

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

1. Person Reporting (last name, first, middle initial) Caputo, A. Richard	2. Court or Organization U.S. Dist. Ct. M.D. of PA	3. Date of Report 05/01/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 235 North Washington Avenue P.O. Box 1246 Scranton, PA 18501	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
<p align="center">IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	[REDACTED] Trust
2.		
3.		
4.		
5.		

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Caputo, A. Richard

Date of Report

05/01/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Caputo, A. Richard

Date of Report

05/01/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [REDACTED] Trust	C	Dividend	N	T					
2. - Acco Brands Corp. - Common Stk					Sold	11/6	J	A	
3. - Allergan Inc. - Common Stk					Sold	1/19	J	C	
4. - Amgen Inc. - Common Stk					Buy	1/19	J		
5. - AVIS Budget Group, Inc. (formerly Cendant Corp.)									
6. - Bard C.R. Incorporated - Common Stk					Buy	1/19	J		
7. - Bard C.R. Incorporated - Common Stk					Buy	2/22	J		
8. - Burlington Resources, Inc. - Common Stk					Sold	4/3	K	C	
9. - Carnival Corp k/n/a Carnival CP New Paired - Common Stk									
10. - Chevron Corp. - Common Stk					Buy	11/17	J		
11. - CISCO Systems Inc. - Common Stk									
12. - Citigroup Inc. - Common Stk									
13. - Chico's FAS Inc. - Common Stk					Sold	11/6	J	B	
14. - ConocoPhillips - Common Stk					Partial Sale	2/22	J	D	
15. - Cooper COS Inc. - Common Stk					Sold	1/19	J		
16. - Dominion Res. Inc. VA NEW - Common Stk					Sold	11/16	J	B	
17. - Dow Chemical Co. - Common Stk									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 ● = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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18. - Fortune Brands Inc. - Common Stk									
19. - Fortune Brands Inc. - Common Stk					Buy	2/22	J		
20. - General Electric Company - Common Stk									
21. - Goldman Sachs Group Inc. - Common Stk									
22. - Ingersoll Rand Company Ltd Class A - Common Stk					Sold	11/16	J	B	
23. - Johnson & Johnson - Common Stk									
24. - LPL Premier Money Market Shares					Sold	Var	J		
25. - Microsoft Corp. - Common Stk									
26. - Panera Bread Company - Common Stk									
27. - Plantronics Inc. - Common Stk									
28. - Realogy Corp. (spinoff from Cendant Corp.) - Common Stk									
29. - SCP Pool Corp. - Common Stk					Sold	02/22	J	C	
30. - Sovereign Bank - Insured Cash Account					Opened				
31. - Symantec Corp. - Common Stk					Sold	11/16	J		
32. - Veolia Environment Spon ADR - Common Stk					Buy	11/16	J		
33. - Watts Water Technology - Common Stk					Buy	2/22	J		
34. - Wyndham Worldwide Corp. (spinoff from									

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Cendant Corp.)									
35. - Yahoo Inc. - Common Stk					Buy	02/22	J		
36. IRA #1	C	Dividend	M	T					
37. - Apollo Group Inc. Cl A - Common Stk					Buy	03/02	J		
38. - AVIS Budget Group, Inc. (formerly Cendant Corp.)									
39. - Bristol Myers Squibb Co. - Common Stk					Sold	11/16	J		
40. - Carlisle Companies Inc. - Common Stk									
41. - Chevron Corp. - Common Stk					Buy	11/17	J		
42. - Chico's FAS Inc. - Common Stk					Sold	11/03	J		
43. - Citigroup Inc. - Common Stk									
44. - Cooper COS Inc. NEW - Common Stk									
45. - Dell Inc. - Common Stk					Sold	11/03	J		
46. - Dupont E.I. De Nemour & Company - Common Stk									
47. - Ishares Tr NASDAQ Biotech Index Fund									
48. - JDS Uniphase Corp. - Common Stk									
49. - Leucadia National Corp. - Common Stk									
50. - LPL Premier Money Market Shares					Sold	Var	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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51. - Lucent Technologies Inc. - Common Stk					Sold	04/25	J		
52. - Microsoft Corp. - Common Stk									
53. - Pfizer Incorporated - Common Stk									
54. - Plantronics Inc. - Common Stk									
55. - Precision Castparts Corp. - Common Stk									
56. - PHH Corporation - Common Stk					Sold	11/03	J	A	
57. - Realogy Corp. (spinoff from Cendant Corp.) - Common Stk									
58. - Sirius Satellite Radio Ind - Common Stk									
59. - Sovereign Bank - Insured Cash Account					Opened				
60. - Symantec Corp. - Common Stk					Sold	04/25	J		
61. - Veolia Environment Spon ADR - Common Stk					Buy	11/16	J		
62. - Wheeling Pittsburgh Corp. - Common Stk					Sold	11/08	J	D	
63. - Wheeling Pittsburgh Corp. - Common Stk					Sold	11/08	J	B	
64. - Wheeling Pittsburgh Corp. - Common Stk					Sold	11/08	J	B	
65. - Whole Foods Market Inc. - Common Stk									
66. - Wyndham Worldwide Corp. (spinoff from Cendant Corp.)									
67. Eastern Penn PA S.D. Bond	B	Interest	L	T					

