					
79. Direct TV Group Inc. - Common Stk	A	Dividend	J	T					
80. News Corp. Class A - Common Stk	A	Dividend	J	T					
81. LPL Premier Money Market Shares	B	Dividend	K	T					
82. Wheeling Pittsburgh Corp. - Common Stk	A	Dividend	J	T	Buy	12/30	J		
83. Amcore Bank N.A. Certificate of Deposit	B	Interest			Redemption	3/23	L		
84. Capital Crossing Bank Certificate of Deposit	B	Interest			Redemption	3/23	L		
85. Community Bank Certificate of Deposit	B	Interest			Redemption	10/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	



**FINANCIAL DISCLOSURE REPORT**

Page 9 of 11

Name of Person Reporting

Caputo, A. Richard

Date of Report

5/01/2006

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Discover Bank Greenwood Certificate of Deposit	A	Interest	K	T	Buy	5/4	K		
87. Federal Trust Bank Certificate of Deposit	B	Interest	L	T					
88. Greenville First Bank N.A. Certificate of Deposit	B	Interest			Redemption	10/20	L		
89. Irwin Union Bank & Trust Certificate of Deposit	A	Interest	L	T	Buy	10/14	M		
90. Mercantile Bank West Michigan Certificate of Deposit	B	Interest	L	T					
91. Line Mountain PA Municipal Bond	B	Interest	K	T					
92. Lehigh County PA IDA Municipal Bond	B	Interest	K	T					
93. Northampton PA ASD Municipal Bond	B	Interest	L	T					
94. Westmont Hilltop PA Municipal Bond	C	Interest	L	T					
95. 2.5 acres vacant land - Orange County, Florida		None	M	W	Partial Sale	11/4	K	D	WSG Coral Springs, L.P.

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 O = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

SEE ACCOUNTANTS' COMPILATION REPORT

**FINANCIAL DISCLOSURE REPORT**

Page 10 of 11

Name of Person Reporting	Date of Report
Caputo, A. Richard	5/01/2006

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Part VII - Line 1 - [REDACTED] Trust - Information reported, as in prior years, represents 100% of the trust assets. However, the [REDACTED] is one of three beneficiaries who share equally in the trust.

Part VII - Lines 25, 64, 70, 74, 81 - LPL Premier Money Market Shares is a cash account that is used to hold funds until investment assets are purchased. As such, there are multiple purchase and sale transactions during the year, however, there is never a gain or loss.

Part VII - Line 95 - Orange County, Florida property - The [REDACTED] is one of three individuals who owned the property in equal shares. The sale information that has been reported represents the [REDACTED] only.

**FINANCIAL DISCLOSURE REPORT**

Page 11 of 11

Name of Person Reporting

Caputo, A. Richard

Date of Report

5/01/2006

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 2, 2006

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

# PARENTE RANDOLPH

*The Power of Ideas*

## ACCOUNTANTS' COMPILATION REPORT

The Honorable A. Richard Caputo  
United States District Court  
Scranton, Pennsylvania:

We have compiled the financial disclosure report of the Honorable A. Richard Caputo as of December 31, 2005, included in the accompanying prescribed form in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. We have also compiled the additional information presented in the financial disclosure report.

Our compilation was limited to presenting in the form prescribed by The Committee on Financial Disclosure, Administrative Office of the United States Courts information that is the representation of the individual whose financial disclosure report is presented. We have not audited or reviewed the financial disclosure report and the additional information referred to above and, accordingly, do not express an opinion or any other form of assurance on it.

The financial disclosure report and the additional information are presented in accordance with the requirements of The Committee on Financial Disclosure, Administrative Office of the United States Courts, which differ from generally accepted accounting principles. Accordingly, this financial disclosure report is not designed for those who are not informed about such differences.

Except as prescribed by the requirements of The Committee on Financial Disclosure, Administrative Office of the United States Courts, this financial disclosure report is intended to present the assets of The Honorable A. Richard Caputo at estimated current values and liabilities at estimated current amounts.

The report is intended solely for the information and use of the Honorable A. Richard Caputo and The Committee on Financial Disclosure, Administrative Office of the United States Courts, and is not intended to be, and should not be, used by anyone other than these specified parties.



Wilkes-Barre, Pennsylvania  
April 25, 2006