

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting</b> (last name, first, middle initial)  Guzman, Ronald A.	<b>2. Court or Organization</b>  Northern District of Illinois	<b>3. Date of Report</b>  05/15/2010
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  Active Article III Judge	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2009 to 12/31/2009
<b>7. Chambers or Office Address</b>  219 S. Dearborn St. Chambers 1278 Chicago, IL 60604	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the **NONE** box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

**NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

**NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

**FINANCIAL DISCLOSURE REPORT**  
Page 2 of 7

Name of Person Reporting <b>Guzman, Ronald A.</b>	Date of Report 05/15/2010
------------------------------------------------------	------------------------------

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income** - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	College of DuPage, salary.
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 7

Name of Person Reporting

Guzman, Ronald A.

Date of Report

05/15/2010

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

**FINANCIAL DISCLOSURE REPORT**  
Page 4 of 7

Name of Person Reporting <b>Guzman, Ronald A.</b>	Date of Report <b>05/15/2010</b>
------------------------------------------------------	-------------------------------------

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

1.	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
1.	Fifth Third <input type="checkbox"/>	A	Interest	J	T						
2.	Franklin Federal Tax Free Inc. Fund <input type="checkbox"/>	A	Interest	K	T						
3.	Walgreen Co. Common Stock <input type="checkbox"/>	A	Dividend	J	T						
4.	American Capital Income Builder Mutual Stock Fund <input type="checkbox"/>	A	Dividend	J	T						
5.	MS GL Infrastructure FD A <input type="checkbox"/> (formerly Utilities Fund A)	A	Dividend	J	T						
6.	Van Kampen Comstock Class B <input type="checkbox"/> ****	A	Dividend	J	T						
7.	Van Kampen Corp Bond Class B <input type="checkbox"/>	A	Dividend	J	T						
8.	Van Kampen Mid Cap Grth Fnd Class B <input type="checkbox"/>	A	Dividend	J	T						
9.	Van Kampen Equity Inc Class B <input type="checkbox"/>	A	Dividend	J	T						
10.	Van Kampen International Advantage Class B <input type="checkbox"/>	A	Dividend	J	T						
11.	Van Kampen Amer Value Class B <input type="checkbox"/>	A	Dividend	J	T						
12.	Fidelity Advisor Small Cap A	A	Dividend	J	T						
13.	Fidelity Advisor Mid Cap II A	A	Dividend	J	T						
14.	Fidelity Advisor High Inc Advt Class A	A	Dividend	J	T						
15.	Fidelity Advisor Intl Discovery A	A	Dividend	J	T						
16.	Fidelity Advisor Emerging Mkts Inc. A	A	Dividend	J	T						
17.	Fidelity Advisor New Insights A	A	Dividend	J	T						

1. Income Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H = \$1,000,001 - \$5,000,000; I1 = \$1,000,001 - \$5,000,000; I2 = More than \$5,000,000  
 2. Value Codes: J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000  
 3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market  
 (See Column C2) U = Book Value; V = Other; W = Estimated

**FINANCIAL DISCLOSURE REPORT**

Page 5 of 7

Name of Person Reporting <b>Guzman, Ronald A.</b>	Date of Report <b>05/15/2010</b>
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	18. JP Morgan Liquid Asset Fund	A	Int./Div.	J	T				

1. Income Gain Codes: (See Columns B1 and D4)  
 2. Value Codes (See Columns C1 and D3)  
 3. Value Method Codes (See Column C2)

A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
P3 = \$25,000,001 - \$50,000,000	Q = Appraisal	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
R = Cost (Real Estate Only)	U = Book Value	V = Other	W = Estimated	

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 7

Name of Person Reporting

Guzman, Ronald A.

Date of Report

05/15/2010

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

**FINANCIAL DISCLOSURE REPORT**  
Page 7 of 7

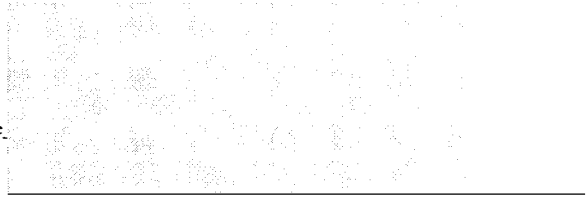
Name of Person Reporting Guzman, Ronald A.	Date of Report 05/15/2010
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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544