AO 10
Rev. 1/2008

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wesley, Richard C	**2. Court or Organization** US Court of Appeals, 2nd Cir.	**3. Date of Report** 03/26/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active, U.S. Circuit Judge	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2007 to 12/31/2007
7. Chambers or Office Address Livingston Co. Gov.'t Center 6 Court Street Geneseo, New York 14454	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer**__________ **Date**______	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	Cornell University Council
2.	Member	Cornell Law School Advisory Council
3.		See additional page regarding Trustee.
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2003	New York State Employees Retirement System: pension upon retirement at 55.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2004	New York State Employee's Retirement System	$ 54,566.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2007	Livonia Central School (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	New York Prosecutors Training Institute	3/29/07 - 3/30/07	Albany, New York	Educational Seminar	Transportation/accommodation
2.	New York State Judicial Institute on Professionalism	4/23/07 - 4/23/07	Albany, New York	Educational Seminar	No remuneration
3.	NYS/Federal Judicial Council	5/8/07 - 5/8/07	New York, New York	Educational Seminar	No remuneration
4.	County Attorney's Association of the State of New York - CAASNY	5/17/07 - 5/18/07	Cooperstown, New York	Educational Seminar	Mileage/tolls
5.	NYS/Federal Judicial	5/21/07 -	New York, New	Meeting of the	Transportation/accommodations

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	Council - Federal Government	5/22/07	York	Council	
6.	Federal Government	6/7/07 - 6/10/07	Bolton Landing, New York	Meeting/Circuit Judicial	Transportation/accommodations/meals
7.	Cornell Law School	9/5/07 - 9/5/07	Ithaca, New York	Educational Program	No remuneration
8.	Federal Bar Council	10/12/07 - 10/14/07	Lenox, Massachusetts	Educational Seminar	Transportation/accommodations/meal
9.	Cornell Law School	10/21/07 - 10/21/07	Ithaca, New York	Educational Program	No remuneration
10.	State University of New York at Brockport	10/25/07 - 10/25/07	Rochester, New York	Educational Program	Transportation
11.	New York State Bar Association	10/25/07 - 10/25.07	Rochester, New York	Educational Seminar	Transportation
12.	New York State Bar Association	11/1/07 - 11/1/07	Rochester, New York	Educational Seminar - CLE	No remuneration
13.	Federal Government	12/3/07 - 12/6/07	West Palm Beach, Florida	Space/Facilities Meeting	Transportation/accommodations/meals
14.	New York County Lawyer's Association	12/11/07- 12/11/07	New York, New York	Educational Program	No remuneration
15.	Federal Government	12/12/07 - 12/12/07	New York, New York	Court Meeting	Transportation/accommodations/meal

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Key Bank Account	B	Interest	L	T					
2. Trust I - Chevy Chase Bank Acct.	A	Interest	J	T					
3. Trust II - Chevy Chase Bank Acct.	A	Interest	J	T					
4. NWML Balanced Funds (IRA)	A	Dividend	J	T					
5. Northwestern Mutual Life (Whole Life)	D	Dividend	L	T					
6. Northwestern Mutual Life (Whole Life)	B	Dividend	K	T					
7. Northwestern Mutual Life (Whole Life)	C	Dividend	K	T					
8. Northwestern Mutual Life (Whole Life)	B	Dividend	K	T					
9. Northwestern Mutual Life (Whole Life)	A	Dividend	J	T					
10. Northwestern Mutual Life (Whole Life)	A	Dividend	J	T					
11. SA Fixed Income Fund (IRA)	B	Dividend			Sale	10/29	M	A	
12. SA U.S. Market Fund Class I (IRA)	A	Dividend			Sale	10/29	M	A	
13. SA International Small Company Fund Class I (IRA)	A	Dividend			Sale	10/29	L	A	
14. SA International High Book to Market Fund Class I (IRA)	C	Dividend			Sale	10/29	M	A	
15. SA U.S. Small Fund Class I (IRA)	A	Dividend			Sale	10/29	K	A	
16. SA U.S. High Book to Market Fund Class I (IRA)	A	Dividend			Sale	10/29	K	A	
17. Assanti Capital Management, Inc. (IRA) cash	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Washington Mutual Investors	A	Dividend	J	T	Sale	4/26	J	C	
19. DFA International Small Company fund	B	Dividend	L	T	Buy	10/29	L		
20. DFA Emerging Markets Fund	A	Dividend	K	T	Buy	10/29	K		
21. DFA Large Company Fund	B	Dividend	L	T	Buy	10/29	L		
22. DFA Large Cap Value Fund	A	Dividend	K	T	Buy	10/29	L		
23. DFA Real Estate Securities Fund	A	Dividend	J	T	Buy	10/29	K		
24. DFA US Small Cap Fund	A	Dividend	K	T	Buy	10/29	L		
25. DFA Five Year Global Fixed Income Fund	B	Dividend	K	T	Buy	10/29	K		
26. DFA International Value Fund	C	Dividend	M	T	Buy	10/29	M		
27. AAM/DFA Two Year Government Portfolio	B	Dividend	K	T	Buy	10/29	K		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Part I - Positions:
   Trust I
   Trust II I am the trustee for both trusts.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Sign[redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSI[redacted] BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544