

COPY

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009

1. Person Reporting (last name, first, middle initial) Voorhees, Richard L.	2. Court or Organization District Court-North Carolina	3. Date of Report 03/29/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge-Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U. S. Courthouse, Suite 250 401 West Trade Street Charlotte, North Carolina 28202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting Voorhees, Richard L.	Date of Report 03/29/2010
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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	The Carrie E. and Lena V. Glenn Foundation, Inc.-Salary (formerly listed as Glenn Foundation)
2.	
3.	
4.	

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting Voorhees, Richard L.	Date of Report 03/29/2010
--	------------------------------

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Captial One Bank	Credit Card	K
2. Wachovia Bank, N.A.	Credit Card	J
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT
Page 4 of 7

Name of Person Reporting
Voorhees, Richard L.

Date of Report
03/29/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Weststar Fin. Services Corp.	A	Dividend	J	T					
2. AT&T	A	Dividend	J	T					
3. Fidelity Cash Reserves	A	Dividend	J	T					
4. Fidelity Equity-Income Fund	B	Dividend	M	T					
5. Guardian Capital, LLC IRA Rollover-Individual Assets									
6. (a) Bank of America Corp.	A	Dividend			Sold	2/20/09	J	A	
7. (b) Pershing Gov't MMA	A	Dividend	M	T					
8. Wachovia Bank-checking / savings (Last year on line 34)	A	Dividend	J	T					
9. Mountain Federal Credit Union(checking & savings)	A	Dividend	J	T					
10. Vanguard Asset Allocation Fund R/O IRA	A	Dividend	J	T					
11. Vanguard GNMA Fund R/O IRA	A	Dividend	K	T					
12. Vanguard Prime Money Market Rollover IRA	A	Dividend	J	T					
13. Vanguard Total Stock Market Index Fund R/O IRA	A	Dividend	K	T					
14. Vanguard Wellington Fund R/O & Non-R/O IRA	A	Dividend	K	T					
15. Southern Company	A	Dividend	J	T					
16. Vanguard Group Individual Investments									
17. (a) Vanguard Prime Money Market Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 5 of 7

Name of Person Reporting
Voorhees, Richard L.

Date of Report
03/29/2010

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. (b) Vanguard Windsor II Fund	A	Dividend	J	T					
19. Vanguard Group-R/O-IRA I									
20. (a) Vanguard Windsor II	A	Dividend	K	T					
21. (b) Vanguard US Growth	A	Dividend	J	T					
22. (c) Vanguard Total Stock Market Index	A	Dividend	J	T					
23. (d) Vanguard 500 Index Fund	A	Dividend	J	T					
24. Vanguard Group-Rollover IRA II									
25. (a) Prime Money Market	A	Dividend	J	T					
26. (b) International Growth Investors	A	Dividend	K	T					
27. (c) International Value Investors	A	Dividend	K	T					
28. Vanguard Group-Traditional IRA									
29. (a) Capital Opportunity Fund	A	Dividend	K	T					
30. (b) Strategic Equity	A	Dividend	K	T					
31. (c) Vanguard REIT Index Fund	A	Dividend	J	T					
32. (d) Vanguard Wellington Fund-IRA	B	Dividend	K	T					
33. Wachovia Corporation (Wells Fargo transition ongoing)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT
Page 6 of 7

Name of Person Reporting Voorhees, Richard L.	Date of Report 03/29/2010
---	-------------------------------------

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Line 19 of last year's report was a duplication of line 12.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

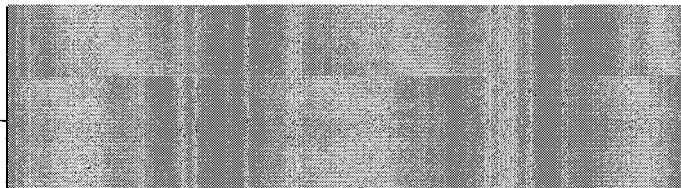
Name of Person Reporting	Date of Report
Voorhees, Richard L.	03/29/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544