

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

<b>1. Person Reporting (last name, first, middle initial)</b>  Jordan, Robert L.	<b>2. Court or Organization</b>  District Court - E.D. Tenn.	<b>3. Date of Report</b>  4/21/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  Senior U.S. District Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b>  01/01/2009 to 12/31/2009
<b>7. Chambers or Office Address</b>  800 Market Street Suite 141 Knoxville, TN 37902	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</b>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

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<b>Name of Person Reporting</b> Jordan, Robert L.	<b>Date of Report</b> 4/21/2011
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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2009	Tennessee Consolidated Retirement System Judicial Retirement	\$13,272.18
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting <b>Jordan, Robert L.</b>	Date of Report 4/21/2011
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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. AT&T Inc.	A	Dividend	J	T					
2. American Trust Bank of East TN		None	J	T					
3. BB&T	C	Dividend	M	T					
4. BP PLC	A	Dividend	J	T					
5. Comcast	A	Dividend	J	T					
6. Dow Chem.	A	Dividend	J	T					
7. EMC		None	J	T					
8. First Horizon	C	Dividend	K	T					
9. General Electric	B	Dividend	K	T					
10. IBM	A	Dividend	J	T					
11. JDS Uniphase Corp		None	J	T					
12. John Hancock		None	K	T					
13. Johnson & Johnson	A	Dividend	J	T					
14. King Phar.		None	J	T					
15. Alcatel-Lucent		None	J	T					
16. Medtronic	A	Dividend	J	T					
17. NASDAQ - 100		None	J	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000  
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
 (See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting <b>Jordan, Robert L.</b>	Date of Report 4/21/2011
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
18. Pfizer	A	Dividend	K	T						
19. Phoenix Cos.	A	Dividend	J	T						
20. Putnam Global Growth		None	J	T						
21. Security Equity		None	J	T						
22. Sun Trust Bank	A	Dividend	O	T						
23. TN Hsg. Dev. Agency 3% Due 7/10	B	Interest	K	T						
24. Twin Cities Financial, Inc.	A	Dividend	K	T						
25. Tyco Intl.	A	Dividend	J	T						
26. Cohen & Steers Select Utility	B	Dividend	K	T						
27. Vanguard Growth Index Fund	A	Dividend	L	T						
28. Vanguard 500 Index Fund	A	Dividend	L	T						
29. Vanguard Mid Cap Index Fund	A	Dividend	L	T						
30. Vanguard Small Cap Value Fund	A	Dividend	L	T						
31. Vanguard Health Care Fund	A	Dividend	L	T						
32. INTEREST, CDS, SAVINGS, ETC.										
33. Green Bank	C	Interest	L	T						
34. Regions Bank	D	Interest	N	T						

- |  |   |  |   |  |                         |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated   | T = Cash Market  |                         |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. BB&T	C	Interest			Redeemed	08/07/09	L		
36. Citizens Bank of Blount County	D	Interest	M	T					
37. First Tennessee	D	Interest	M	T					
38. Home Federal	A	Interest	J	T					
39. Merrill Lynch MIT	A	Interest	J	T					
40. Merrill Lynch Ready Assets	A	Interest	J	T					
41. SunTrust	D	Interest	N	T					
42. United Community Bank	D	Interest	M	T					
43. Y-12 FCU	B	Interest	L	T					
44. American Trust Bank	C	Interest	M	T					
45. First National Bank	C	Interest	M	T					
46. Bank East	A	Interest	M	T	Buy	12/01/09	M		
47.									
48. Vanguard Int'l Value Fund	B	Dividend	K	T					
49. Vanguard Prime Cap Core Fund	A	Dividend	K	T					
50. Atmos Energy	A	Dividend	J	T					
51.									

- |  |   |  |   |  |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimat   | T = Cash Market  |                         |

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52. Merrill Lynch Custodian IRA										
53. - Regions Bank	A	Dividend	J	T						
54. - BMC Software		None	J	T						
55. - General Electric	A	Dividend	K	T						
56. - Johnson & Johnson	A	Dividend	J	T						
57. - King Phar.		None	J	T						
58. - Kroger Co.	A	Dividend	J	T						
59. - Merrill Lynch Ret. Reserves	A	Interest	J	T						
60. - PerkinElmer	A	Dividend	J	T						
61. - Sun Trust Bank	A	Dividend	J	T						
62. - Tanger Factory Outlet	A	Dividend	K	T						
63. - Texas Inst.	A	Dividend	J	T						
64. - Greene Co. Bankshares		None	J	T						
65. - Microsoft Corp.	A	Dividend	J	T						
66. - Ingersoll-Rand	A	Dividend	J	T						
67.										
68. DEFERRED COMPENSATION - STATE OF TENNESSEE										

- |  |   |  |  |  |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated  | T = Cash Market  |                         |

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69. - Plan I - 457		None	M	T					
70. - Plan II - 401K		None	M	T					
71.									
72. Ingersoll-Rand	A	Dividend	J	T					
73. Verizon Communications	A	Dividend	J	T					
74. TN Valley Fin. Hldr. Inc.		None	J	T					
75. Caterpillar Inc.	A	Dividend	J	T					
76. Idearc Inc.	A	Dividend	J	T					
77. LSI		None	J	T					
78. Tyco Elect. Ltd.	A	Dividend	J	T					
79. Covidien	A	Dividend	J	T					
80. Merrill Lynch LIRN due 9/8/09		None			Sold	09/10/09	K		
81. Vanguard REIT	A	Dividend	J	T					
82. DEERE CO.	A	Dividend	J	T					
83. TN Housing Dev. Agency 6%, Due 7-25	D	Int/Div.	M	T					
84. Mountain Nat'l Bank CDs	D	Interest	M	T					
85. Foothills B&T	B	Interest	M	T	Buy	02/09/09	M		

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86. Alcoa FCU	C	Interest	M	T	Buy	01/23/09	M		
87. Home Depot	A	Dividend	J	T					
88. Virtus Inv. Ptrs		None	J	T	Spinoff (from line 19)	01/01/09	J		

- |  |   |  |   |  |                         |
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<b>Name of Person Reporting</b> Jordan, Robert L.	<b>Date of Report</b> 4/21/2011
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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Jordan, Robert L.	4/21/2011

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robert L. Jordan**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
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