

AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clark, Ron	2. Court or Organization District Court, E.D. Texas	3. Date of Report 05/06/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2009 to 12/31/2009
	5b. <input type="checkbox"/> Amended Report	
7. Chambers or Office Address 300 Willow #221 Beaumont, TX 77701	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Administrator / co-trustee of Estate # 1, starting April 30, 2009	Estate # 1 [REDACTED]
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1997	State of Texas Retirement Plan, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 12/15/2009	Fee as administrator of Estate # 1	\$26,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Self employed drama teacher/performer/drama competition judge
2. 2009	Salary, Lamar University
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	N.Y. Intellectual Property Law Assoc. (Atty CLE program)	June 26, 2009	New York, NY	CLE Speaker - Patent Law Program	Travel, meals, and hotel
2.	Foundation for Research on Economics & Environment (CLE program)	July 19-23 2009	Gallatin Gateway, NT	Attend seminar on nanotechnology	Travel, meals, and hotel
3.	State Bar of Texas (Atty CLE program)	July 16-17, 2009	San Antonio, TX	CLE Speaker Municipal Law Program	Travel, meals, and hotel
4.	Minnesota CLE	September 23-24, 2009	Minneapolis, MN	CLE Speaker- Patent Law Program	Travel, meals, and hotel
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	USAA Mutual Fund USEXX	A	Interest	K	T					
2.	USAA Mutual Fund USSPX	A	Dividend	K	T					
3.	Vanguard VMMXX Money Market	A	Dividend	K	T					
4.	Vanguard Windsor II Fund	C	Dividend	M	T					
5.	Vanguard Mid-cap Index Fund	A	Dividend	K	T					
6.	Vanguard Mutual Funds IRA 1	C	Dividend	M	T					
7.	-Health Care Fund					Buy	01/08/09	J		
8.	-Windsor II Fund					Buy	03/18/09	J		
9.	-Windsor II Fund					Buy	11/02/09	J		
10.	-Explorer Fund									
11.	-500 Index Fund									
12.	Vanguard Mutual fund IRA 2 - Mid-cap Index Fund	A	Dividend	J	T					
13.	Vanguard Mutual fund IRA 3 - 500 Index Fund	A	Dividend	J	T					
14.	Vanguard Mutual Fund IRA 4 - 500 Index Fund	A	Dividend	J	T					
15.	American Mut. Fund IRA New Perspective Fund	B	Dividend	M	T	buy	02/28/09	J		
16.	Vanguard Variable Annuity		None	K	T					
17.	XOM com. stock	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting
Clark, Ron

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
	18. U.S. Savings Bond		None	J	T				
19. UGTMA Account I (XOM com. stk)	A	Dividend	K	T					
20. HBW Plaza Ltd.	D	Distribution	M	U					
21. Compass Bank Accounts	A	Interest	L	T					
22. AMGN com. stk X		None	M	T					
23. AT&T com. stk X	A	Dividend	K	T	Sold (part)	05/15/09	M	A	
24. AMAT com. stk X	A	Dividend	J	T					
25. ADP. com. stk X	A	Dividend	L	T					
26. CVX com. stk X	A	Dividend	K	T					
27. KO com. stk X	A	Dividend	K	T					
28. ETN com. stk X	B	Dividend	L	T					
29. EMR com. stk X	B	Dividend	L	T					
30. FDX com. stk X	A	Dividend	J	T					
31. HD com. stk X	A	Dividend	K	T					
32. LLY com. stk X	B	Dividend	K	T					
33. MCD com. stk X	A	Dividend	K	T					
34. PEP com. stk X	C	Dividend	L	T					

1. Income Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000; F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H = \$1,000,001 - \$5,000,000; I = \$5,000,001 - \$50,000,000; J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000; N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000; P3 = \$25,000,001 - \$50,000,000; P4 = More than \$50,000,000

2. Value Codes (See Columns C1 and D3)

3. Value Method Codes (See Column C2)

R = Cost (Real Estate Only); S = Assessment; T = Cash Market; U = Book Value; V = Other; W = Estimated

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	35. PFE com. stk X	A	Dividend	K	T					
36. SLB com. stk X	A	Dividend	K	T						
37. American Mutual Fund, New Perspective Fund X	C	Dividend	M	T						
38. American Mutual Fund Small Cap World X	A	Dividend	K	T						
39. Dreyfus S&P 500 Index Fund X	B	Dividend	M	T						
40. BAC Cap. TR XII preferred X	B	Dividend	J	T						
41. Nuveen Arizona Prem. Inc. Muni. Bnd. Fund X	A	Dividend	J	T						
42. Dreyfus AMT-Free Mun. Bnd Fund X	D	Dividend	M	T						
43. Dreyfus Mun. Bnd. Fund X	C	Dividend	L	T						
44. Maricopa Cty AZ bond X	A	Interest	J	T						
45. Salt River Prj AZ bonds X	A	Interest	K	T						
46. McCallister Academic VLG bonds X	A	Interest	K	T						
47. Pima Cty AZ bond X	A	Interest	J	T						
48. Coconino & Yavapai Cos AZ bonds X	A	Interest	J	T						
49. U.of AZ Cop Brd bond X	A	Interest	J	T						
50. Prescott Vly AZ Mun. bond X	A	Interest	J	T						
51. Mesa AZ Util Sys bonds X	A	Interest	J	T						

1. Income Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000; F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H = \$1,000,001 - \$5,000,000; I = \$5,000,001 - \$10,000,000; J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000; N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000; P3 = \$25,000,001 - \$50,000,000; P4 = More than \$50,000,000; (See Columns B1 and D4)

2. Value Codes: J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000; N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000; P3 = \$25,000,001 - \$50,000,000; P4 = More than \$50,000,000; (See Columns C1 and D3)

