

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

1. Person Reporting (last name, first, middle initial) Jones, Richard A.	2. Court or Organization W.D. Washington	3. Date of Report 05/05/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address United States Courthouse 700-Stewart Street Seattle, Washington 98101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	YMCA of Greater Seattle
2. Advisory Board Member	National Center for Courts and Media, National Judicial College
3. Advisory Board Member	Seattle University Access to Justice Institute
4. Board Member	Washington State Bar Association Leadership Institute
5. Faculty/Instructor	National Judicial College
6. Board Member	YMCA of the USA National Board of Directors

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1994	Washington State Deferred Compensation Plan: pension upon retirement
2. 1994	Washington State Judicial Retirement Account: pension upon retirement
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	[REDACTED] -Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. YMCA-USA National Board of Directors	January 28-29, 2010	Aspen Institute-New YChicago, Illinois	Board Meeting	Transportation, meals and lodging
2. Gonzaga University School of Law	May 14-15, 2010	Spokane, WA	Commencement Speech	Transportation, meals and lodging
3. YMCA-USA National Board of Directors	July 7-8, 2010	Salt Lake City, Utah	Board Meeting	Transportation, meals and lodging
4. National Judicial College	October 10-12, 2010	Reno, Nevada	Teaching Judges	Transportation, meals and lodging
5. YMCA_USA Board of Directors	October 20-21, 2010	Chicago, Illinois	Board Meeting	Transportation, meals and lodging

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6. National Center for Courts and Media/National Judicial College	November 8-10	New York, New York	Board Meeting	Transportation, meals and lodging
7.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. American Express	Credit Card	J
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Tully's Stock (common)		None	J	W					
2. Tully's Stock(preferred)		None	J	W					
3. FBR Direct		None			Sold	08/23/10	J	A	National Financial Service
4. American Funds: American Balanced	A	Interest	M	T					
5. American Funds: American Mutual	A	Interest	M	T					
6. American Funds: Capital Income Builder	A	Interest	M	T					
7. American Funds: Capital World Growth & Income	A	Interest	L	T					
8. American Funds: Capital World Bond	A	Interest	M	T					
9. American Funds: Fundamental Investors	A	Interest	L	T					
10. American Funds: Growth Fund of America	A	Interest	L	T					
11. American Funds: Income Fund of America	E	Interest	M	T					
12. American Funds: Investment Company of America	A	Interest	M	T					
13. American Funds: SMALLCAP WORLD	A	Interest	L	T					
14. American Funds: Washington Mutual Investors		None	M	T					
15. American Funds: Bond Fund of America	B	Interest	M	T					
16. American Funds: Money Market	A	Distribution	L	T					
17. Washington State Investment Board*	E	Distribution	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. Positions

Advisory Board Member Northwest Minority Job Fair Committee
Advisory Board Member First Year Minority Clerkship Program
Co-Chair King County Bar Association Rev. Dr. Martin Luther King Luncheon Committee
Board Member Center for Children & Youth Justice

VII. Investments and Trusts

17.* Monthly Retirement distributions from the State of Washington Department of Retirement Systems commenced October 2010. Please also note the 1994 Washington State Deferred Compensation Plan and Washington State Judicial Retirement Account Plan (See Part II Agreements) were terminated in September 2010. These funds fund the monthly retirement and the balance was rolled over to the American Funds Investment Company of America Washington Mutual Investors

18. ISMARC 401k Value Code L, Value Method T

22. Principal Finance Group Value Code J, Value Method T

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard A. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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