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68. Great Valley S.D. PA Bond	B	Interest	K	T					
69. Pennsylvania State HEFA Hlt Svcs Bond	B	Interest			Redemption	01/03	K		
70. PA State B/E Turnpike Comm. Bond	A	Interest	K	T					
71. Discover Bank Greendwood De Certificate of Deposit	B	Interest			Redemption	5/11	K		
72. GMAC Automotive Bank Certificate of Deposit		None	L	T	Buy	9/28	L		
73. LPL Premier Money Market Shares	B	Dividend			Sold	Var	J		
74. Sovereign Bank - Insured Cash Account	A	Interest	J	T	Opened				
75. Luzerne Bank Accounts	A	Interest	J	T	Opened				
76. Wachovia Bank Account (X)	A	Interest	K	T	Opened				
77. IRA #2	A	Dividend	J	T					
78. - LPL Premier Money Market Shares					Sold	Var	J		
79. - Whole Foods Market Inc. - Common Stk									
80. Wachovia Corp. - Common Stk	D	Dividend	N	T					
81. Direct TV Group Inc. - Common Stk		None	J	T					
82. LPL Premier Money Market Shares	A	Dividend			Sold	Var	J		
83. NEWS Corp. Cl A - Common Stk	A	Dividend	J	T					
84. Sovereign Bank - Insured Cash Account	B	Interest	J	T	Opened				

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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85. WheelingPittsburgh Corp. - Common Stk		None			Sold	11/08	J	B	
86. Capital One FSB McLean VA Certificate of Deposit		None	L	T	Buy	11/16	L		
87. Discover Bank Greenwood Certificate of Deposit	B	Interest			Redemption	05/11	K		
88. Federal Trust Bank Certificate of Deposit	B	Interest			Redemption	10/16	L		
89. Georgian Bank Atlanta GA Certificate of Deposit		None	L	T	Buy	11/16	L		
90. GMAC Automotive Bank Midvale Certificate of Deposit		None	K	T	Buy	09/28	L		
91. Irwin Union Bank & Trust Certificate of Deposit	C	Interest	L	T					
92. Mercantile Bank West Michigan Certificate of Deposit	B	Interest			Redemption	10/16	L		
93. Lehigh County PA IDA Municipal Bond	B	Interest	K	T					
94. Line Mountain PA Municipal Bond	B	Interest	K	T					
95. Northampton PA ASD DB Bond	B	Interest	L	T					
96. Westmont Hilltop PA Municipal Bond	C	Interest	L	T					
97. [REDACTED] acres vacant land - Orange County, Florida		None	M	W					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

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Caputo, A. Richard	05/01/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII - Line 1 - [REDACTED] Trust - Information reported, as in prior years, represents 100% of the trust assets. However, the reporting person's [REDACTED] is one of three beneficiaries who share equally in the trust.

Part VII - Lines 24, 50, 73, 78, 82 - LPL Premier Money Market Shares is a cash account that is used to hold funds until investment assets are purchased. As such, there were multiple purchase and sale transactions during the year (never a gain or loss) until these accounts were eventually liquidated during 2006.

Part VII - Line 97 - Orange County, Florida property - Information reported, as in prior years, represents 100% of the value. However, the reporting person's [REDACTED] is one of three individuals who own the property in equal shares.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 1, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544