

United States District Court  
Western District of North Carolina  
250 U.S. Courthouse  
401 West Trade Street  
Charlotte, North Carolina 28202

Chambers of  
Richard L. Voshell

Telephone 704.350.7442

August 2, 2006

Honorable Ortrie D. Smith  
Chair  
Judicial Conference Of The United States  
Committee On Financial Disclosure  
One Columbus Circle, N. E.  
Washington, D. C. 20544

RECEIVED  
AUG 15 10 58 AM '06  
FINANCIAL  
DISCLOSURE OF FIDE

Re: Reply to July 7, 2006 Letter

Dear Judge Smith:

Thank you for your letter of July 7, 2006.

You asked that I respond concerning Part VII, page 5, lines 24 and 26 and page 7, line 56, of my 2005 report.

For line 24, Vanguard International Growth Fund, Column B(1) should show "A," and Column B(2) should show "Dividend."

For line 26, Vanguard Morgan Growth Fund, Column B(1) should show "A," and Column B(2) should say "Dividend."

For line 56, Nextel, Column B(1) should say "A," and Column B(2) should say "Dividend."

You asked that I respond concerning Part VII, line 8 in the 2004 report, which listed "Live Oak General MM Fund", but that fund was not listed in the 2005 report. This item has to do with the particular fund into which this brokerage account "swept" dividends from the various stocks held in the account from the 2002 report through the 2005 report. On further review, I provide the following amendment:

In 2002, the former broker, IJL/Wachovia, merged into Wachovia Securities and transferred on 6-17-02 the "sweep" MM Fund from Live Oak General MM Fund to Evergreen MM Fund. So the 2002 report, Part VII, page 1, line 8, should have shown

blanks in Column C and in Column D: (1) "transfer out;" (2) "6-17-02;" (3) "K;" (4) "A;" (5) "Evergreen MM Fund , transferee." A new line 8(a)(1) should have been inserted showing in Column A: "(a)(1) Evergreen MM Fund"; in Column B: (1) "A"; (2) "Dividend", and in Column C: (1) "K;" (2) "T", and in Column D: (1) "transfer from Live Oak MM Fund"; (2) "June 17, 2002"; (3) "K"; (4) "A", and (5) "Live Oak MM Fund, transferor".

Then the 2003 report, Part VII, page 1, line 8, should be amended to show in Column A: "Evergreen MM Fund." (Other columns remain the same)

Then the 2004 report, Part VII, page 1, line 8, should likewise be amended to show in Column A: "Evergreen MM Fund." (Other columns remain the same)

Then the 2005 report, Part VII, page 4, line (8)(a) should be amended to show in Column A: "Evergreen MM Fund", and in Column B: (1) "A"; (2) "Dividend"; Column C: blanks, and in Column D: (1) "transfer out"; (2) "5-5-05"; (3) "J"; (4) "T"; (5) "Wachovia Bank Sweep Deposit Account, transferee". A new line 8(a)(1) should be added to show in Column A: (a)(1) Wachovia Bank Sweep Account." Other columns remain the same as the original line 8(a) except Column D: (1) should say "transfer from Evergreen MM Fund".

You asked that I respond concerning Part VII, line 12 of the 2004 report, which listed "Cisco Systems, Inc." but that holding was not listed in the 2005 report. On further review, I provide the following amendment:

The 2002 report, Part VII, page 1, line 12, should have blanks in Column C, and add in Column D: (1) "sell;" (2) "12-30-02;" (3) "J;" (4) "A;" (5) [blank].

Then the 2003 report, Part VII, page 1, line 12(e), concerning Cisco Systems, should be deleted entirely.

Then the 2004 report, Part VII, page 1, line 12(e), concerning Cisco Systems, Inc. should be deleted entirely.

Thank you again for bringing these items to my attention. If any question remains, please do not hesitate to let me know.

Very truly yours,



Richard L. Voorhees  
United States District Court

RLV/tjg

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2005**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting (last name, first, middle initial)</b>  Voorhees, Richard L	<b>2. Court or Organization</b>  District Court-North Carolina	<b>3. Date of Report</b>  04/28/2006
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. District Judge-Active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final  <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2005 to 12/31/2005
<b>7. Chambers or Office Address</b>  250 U.S. Courthouse 401 West Trade Street Charlotte, NC 28202	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE OFFICE  
 MAY 16 9 54 AM '06

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.*  
*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.*  
*(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 10

Name of Person Reporting

Voorhees, Richard L

Date of Report

04/28/2006

**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Voorhees, Richard L

Date of Report

04/28/2006

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Group IRA-Individual assets:	C	Dividend	L	T					
2. a) Vanguard Windsor II					Buy	8/1	J	A	
3. b) Vanguard Morgan Growth					Sell All	8/1	J	A	
4. c) Vanguard Wellington Fund- IRA					Sell Part	8/1	K	A	
5. d) Capital Opportunity Fund					Sell Part	8/1	K	A	
6. e) Strategic Equity formerly Aggressive Growth Fund					Buy	8/1	K	A	
7. Wachovia Securities									
8. a) Wachovia Bank Sweep Deposits Acct.	A	Dividend	J	T	Buy	5/5	J		
9. b) Intel Corp	A	Dividend	J	T	Sell	2/16	J	A	
10. c) Wachovia Corp. 2nd New	A	Dividend	K	T	Merger So.Tr	11/1	K	A	Formerly South Trust
11. d) Citigroup, Inc	A	Dividend	J	T					
12. e) Sector SPDR TR Amex Tech. Select Index	A	Dividend	K	T	Buy	11/28	J	A	
13. f) Royal Bank of Canada	A	Dividend	J	T	Sell	2/16	J	A	
14. g) Walt Disney CO. Holding Co.	A	Dividend	J	T	Sell	2/16	J	A	
15. Vanguard Prime Portfolio VmmR	A	Dividend	L	T	Added/Sold	3/23	M	A	
16. Wachovia	A	Interest	J	T					Checking/Savings Accts.
17. Fidelity Cash Reserves	A	Dividend	J	T	Buy Monthly		J		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3=\$25,000,001 - \$50,000,000 Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	P4=More than \$50,000,000 S=Assessment W=Estimated	T=Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 5 of 10

