



United States Court of Appeals
for the Third Circuit

June 8, 2007

120 CREMONA DRIVE
SUITE D
SANTA BARBARA, CA 93117-5511
Phone: 805-685-7466
[REDACTED]

RUGGERO J. ALDISERT
SENIOR CIRCUIT JUDGE

Dear Members of the Committee:

In Re Calendar Year 2006 Filing

In response to the letter of the Chair inquiring about the absence of United Parcel Systems, Inc., please amend my report dated April 28, 2007, transmitting the annual Financial Disclosure Report for calendar year 2006, as follows:

1. Under the investment club category, one of the securities should have been listed as follows:

D

	1.	2.	3.
--- United Parcel Systems, Inc.	Sell	12-1	J

I trust that this will be satisfactory to the Committee. I enclose three copies of this letter, as requested.

Sincerely,

[REDACTED SIGNATURE]

RECEIVED
18 A 10:15
JUDICIAL
CONFERENCE
OFFICE

Enclosures: (3)

The Committee on Financial Disclosure
Judicial Conference of the United States
One Columbus Circle, N.E.
Washington, D.C. 20544

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

1. Person Reporting (last name, first, middle initial) Aldisert, Ruggero J	2. Court or Organization Third Circuit	3. Date of Report 04/28/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. Circuit Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 120 Cremona Drive Suite "D" Santa Barbara CA 93117-5511	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Emeritus Trustee	University of Pittsburgh
2.	Board of Visitors, Law School	University of Pittsburgh
3.		
4.		
5.		

RECEIVED
 2007 MAY -7 A 10:16
 FINANCIAL
 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Aldisert, Ruggero J

Date of Report

04/28/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2/8	Nat'l Institute of Trial Advocacy (Publications Royalties)	\$ 6796.52
2. 8/8	Nat'l Institute of Trial Advocacy (Publications Royalties)	\$ 5900.90
3. 1/3/9	West Group (Publications Royalties)	\$ 1595.20
4. March-Dec.	AuthorHouse and retail outlets (Publications Royalties)	\$ 573.07
5. 6/7	Yoa Enterprises (Pubnlication (Royalties)	\$ 594.50

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Aldisert, Ruggero J

Date of Report

04/28/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT
Page 4 of 10

Name of Person Reporting Aldisert, Ruggero J	Date of Report 04/28/2007
--	------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Blair Cty Hosp. Bond	A	Interest	J	T					
2. Santa Barbara Bank & Trust Co	A	Interest	L	T					
3. Morgan Stanley Dean Witter IRA	A	Dividend			Sell	10/04	K		
4. --Div. Growth Mutual Fund					Sell	10/4	J		
5. --Utilities Mutual Fund					Sell	10/04	J		
6. --Global Dividend Fund					Sell	10.04	J		
7. Cantella & Co. IRA (New IRA broker)	B	Dividend	K	T	Buy	10/04	K		
8. --Columbia Marsico 21st Fund					Buy	10/04	J		
9. --Davis New York Venture					Buy	10/24	J		
10. --Pimco FDS Pac Invt Mgmt Serv					Buy	10/04	J		
11. --Van Kampen Equity & Income					Buy	10/04	J		
12. --Alliance Berstein Balanced					Buy	10/24	J		
13. --Growth Fund Amer Inc.					Buy	10/04	J		
14. MUTUAL FUNDS									
15. Gabelli Asset	A	Dividend	K	T					
16. Davis New York Venture	A	Dividend	K	T					
17. Columbia Acorn Fund C1 A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting Aldisert, Ruggero J	Date of Report 04/28/2007
--	-------------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Growth Fund of America	A	Dividend	L	T	Buy	10/31	J		
19. American Funds Tax Exempt "F"	B	Interest	L	T					
20. T. Rowe Price High Yield	A	Interest	L	T	Sell	8/16	K		
21. Templeton Foreign	A	Dividend	L	T	Sell	8/16	L		
22. AMCAP Fund	A	Dividend	L	T					
23. Royce Total Return	A	Dividend	K	T					
24. First Eagle Overseas Fund	A	Dividend	K	T					
25. Van Kampen High Yield Muni	A	Interest	K	T					
26. Van Kampen Comstock C1 A	A	Dividend	K	T					
27. Franklin Invs Sec. Tr. Fltq	A	Dividend	J	T					
28. Pimco FDS Pac Int. Mgmt	A	Dividend	J	T					
29. Alliance Bernstein CA T.F.	A	Interest	K	T	Sell	1/26	K		
30. Franklin Mutual Recovery FD	A	Dividend	J	T	Buy	8/16	J		
31. Alliance Bernstein Intl	A	Dividend	J	T	Buy	8/16	J		
32. Alliance Bernstein Muni Income	A	Interest	K	T	Buy	10/23	K		
33. Diamond Hill Long-Short Fund	A	Interest	J	T	Buy	12/18	J		
34. Bear Stearns Tempfund	A	Interest	J	T	Buy	10/04	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Aldisert, Ruggero J

