




United States Court of Appeals  
for the Ninth Circuit

WILLIAM K. NAKAMURA UNITED STATES COURTHOUSE  
1010 FIFTH AVENUE, SUITE 902  
SEATTLE, WASHINGTON 98104-1130

Chambers of  
**RICHARD C. TALLMAN**  
United States Circuit Judge

Telephone: (206) 224-2250  


July 6, 2009

Honorable Bobby R. Baldock, Chair  
Judicial Conference of the United States  
Committee on Financial Disclosure  
One Columbus Circle, N.E.  
Washington, DC 20544

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Re: Calendar Year 2008 Filing


Dear Judge Baldock:

This letter is in response to the Committee's inquiry dated June 17, 2009, requesting additional information in order to close my 2008 report.

With regard to the assets listed in Part VII, page 4, lines 6-8, your letter states that individual transaction information should be listed in Column D. As previously noted, in July 2008 a portion of two funds (lines 3-4) within the Prudential Retirement Funds were sold. The proceeds were reallocated and invested at that time among the three new funds shown on lines 6-8 in the approximate Value Codes of K to each fund. Due to the decline in the stock market, the values at year end were as shown in column C(1).

I hope this explanatory letter provides the necessary information to close my report for 2008.

Sincerely,

  
Richard C. Tallman  
United States Circuit Judge

Tallman\_Richard\_C

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2008**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial) Tallman, Richard C.	<b>2. Court or Organization</b> U.S. Court of Appeals 9th Cir.	<b>3. Date of Report</b> 4/29/2009
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active U.S. Circuit Judge	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2008 to 12/31/2008
<b>7. Chambers or Office Address</b> William K. Nakamura U.S. Courthouse 1010 Fifth Avenue, Suite 902 Seattle, WA 98104-1130	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Board Member	Chief Seattle Council, Boy Scouts of America
2. Member	Northwestern University School of Law Advisory Board
3.	
4.	
5.	

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Tallman, Richard C.

Date of Report

4/29/2009

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Schwepe, Krug & Tausend (Former Law Firm Final Distribution on Dissolution)	\$1,946.00
2.		
3.		
4.		

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	City of Seattle Police Department
2. 2008	Washington State Department of Retirement Systems
3. 2008	Prudential Insurance Company
4.	

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Brooklyn Law School	April 4-6, 2008	Brooklyn, NY	Moot Court	Transportation, Lodging, Meals
2. Northwestern University School of Law	April 10-12, 2008	Chicago, IL	Law Board	Transportation, Lodging, Meals
3. University of California, Davis, Law School	April 19, 2008	Davis, CA	Moot Court	Transportation, Lodging, Meals
4. University of Montana Law School	May 16-17, 2008	Missoula, MT	Comunencement Address	Transportation, Lodging, Meals
5. ABA Tax Section	Sept. 11-12, 2008	San Francisco, CA	CLE	Transportation, Lodging, Meals

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<b>Name of Person Reporting</b> Tallman, Richard C.	<b>Date of Report</b> 4/29/2009
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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE</u>	<u>CODE</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting

Tallman, Richard C.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Retirement Funds:		None	M	T					
2. - PIMCO Total Return Instl. Fund			M	T					
3. - Dodge & Cox Stock Fund (see Part VIII, note 1)			J	T	Sold (part)	07/08	L		
4. - Hotchkis & Wiley Small- Cap Value Fund (see Part VIII n.1)			J	T	Sold (part)	07/08	K		
5. - Euro Pacific Growth Fund			K	T					
6. - Vanguard Inst'l Fund (see Part VIII, note 1)			L	T					
7. - Dreyfus Sm Cap Index (see Part VIII, note 1)			K	T					
8. - Vanguard Admiral Money Market (see Part VIII, note 1)			M	T					
9. Wells Fargo Bank. Checking/Savings accts	A	Interest	J	T	Open	11/08	J		
10. Seattle City Credit Union, checking and savings accounts	A	Interest	J	T					
11. WAMU (now JP Morgan/Chase) check/savings accts	B	Interest	L	T					
12. Vanguard Group Funds:		None	N	T					
13. - Vanguard 500 Index			L	T					
14. - Vanguard Explorer			L	T					
15. - Vanguard Social Index			K	T					
16. - Vanguard GNMA			L	T					
17. - Vanguard High-Yield Corporate			K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Tallman, Richard C.

Date of Report

4/29/2009

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Vanguard International Growth			K	T					
19. - Vanguard Total Bond Market Index					Sold	10/13	M		
20. Aetna Universal Life Ins		None	J	T					
21. MetLife Flexible Premium Life Ins.:		None	J	T					
22. - Equity Inc.			J	T					
23. - Growth			J	T					
24. - Voyager			J	T					
25. Bank of America, Checking & Savings accounts (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

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**Name of Person Reporting**

Tallman, Richard C.

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4/29/2009

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

- 1) Part VII, lines 3 & 4: Portion of portfolio reallocated to Vanguard Inst'l Fund, Dreyfus Sm Cap Index Fund, and Vanguard Admiral Money Market.

**FINANCIAL DISCLOSURE REPORT**

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Tallman, Richard C.	4/29/2009

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544