

AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gregory, Roger L.	2. Court or Organization US Court of Appeals - 4th Cir.	3. Date of Report 05/14/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 1000 East Main Street Suite 212 Richmond, VA 23219-3517	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	ChildFund, International formerly Christian Children's Fund
2. Trustee	Virginia Historical Society
3. Trustee	University of Richmond
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Philip Morris, Incorporated (Altria Group, Inc.)
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those in spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Fisk University	Jan. 14, 2009 - Jan. 15, 2009	Nashville, TN	Keynote Speaker	Travel, Lodging, Meal
2.	Univ. of Pennsylvania Law School	Jan. 22, 2009	Pennsylvania, PA	Moot Court Competition	Travel
3.	Omega Psi Phi, Inc.	April 4, 2009 - April 5, 2009	Orlando, FL	Keynote Speaker	Travel, Lodging, Meal
4.	ABA Standing Committee on Gavel Awards Final Judging	April 24, 2009 - April 25, 2009	Chicago, IL	ABA Committee Meeting	Travel, Lodging, Meal, Parking
5.	ABA Division for Public Edu.	Oct. 16, 2009 - Oct. 18, 2009	Chicago, IL	ABA Committee Meeting	Travel/Mileage, Lodging, Meals, Parking

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank Interest Checking	A	Interest	J	T					
2. Prudential Insurance Annuity		None	K	T					
3. Philip Morris Profit Sharing Plan (401K)		None	N	T					See Note
4. ManuLife Financial - Common Stock	B	Dividend	K	T					
5. New York Life IRA - Fixed Annuity	A	Interest	J	T					
6. RiverSource Life Insurance Company IRA Fixed	A	Interest	K	T					
7. Consolidated Bank & Trust	A	Interest	J	T					
8. John Hancock Life Ins. - term & whole life policy		None	K	T					
9. New York Life Ins. Co. - term & whole life policy		None	J	T					
10. Intel Corp. - Common Stock	A	Dividend	J	T					
11. Call Federal Credit Union	A	Interest	J	T					
12. Kraft Foods, Inc. - Common Stock	A	Dividend	J	T					
13. Metropolitan Life Ins. Co.-whole life policy		None			Redeemed	06/06/09	M	G	See Explanation
14. Metropolitan Life Control Account	C	Interest	M	T	Open	06/29/09	M		
15. SunTrust Money Market Account	A	Interest	M	T	Open	09/04/09	M		
16. John Hancock Safe Access Account	A	Interest	J	T	Open	07/01/09	M		
17. Altria Group, Incorporated Common Stock	A	Dividend	J	T					See Explanation

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII. Investments and Trusts

Item #3: [redacted] owned the Metropolitan Life Whole Life Policy. [redacted] The death proceeds were paid to me on June 29, 2009.

Item #13: [redacted] owned the Philip Morris Profit Sharing Plan (401K). [redacted] The (401K) account was transferred to me as of the date of her death.

Item #17: As I previously explained in Section VIII of my 2006 Financial Disclosure Report, prior to 2006, I was reporting the Altria Group, Inc. stock separately, but stopped listing it separately from [redacted] Philip Morris Profit Sharing Plan (401K). Because [redacted] is now deceased, I have resumed listing the Altria Group, Inc. stock separately in Section VII.

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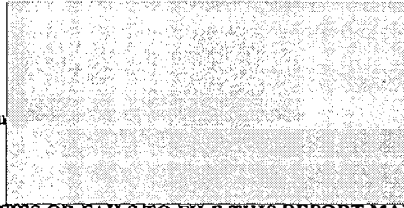
Name of Person Reporting Gregory, Roger L.	Date of Report 05/14/2010
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

**SELF INITIATED
AMENDMENT**

United States Court of Appeals
for the Fourth Circuit

CHAMBERS OF
ROGER L. GREGORY
UNITED STATES CIRCUIT JUDGE

LEWIS F. POWELL, JR. U.S. COURTHOUSE
1000 EAST MAIN STREET, SUITE 212
RICHMOND, VIRGINIA 23219-3517
TELEPHONE 804-916-2607

May 14, 2010

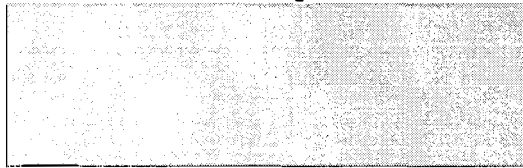
Mr. George D. Reynolds
OJP-ATJD
Administrative Office of the U.S. Courts
Thurgood Marshall Federal Judiciary Bldg.
One Columbus Circle, N.E.
Washington, D.C. 20544

Dear Mr. Reynolds:

Attached to this letter is the list of payment made to a charitable organization in lieu of honoraria and the confidential list of recipient of payment made to a charitable organization in lieu of honoraria.

If you need further information, please contact me at the number listed above.

Sincerely,



RLG/tsb

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DISBURSEMENT OFFICE

LIST OF PAYMENT MADE TO A CHARITABLE ORGANIZATION IN LIEU OF HONORARIA

SOURCE	DATE	AMOUNT
Omega Psi Phi Fraternity, Inc.	11/21/09	500.00

CONFIDENTIAL LIST OF RECIPIENT OF PAYMENT MADE TO A CHARITABLE
ORGANIZATION IN LIEU OF HONORARIA

RECIPIENT	DATE	AMOUNT
Virginia State University	11/21/09	500.00