Name of Person Reporting

Voorhees, Richard L

Date of Report

04/28/2006

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Fidelity Equity-Income Fund	B	Dividend	M	T	Div Reinv Qt		J		
19. Southern Company	A	Dividend	J	T	Div Reinv Qt		J		
20. Mountain Fed. Cr. Union (Checking/Savings)	A	Dividend	J	T					
21. Rental Property-Gastonia, NC Appraisal 2003 for \$68K	A	Rent	L	S					Appraisal per Gaston Cty.
22. Vanguard Group-Individual Investments									
23. Vanguard Prime Money Mkt Reserves	A	Dividend	J	T					
24. Vanguard International Growth Fund					Sell All	8/1	J	A	
25. Vanguard Windsor II Fund	A	Dividend	J	T	Buy	8/1	J	A	
26. Vanguard Morgan Growth Fund					Sell All	8/1	J	A	
27. Wachovia Sec. IRA rollover-Indiv. Assets	C	Dividend	M	T					
28. Wachovia Bank Deposit Sweep All Acct.	A	Dividend	J	T	Buy	5/4	J	A	
29. a) John Hancock Regional Bank Fund					Div. Rev. Qt		J		
30. b) Bank of America Corp.									
31. c) Dell Computer									
32. d) Gillette Company					Exchanged	10/5	J	A	To Proctor & Gamble
33. e) Proctor & Gamble	A	Dividend	J	T	Exchanged	10/5	J	A	From Gillette
34. f) McDonalds Corporation									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

# FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Voorhees, Richard L.

Date of Report

04/28/2006

## VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. g) Wal-Mart Stores									
36. h) Worldcom, Inc. GA.					Sell	11/2	J	A	
37. i) Live Oak MM General Fund					Sell	5/4	J	A	To Evergreen MM Fd.
38. j) Citigroup, Inc.									
39. k) Family Dollar Stores									
40. l) Lowes Companies, Inc.									
41. m) AOL-Time Warner Corp.									
42. n) General Electric Co.									
43. o) IBM									
44. p) Microsoft									
45. Vanguard Group-R/O-IRA I									
46. a) Vanguard Windsor II	B	Dividend	K	T	Buy More	8/1	J		
47. b) Vanguard US Growth	A	Dividend	J	T					
48. c) Vanguard Total Stock Market Index Rollover IRA	A	Dividend	J	T	Buy More	8/1	J		
49. d) Vanguard Wellington					Sell All	8/1	K		
50. Touchstone Variable Annuity					Sell All	1/31	K		
51. Touchstone Variable Annuity					Sell All	1/31	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000 P3 = \$25,000,001 - \$50,000,000	P4 = More than \$50,000,000 P5 = More than \$5,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



**FINANCIAL DISCLOSURE REPORT**

Page 7 of 10

Name of Person Reporting

Voorhees, Richard L

Date of Report

04/28/2006

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Bell South	A	Dividend	J	T					
53. Wachovia Corporation	A	Dividend	J	T	Semi-Annual		J	A	Non-Employee Compensation
54. Bank of Asheville	A	Dividend	J	T					
55. BB&T	A	Dividend	K	T	Sell Part	12/12	J	A	
56. Nextel					Sold All	11/1	J	A	
57. Royal Bank of Canada	A	Dividend	J	T					
58. a) Vanguard Prime MoneyMkt Rollover IRA	B	Dividend	J	T	Sold Portion	3/1	L	A	
59. b) Vanguard Wellington Fd R/O & Non-R/O IRA	B	Dividend	K	T	Buy More	3/1	J	B	
60. c) Vanguard Asset Allocation Fd. R/O IRA	A	Dividend	J	T	Buy	3/1	J	A	
61. d) Vanguard Total Stock Mkt. Index Fd. R/O IRA	B	Dividend	K	T	Buy	3/1	K	A	
62. e) Vanguard GNMA Fd. R/O IRA	B	Dividend	K	T	Buy	3/1	K	A	
63. Vanguard Group-Rollover-IRA II									
64. a) Prime Money Market					Buy	2/8	K		
65. b) Prime Money Market					Buy	2/8	K		
66. c) Prime Money Market					Sold	8/1	J		
67. d) International Growth Investors					Buy	8/1	J		
68. e) International Value					Buy	8/1	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**  
Page 8 of 10

Name of Person Reporting <b>Voorhees, Richard L</b>	Date of Report <b>04/28/2006</b>
--	-------------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. f) Prime Money Market					Sell	11/25	J		
70. g) International Growth					Buy	11/25	J		
71. h) International Value					Buy	11/25	J		
72.									
73.									
74.									

1. Income Gain Codes: (See Columns B 1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other U = Book Value	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
---	--	--	---	---	-------------------------

<b>Name of Person Reporting</b> Voorhees, Richard L	<b>Date of Report</b> 04/28/2006
--	-------------------------------------

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

**FINANCIAL DISCLOSURE REPORT**

Page 10 of 10

Name of Person Reporting Voorhees, Richard L.	Date of Report 04/28/2006
--	------------------------------

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature  Date 4-28-06

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544