

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wesley, Richard C	2. Court or Organization US Court of Appeals, 2nd Cir.	3. Date of Report 03/23/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active, U.S. Circuit Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address Livingston Co. Gov.'t Center 6 Court Street Geneseo, New York 14454	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Cornell University Council
2. Member	Cornell Law School Advisory Council
3.	See additional page regarding Trustee.
4.	
5.	

RECEIVED
 2007 MAR 29 A 10:53
 FINANCIAL
 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2003	New York State Employees Retirement System: pension upon retirement at 55.
2.	
3.	

FINANCIAL DISCLOSURE REPORT
Page 2 of 8

Name of Person Reporting Wesley, Richard C	Date of Report 03/23/2007
--	-------------------------------------

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2004	New York State Employee's Retirement System	\$ 54,566.00
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section. (Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Livonia Central School (salary)
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment. (Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. University at Albany	3/20/06 to 3/21/06 Seminar at the Univeristy at Albany, Albany, New York
2. U.S. Courts/AO - Federal Government	3/22/06 to 3/23/06 Meeting of the Circuit judicial Council or committees in Syracuse, New York
3. University of Virginia Law School	3/26/06 to 3/28/06 Educational seminar at the University of Virginia Law School Charlottesville, Virginia
4. Harvard Law School	4/10/06 to 4/12/06 Educational seminar at Harvard Law School, Cambridge, MA

FINANCIAL DISCLOSURE REPORT
Page 3 of 8

Name of Person Reporting	Date of Report
Wesley, Richard C	03/23/2007

5. U.S.Courts/AO - Federal Government	4/27/06 to 4/27/06 Meeting of Circuit judicial Council or committee at Syracuse, New York
6. U.S. Courts/AO - Federal Government	6/5/06 to 6/5/06 Meeting of the Circuit Judicial Council or committee at Syracuse, New York
7. U.S. Courts/AO - Federal Government	6/25/06 to 6/28/06 Meeting sponsored by the AO in Farmington, Pennsylvania
8. U.S. Courts/AO - Federal Government	9/6/06 to 9/7/06 Meeting of the Circuit Judicial Council or Committees in Albany, New York
9. U.S. Courts/AO - Federal Government	9/7/06 to 9/9/06 Circuit Judicial Conference in New Palz, New York
10. U.S. Courts/AO - Federal Government	10/11/06 to 10/13/06 FJC educational seminar or program at Washington, D.C.
11. U.S. Courts/AO - Federal Government	10/19/06 to 10/21/06 Meeting of the Circuit Judicial Council or committee - Washington, D.C.
12. U.S. Courts/AO - Federal Government	11/1/06 to 11/1/06 Court Governance: administrative/managerial meeting in New York, New York
13. Eric County Bar Association	11/3/06 to 11/3/06 Professional Association meeting in Buffalo, New York
14. Fordman Law School	11/28/06 to 11/28/06 Educational seminar at Fordham Law School, New York, New York

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Wesley, Richard C

Date of Report

03/23/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT
Page 5 of 8

Name of Person Reporting
Wesley, Richard C

Date of Report
03/23/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Key Bank Account	B	Interest	L	T					
2. Trust I - Chevy Chase Bank Acct.	A	Interest	J	T					
3. Trust II - Chevy Chase Bank Acct.	A	Interest	J	T					
4. NWML Balanced Funds (IRA)	A	Dividend	J	T					
5. Northwestern Mutual Life (Whole Life)	D	Dividend	L	T					
6. Northwestern Mutual Life (Whole Life)	B	Dividend	K	T					
7. Northwestern Mutual Life (Whole Life)	C	Dividend	K	T					
8. Northwestern Mutual Life (Whole Life)	B	Dividend	K	T					
9. Northwestern Mutual Life (Whole Life)	A	Dividend	J	T					
10. Northwestern Mutual Life (Whole Life)	A	Dividend	J	T					
11. SA Fixed Income Fund (IRA)	B	Dividend	L	T					
12. SA U.S. Market Fund Class I (IRA)	A	Dividend	L	T					
13. SA International Small Company Fund Class I (IRA)	A	Dividend	K	T					
14. SA International High Book to Market Fund Class I (IRA)	C	Dividend	M	T					
15. SA U.S. Small Fund Class I (IRA)	A	Dividend	K	T					
16. SA U.S. High Book to Market Fund Class I (IRA)	A	Dividend	K	T					
17. Assanti Capital Management, Inc. (IRA)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	P4=More than \$50,000,000
3. Value Method Codes (See Column C2)	P3=\$25,000,001 - \$50,000,000 Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 6 of 8

Name of Person Reporting Wesley, Richard C	Date of Report 03/23/2007
--	-------------------------------------

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
cash									
18. Washington Mutual Investors	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
---	--	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT
Page 7 of 8

Name of Person Reporting

Wesley, Richard C

Date of Report

03/23/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Part I - Positions:

Trust I

Trust II I am the trustee for both trusts.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Wesley, Richard C

Date of Report

03/23/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

March 23, 2007

NOTE
AND C

AS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544