UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF MISSOURI
UNITED STATES COURTHOUSE
222 N. JOHN Q. HAMMONS PARKWAY
SPRINGFIELD, MISSOURI 65806

RICHARD E. DORR
DISTRICT JUDGE

(417) 865-3741
[redacted]

RECEIVED
2007 JUL 31 A 10: 50
FINANCIAL
DISCLOSURE OFFICE

July 25, 2007

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, NE
Suite 2-301
Washington, DC 20544

In re: Calendar Year 2006 Filing

Dear Committee Members:

I am writing this letter in response to your letter dated July 9, 2007.

Part VII, page 4, line 2: The item in question is a CD acquired by [redacted] The information you requested is as follows:

ColumnValue	Value
B (1)	A
B (2)	Interest
C (1)	J
C (2)	T
D (3)	J

Part VII, page 6, line 46: This entry should be renamed "Guaranty Bank - accounts." It represents a no interest checking account and a money market account utilized with the estate trust. The only purpose for these accounts was to hold and disburse funds from the redeemed investments. These accounts were opened in 2005 and were inadvertently omitted from my 2005 report.

Please let me know if you need any additional information.

Sincerely yours,

[redacted]
United States District Court

AO 10 Rev. 1/2007

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Dorr, Richard E	US Dist Ct Western District MO	05/14/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active US District Judge	☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2006 to 12/31/2006
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
222 John Q. Hammons Parkway Suite 3100 Springfield MO 65806	Reviewing Officer__________ Date______	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Estate Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

☒ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Dorr, Richard E	05/14/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

[X] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			
5.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

[X] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		
5.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

[X] NONE *(No reportable reimbursements.)*

	SOURCE	DESCRIPTION
1.		
2.		
3.		
4.		
5.		

Name of Person Reporting	Date of Report
Dorr, Richard E	05/14/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

[X] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

[X] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Dorr, Richard E	05/14/2007

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g. div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Commerce Bank Account	A	Interest	J	T					
2. Signature Bank CD (X)					buy	01/07			
3. BROKERAGE ACCOUNT #1 Edward Jones									
4. - O'Reilly Automotive (ORLY) Common Stock		None	M	T					
5. - Growth Fund of America Mutual Fund (AGTHX)		None	J	T					
6. - SunAmerica Life Ins Polaris Variable Annuity		None	K	T					
7. - Hartford Life Director Variable Annuity		None	K	T					
8. -Great Southern Bank (GSBC) Comm Stk	A	Dividend	J	T					
9. - Guaranty Fed Bancshares Inc (GFED) Comm Stk	B	Dividend	L	T	buy	01/12	K		
10. USAA Investment Mgmt Co. IRA	C	Dividend	M	T					
11. - USAA GNMA Trust	B								
12. - USAA High-Yield Opportunities fund	A								
13. - USAA Income Fund	A								
14. - USAA Income Stk Fund	A								
15. - USAA S & P 500 Index Fund Member Shares	A								
16. - USAA Short-Term Bond Fund	A								
17. Springfield Trust Co. CONDUIT IRA	E	Dividend	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	● =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Dorr, Richard E	05/14/2007

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g. div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. - CASH EQUIVALENTS									
19. -- Goldman Sachs F/S Federal Money Market Fund									
20. - FIXED INCOME SECURITIES									
21. -- FNMA 4% 10/14/15									
22. - CORPORATE BDS & NTS									
23. -- Citicorp Note 6.37% 1/15/06					sell	01/17	J		
24. - FIXED INCOME FUNDS									
25. -- Federated Total Return Bond Fund #328					buy	11/07	J		
26. --Fed Ultra-Sht 108-NW									
27. Pimco Foreign Bond FD Unhedged					buy	11/07	K		
28. -- VGD Interim Bd #5314									
29. -- VGD GNMA Fund #536					buy	11/07	J		
30. - EQUITY MUTUAL FUNDS									
31. -- VGD Star FD Dev Mkt Stk Index #227					part sell	11/07	J	A	
32. VGD IND 500 - INST #94					buy	11/07	J		
33. -- DFA Invt Dimensions Group US Micro Cap					buy	11/07	J		
34. -- DFA Invt Dimensions Group US Sml Cap					part sell	11/07	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -- DFA Invt Dimensions Group US Sml Cap Val					part sell	11/07	K	B	
36. -- DFA Invt Dimensions Group Large Cap Val					part sell	11/07	J	A	
37. --DFA Invt Dimensions Group Intl Value PTF					part sell	11/07	J	A	
38. --DFA Invt Dimensions Group Intl Smcap Val					part sell	11/07	K	C	
39. -- DFA Invt Dimensions Group Intl Sml Ptfl					part sell	11/07	J	A	
40. --DFA Invt Dimensions Group EMERG MKTS VAL					sell	11/07	J	A	
41. Ozark National Ordinary Life Ins. Policy	A	Interest	J	T					
42. EstateTrust #1	D	Interest	M	T					
43. - Charles Schwab Money Market Fund					redeem	3/14	M		
44. - Time Warner Stk Options					redeem	3/23	J		
45. - Fidelity (Time Warner Savings)					redeem	5/17	M		
46. - Guaranty Bank Account	E	Interest	M	T					
47. Revocable Trust #1	B	Dividend	M	T					
48. - Cash Equivalents									
49. --Goldman Sachs F/S Federal Money Market Fund (X)									
50. - Fixed Income Funds									
51. -- Fed Total Return Bond Fund #328 (X)					buy	09/05	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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52.					buy	09/20	J		
53. --Fed Ultra-Sht 108 NW (X)					buy	09/05	J		
54.					part sell	09/20	J		
55. --Pimco Foreign Bond FD Unhedged (X)					buy	09/05	J		
56.					buy	09/20	J		
57. --VGD Interm BD #5314 (X)					buy	09/05	J		
58.					part sell	09/20	J		
59. --Vanguard GNMA Fund #536 ADM SH (X)					buy	09/05	J		
60.					buy	09/20	J		
61. -Equity Mutual Funds									
62. --DFA Invt Dimensions Group US Micro Cap (X)					buy	09/05	J		
63.					part sell	09/20	J	A	
64. --DFA Invt Dimensions Group Intl Sml PTFL (X)					buy	09/06	J		
65.					buy	09/20	J		
66. --DFA Invt Dimensions Group Intl SM CAP Val (X)					buy	09/05	J		
67.					part sell	09/20	J		
68. --DFA Invt Dimensions Gp US SML CAP					buy	09/05	J		

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VAL (X)									
69.					part sell	09/20	J	A	
70. --DFA Invt Dimensions Group LARGE CAP VALUE (X)					buy	09/05	K		
71.					part sell	09/20	J	A	
72. --DFA Invt Dimensions Group US SML CAP (X)					buy	09/05	J		
73.					part sell	09/20	J	A	
74. --DFA Invt Dimensions Group INTL VALUE PTF (X)					buy	09/05	J		
75.					buy	09/20	J		
76. --VGD Star FD DEV MKT #227 (X)					buy	09/05	J		
77.					buy	09/20	J		
78. --VGD IND 500-INST #94 (X)					buy	09/05	K		
79.					buy	09/20	J		
80.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Name of Person Reporting	Date of Report
Dorr, Richard E	05/14/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Name of Person Reporting	Date of Report
Dorr, Richard E	05/14/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] Date 5/14/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544