

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

<b>1. Person Reporting (last name, first, middle initial)</b>  Owen, Richard	<b>2. Court or Organization</b>  US District Court S. Dist NY	<b>3. Date of Report</b>  09/12/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  Senior Dist Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b>  01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b>  US District Court 500 Pearl St New York, NY 10007	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2010	Music Royalties ASCAP	\$271.46
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Private Voice Instructor
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
1. ALCATEL LUCENT		None	J	T						
2. AT&T	A	Dividend	J	T						
3. BLACKROCK INTERNATIONAL	A	Dividend	K	T						
4. DOW CHEMICAL	A	Dividend	J	T						
5. FIFTH THIRD BANCORP	A	Dividend	J	T						
6. CON ED	A	Dividend	K	T						
7. HEINZ	A	Dividend	J	T						
8. HERSHEY	A	Dividend	J	T						
9. HANESBRAND		None	J	T						
10. HOME DEPOT	A	Dividend	K	T						
11. INTEL	A	Dividend	K	T						
12. INTERPUBLIC		None	K	T						
13. JOHNSON & JOHNSON	A	Dividend	J	T						
14. KIMBERLY CLARK	A	Dividend	J	T						
15. LAZARD	A	Dividend	K	T						
16. LSI CORP		None	J	T						
17. MARSH & MCCLENNAN	A	Dividend	J	T						

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
18. MOTOROLA		None	J	T						
19. MOTORS LIQUIDATION		None	J	T						
20. NEW WORLD BRANDS		None	J	T						
21. PROCTOR AND GAMBLE	C	Dividend	M	T						
22. NY TIMES		None	J	T						
23. NUVEEN TRADEWINDS	A	Dividend	L	T						
24. SARA LEE	A	Dividend	J	T						
25. SOUTHERN CORP	A	Dividend	J	T						
26. US BANCORP	A	Dividend	J	T						
27. WILMINGTON TRUST	A	Dividend	J	T						
28. NYC GO MUNI 5% 2024	A	Int./Div.	J	T	Buy	11/24/10	J			
29. NYC GO MUNI 5.25% 2027	A	Int./Div.	K	T	Buy	11/24/10	K			
30. NY STATE GO 4% 2020	A	Int./Div.	K	T	Buy	11/24/10	K			
31. CITIBANK CD 2%	A	Int./Div.			Buy		K		*see Part VIII	
32.					Matured	07/02/10	K			
33. CORNING NYC 4% 2011	A	Int./Div.	K	T						
34. HILTON CSO NY 3.75% 2013	A	Int./Div.	K	T						

1. Income Gain Codes: (See Columns B1 and D4)
- A = \$1,000 or less
  - F = \$50,001 - \$100,000
  - J = \$15,000 or less
  - N = \$250,001 - \$500,000
  - P3 = \$25,000,001 - \$50,000,000
  - Q = Appraisal
  - U = Book Value
2. Value Codes (See Columns C1 and D3)
- B = \$1,001 - \$2,500
  - G = \$100,001 - \$1,000,000
  - K = \$15,001 - \$50,000
  - O = \$500,001 - \$1,000,000
  - R = Cost (Real Estate Only)
  - V = Other
3. Value Method Codes (See Column C2)
- C = \$2,501 - \$5,000
  - H1 = \$1,000,001 - \$5,000,000
  - L = \$50,001 - \$100,000
  - P1 = \$1,000,001 - \$5,000,000
  - P4 = More than \$50,000,000
  - S = Assessment
  - W = Estimated
- D = \$5,001 - \$15,000
  - H2 = More than \$5,000,000
  - M = \$100,001 - \$250,000
  - P2 = \$5,000,001 - \$25,000,000
  - T = Cash Market

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
35. LI POWER 5.5% 2012	B	Int./Div.	L	T						
36. NYC 5.25% 2012	B	Int./Div.	K	T						
37. NY LOCALGOVT 5% 4/18	B	Int./Div.	K	T						
38. NYC TRANS 5% 2013	B	Int./Div.	K	T						
39. NY DORM 5.25% 2020	B	Int./Div.	L	T						
40. NY THWY 3.75% 2012	A	Int./Div.	J	T						
41. OYSTER BAY 3.75% 2014	A	Int./Div.	J	T						
42. RYE BROOK 3.375 2014	A	Int./Div.	J	T						
43. SOUTH HUNTINGTON 3.6 2011	A	Int./Div.	J	T						
44. SUFFOLK 3.6 2014	A	Int./Div.	J	T						
45. TOBACCO SETTLMT 5.5 2019	B	Int./Div.	L	T						
46. TOB SETTLMT 5 2012	A	Int./Div.	K	T						
47. W. BABYLON 4 2014	A	Int./Div.	J	T						
48. WHITE PL 4.25 2018	A	Int./Div.	K	T						
49. NYC 2013	A	Int./Div.	J	T						
50. NY NY 4.75% 8/25	B	Interest	K	T						

1. Income Gain Codes:      A = \$1,000 or less      B = \$1,001 - \$2,500      C = \$2,501 - \$5,000      D = \$5,001 - \$15,000      E = \$15,001 - \$50,000  
 (See Columns B1 and D4)      F = \$50,001 - \$100,000      G = \$100,001 - \$1,000,000      H1 = \$1,000,001 - \$5,000,000      H2 = More than \$5,000,000
2. Value Codes      J = \$15,000 or less      K = \$15,001 - \$50,000      L = \$50,001 - \$100,000      M = \$100,001 - \$250,000  
 (See Columns C1 and D3)      N = \$250,001 - \$500,000      O = \$500,001 - \$1,000,000      P1 = \$1,000,001 - \$5,000,000      P2 = \$5,000,001 - \$25,000,000  
    P3 = \$25,000,001 - \$50,000,000      P4 = More than \$50,000,000
3. Value Method Codes      R = Cost (Real Estate Only)      S = Assessment      T = Cash Market  
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VII, line 31 - CD was purchased earlier in 2010, but I could not find the exact date.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Richard Owen**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
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