AO 10 Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Voorhees, Richard L.	Western District Court-North Carolina	04/21/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address U. S. Courthouse, Suite 250 401 West Trade Street Charlotte, North Carolina 28202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer____________ Date______	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2010	The Carrie E. and Lena V. Glenn Foundation, Inc.-Salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Voorhees, Richard L.	04/21/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Captial One Bank	Credit Card	K
2.	Wachovia Bank, N.A.	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Weststar Fin. Services Corp.	A	Dividend	J	T	Sold	12/31/10	J	A	
2.	AT&T	A	Dividend	J	T					
3.	Guardian Capital, LLC IRA Rollover-Individual Assets									To Fidelity Inv. Co.
4.	(a) Pershing Gov't MMA	A	Dividend			Closed	04/30/10	M	A	To RBS Citizens, Line 20
5.	Wachovia Bank-checking / savings (Last year on line 34)	A	Dividend	J	T					
6.	Mountain Federal Credit Union(checking & savings)	A	Dividend	J	T					
7.	Vanguard Asset Allocation Fund R/O IRA	A	Dividend	J	T					
8.	Vanguard GNMA Fund R/O IRA	A	Dividend	K	T					
9.	Vanguard Prime Money Market Rollover IRA	A	Dividend	J	T					
10.	Vanguard Total Stock Market Ind. Fund R/O IRA/Admiral Shares	A	Dividend	K	T					Converted to Admiral Share
11.	Vanguard Wellington Fund R/O & Non-R/O IRA	A	Dividend	K	T					
12.	Southern Company	A	Dividend	J	T					
13.	Vanguard Group Individual Investments									
14.	(a) Vanguard Prime Money Market Fund	A	Dividend	J	T					
15.	(b) Vanguard Windsor II Fund	A	Dividend	J	T					
16.	Fidelity Cash Reserves	A	Dividend	J	T	Sold (part)	04/14/10	J	A	
17.	Fidelity Cash Reserves					Sold (part)	04/19/10	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Voorhees, Richard L.	04/21/2011

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Fidelity Equity-Income Fund	B	Dividend	M	T					
19. Fidelity Investment Co., IRA rollover-Individual Assets									Transferred from Line 3
20. (a) RBS Citizens Deposit Account	B	Dividend	M	T	Open	04/30/10	J		Transferred from Line 4
21. (b) Fidelity Floating Bond	A	Dividend	L	T	Buy	05/12/10	L		RBS Citizens
22. (c) Fidelity Total Bond	A	Dividend	L	T	Buy	05/12/10	L		RBS Citizens
23. (d) Fidelity Strategic Bond	A	Dividend	L	T	Buy	05/12/10	L		RBS Citizens
24. Vanguard Group-R/O-IRA I									
25. (a) Vanguard Windsor II	A	Dividend	K	T					
26. (b) Vanguard US Growth	A	Dividend	J	T					
27. (c) Vanguard Total Stock Market Index	A	Dividend	J	T					
28. (d) Vanguard 500 Index Fund	A	Dividend	J	T					
29. Vanguard Group-Rollover IRA II									
30. (a) Prime Money Market	A	Dividend	J	T					
31. (b) International Growth Investors	A	Dividend	K	T					
32. (c) International Value Investors	A	Dividend	K	T					
33. Vanguard Group-Traditional IRA									
34. (a) Capital Opportunity Fund	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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35. (b) Stragetic Equity	A	Dividend	K	T					
36. (c) Vanguard REIT Admiral Index Fund	A	Dividend	J	T					
37. (d) Vanguard Wellington Fund-Admiral IRA	B	Dividend	L	T					
38. Wachovia Corporation (Wells Fargo transition ongoing)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Infonnation regarding Part VII

Line 1.--Weststar Fin. Services Corp. was owned on the last day of 2010, but, was also sold on the last day of 2010. (12/31/10)

Line 3.-- Is a header. Also, Guardian Capital, LLC IRA Rollover Individual Assets transferred to Fidelity Investment Company IRA Rollover-Individual Assets on 4/30/10.

Line 4.--Pershing Gov't MMA was closed and transferred to RBS Citizens Deposit on Line 20.

Line 10.--Vanguard Total Stock Market Ind. Fund R/O IRA was converted to Vanguard Total Stock Market Ind. Fund R/O IRA-Admiral Shares

Line 13--Vanguard Group Individual Assets is a header

Line 16--Fidelity Cash Reserves was sold in part on 4/14/10

Line 17--Fidelity Cash Reserves was also sold in part on 4/19/10- Although, Line 16 & Line 17 are the same account I put them on two separate lines because there were two different dates where account was sold in part.

Line 19--Fidelity Investment Co., IRA Rollover Individual Assets was transferred from Line 3, Guardian Capital, LLC IRA Rollover Assets on 4/30/10.

Line 20.--RBS Citizens Deposit Amount was tranferred from Line 4, Pershing Gov't MMA on 4/30/10.

Line 24.--Is a header.

Line 29.--Is a header.

Line 33.--Is a header.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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