3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market; U = Book Value; V = Other; W = Estimated

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. AZ Health Facs Auth bond X	A	Interest	J	T					
53. UBS - RMA Money Mkt X	B	Interest	N	T					
54. Beneficiary IRA X	E	Dividend	O	T					
55. -AT&T com. stk X									
56. -BP com. stk X									
57. -CVX com. stk X									
58. -XOM com.stk X									
59. -WRE com. stk X									
60. -WRI com. stk X									
61. -Oppenheimer Champ Income fund X									
62. -Nuveen Equity P & G fund X									
63. -ABA preferred shares X									
64. -USBPR1 preferred shares X									
65. -Eaton Vance En. Equity Inc Fund X									
66. -John Hancock Inc Sec. Fund X									
67. -Lord AbbotBond Deb. Fund X									
68. SYS com. stk X	B	Dividend	L	T					

1. Income Gain Codes: A = \$1,000 or less
(See Columns B1 and D4)
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

2. Value Codes (See Columns C1 and D3)
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

3. Value Method Codes (See Column C2)
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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69. JNJ com. stk X	B	Dividend	L	T					
70. ITW com.stk X	A	Dividend	K	T	Sold (part)	09/01/09	K	D	
71. GE com. stk X	A	Dividend	K	T					
72. HUBB com. stk X	A	Dividend	K	T					
73. COST com. stk X	A	Dividend	J	T					
74. WGL Holdings Inc com. stk X	A	Dividend	J	T					
75. DUK com. stk X	A	Dividend	J	T					
76. SE com. stk X	A	Dividend	J	T					
77. PEO closed end trust X	A	Dividend	K	T					
78. Energy Select Sector SPDR X	A	Dividend	J	T					
79. John Hancock Pat Prem II closed end trust X	A	Dividend	J	T					
80. Nuveen Mun Value fund X	B	Dividend	K	T					
81. Wells Fargo Money Mkt. Account X	A	Int./Div.	L	T					
82. Schwab Money Market account X	B	Int./Div.	J	T					
83. Aquila Group Tax Free Trust of AZ X	B	Int./Div.	L	T					
84. Broadridge Financial Sols. Inc. com. stk X	A	Dividend			Sold	08/13/09	J	A	
85. Walmart Stores Inc com. stk X	A	Dividend			Sold	11/18/09	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
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86. Xcel Energy Inc. com. stk X	A	Dividend			Sold (part)	8/25/09	J	B		
87.					Sold (part)	8/26/09	J	A		
88.					Sold	8/27/09	J	A		
89. Phoenix AZ G/O Unltd Reg Bond X		None			Redeemed	07/01/09	J	A		
90. American Exp Bnk CD X		None			Redeemed	12/03/09	K	A		
91. Nat. City Bank OH CD X		None			Redeemed	10/22/09	K	A		
92. Biogen Idec Inc. com. stk X		None			Sold	05/15/09	K	A		
93. Idacorp Inc. com. stk X		None			Sold	05/15/09	K	A		
94. ING Prim Rate Trust X		None			Sold	05/15/09	J	A		
95. Intergy Ener. Grp. (symb.: TEG) X		None			Sold	05/15/09	J	A		
96. Medcohealth Solutions (symb.: MHS) X		None			Sold	05/15/09	J	A		
97. Liberty Prop Trust REIT X		None			Sold	05/15/09	K	A		
98. UDR Inc. REIT X		None			Sold	05/15/09	K	A		
99. Thornburg Mortgage REIT X		None			Sold	05/15/09	J	A		
100. Alabama Pwr bonds X		None			Sold	05/19/09	J	A		
101. Fed Home Loan Mtg Corp. com. stk X		None			Sold	05/19/09	J	A		
102. IBM com. stk X		None			Sold	05/19/09	M	A		

1. Income Gain Codes: A = \$1,000 or less (See Columns B1 and D4) F = \$50,001 - \$100,000 H = \$1,001 - \$2,500 I = \$2,501 - \$5,000 J = \$5,001 - \$15,000 K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000 Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market U = Book Value V = Other W = Estimated

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. LSI Corp. com stk X		None			Sold	05/19/09	J	A	
104. Motorola Inc. com. stk X		None			Sold	05/19/09	K	A	
105. Niosource Inc. (New) com. stk X		None			Sold	05/19/09	J	A	
106. Nuveen AZ Prem Inc Mun fund X		None			Sold	05/19/09	L	A	
107. Royal Bnk Scotland Pfd X		None			Sold	05/19/09	K	A	
108. Blackrock Euro Fund X		None			Sold	05/19/09	J	A	
109. Ealon Vance Grtr China Growth Fund X		None			Sold	05/19/09	K	A	
110. Beal Bank CD X		None			Buy	6/15/09	M		
111.					Redeemed	12/23/09	M	A	
112.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

I11 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Clark, Ron	05/06/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Items 22 through 111 (all denoted by X in column A) became part of Estate 1 [redacted] All such assets except for Wells Fargo money market fund (Item 81) that are not shown as sold were distributed and will appear on next years report. Item 81 was the only asset remaining in Estate 1 at the end of the year. The proceeds from sale of such assets shown as sold, were distributed or applied to taxes and expenses of administration before end of year.

2. Items 86-88 - Xcel Energy was sold in 3 lots on August 25-27 so it shows as completely sold on August 27 and will not be reported next year.

FINANCIAL DISCLOSURE REPORT

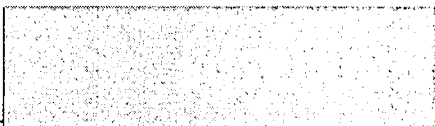
Page 12 of 12

Name of Person Reporting	Date of Report
Clark, Ron	05/06/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544