

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tallman, Richard C.	2. Court or Organization U.S. Court of Appeals, Ninth Circuit	3. Date of Report 05/10/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active United States Circuit Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address William K. Nakamura United States Courthouse 1010 Fifth Avenue, Suite 902 Seattle, Washington 98104-1130	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Executive Board Member	Chief Seattle Council, Boy Scouts of America
2.	Member	Northwestern University School of Law Advisory Board
3.		
4.		
5.		

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Washington State Department of Retirement Systems
2. 2009	Prudential Insurance Company
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children - see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Northwestern University School of Law	April 2-5, 2009	Chicago, IL	Law Board Meeting	Transportation, Lodging, Meals
2.	Northwestern University School of Law	May 14-16, 2009	Chicago, IL	Law School Commencement Speaker	Transportation, Lodging, Meals
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Retirement Funds:			None	M	T					
2. - PIMCO Total Return Instl. Fund				M	T					
3. - Dodge & Cox Stock Fund (see Part VIII note 1)						Sold	02/01/09	J		
4. - Hochkiss & Wiley Small-Cap Value Fund (see Part VIII n. 1)						Sold	02/01/09	J		
5. - Euro Pacific Growth Fund (see Part VIII note 1)						Sold	02/01/09	K		
6. - Vanguard Inst'l Fund (see Part VIII note 1)						Sold	02/01/09	L		
7. - Dreyfus Sm Cap Index				L	T					
8. - Vanguard Admiral Money Market (see Part VIII, note 2)						Sold	08/11/09	L		
9. Wells Fargo Bank Checking/Savings accts	A	Interest	J	T						
10. Seattle City Credit Union, checking & savings accounts	A	Interest	J	T						
11. JPMorgan Chase Bank Checking/Savings Accts	A	Interest	J	T						
12. Vanguard Group Funds:		None	O	T						
13. - Vanguard 500 Index				M	T					
14. - Vanguard Explorer				M	T					
15. - Vanguard Social Index (see Part VIII, note 3)						Sold	08/11/09	K		
16. - Vanguard PRIMECAP Core				L	T					
17. - Vanguard GNMA				L	T					

1. Income/Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000; F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H = \$1,000,001 - \$5,000,000; I = \$5,000,001 - \$25,000,000; J = \$15,001 - \$50,000; K = \$50,001 - \$100,000; L = \$100,001 - \$250,000; M = \$250,001 - \$500,000; N = \$500,001 - \$1,000,000; O = \$1,000,001 - \$5,000,000; P = \$5,000,001 - \$25,000,000; Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market; U = Book Value; V = Other; W = Estimated

2. Value Codes: A = \$1,000 or less; B = \$1,001 - \$50,000; C = \$50,001 - \$100,000; D = \$100,001 - \$250,000; E = \$250,001 - \$500,000; F = \$500,001 - \$1,000,000; G = \$1,000,001 - \$5,000,000; H = \$5,000,001 - \$25,000,000; I = More than \$25,000,000

3. Value Method Codes: A = \$1,000 or less; B = \$1,001 - \$50,000; C = \$50,001 - \$100,000; D = \$100,001 - \$250,000; E = \$250,001 - \$500,000; F = \$500,001 - \$1,000,000; G = \$1,000,001 - \$5,000,000; H = \$5,000,001 - \$25,000,000; I = More than \$25,000,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Part VII, lines 3-6: Portion of portfolio sold and reallocated to Pimco Total Return Inst'l Fund and Drefus Sm Cap Index Fund.
2. Sold to buy Vanguard Short Term Inv Grade fund
3. Exchange into Vanguard PRIMECAP Core fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

[Redacted Signature]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544