Date of Report

04/28/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.									
36.									
37. COMMON STOCKS									
38. Intel	A	Dividend	K	T	Sell	8/10	J		
39. Johnson & Johnson	A	Dividend	K	T					
40. Mellon Finl Corp	A	Dividend	K	T					
41. Pfizer	A	Dividend	K	T					
42. General Electric	A	Dividend	K	T					
43. Citigroup	A	Dividend	J	T					
44. IShares FTSE/Xinhua China 25 Fund	A	Dividend	J	T	Buy	8/10	J		
45. Starbucks Corp	A	Dividend	J	T	Buy	8/10	J		
46. Schlumberger LTD Antilles	A	Dividend	J	T	Buy	10/24	J		
47.									
48. INVESTMENT CLUB									
49. Mission Impossible Partners	A	Dividend	M	T					
50. --Johnson & Johnson									
51. --Citigroup									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Aldisert, Ruggero J

Date of Report

04/28/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --Berkshire Hathaway									
53. --J.M. Smucker									
54. -General Electric					Sell	01/02	J	A	
55. -Proctor & Gamble									
56. --Costco Wshl Corp									
57. --3M Co									
58. --Pfizer, Inc.									
59. --First Data Corp.									
60. --Exxon Mobil Corp									
61. --Valero Energy Corp.									
62. --Lowe's Companies Inc									
63. --Schlumberger Ltd.									
64. --Apple Computer Co									
65. --Goldman Sachs Groups Inc.					buy	4/28	J		
66. --Intel					buy	4/28	J		
67. --NEC Corp					buy	8/02	J		
68. --Nucor Corp					buy	8/02	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Aldisert, Ruggero J

Date of Report

04/28/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. --Western Union					Spinoff	12/1	J		
70. --iShares FTSE/XINHUA China 25					buy	8/02	J		
71. --Simpson Mgf Co	A	Dividend	J		buy	8/02	J		
72. MONEY MARKET FUNDS									
73. UBS Financial Services	A	Interest	J	T					
74. Bear Steans Securities Corp	A	Interest	J	T					
75. LIFE INSURANCE POLICIES									
76. Phoenix Whole Life	A	Dividend	J	T					
77. Transamerica Life Companies Whole Life	A	Dividend	K	T					
78. Penn Mutual Whole Life	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000 T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting	Date of Report
Aldisert, Ruggero J	04/28/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Section VII Lines 3 and 7.Changed Brokers for IRA accounts 8/10. Securities under Margan Stanley Dean Witter sold 8/10 and account closed.. Open new IRA account 8/10 with Cantellas & Co. on 8/10 and puirchsd new securities.
Line 69 On December 1 Western Union was a spin off company from First Data Line 59.

FINANCIAL DISCLOSURE REPORT
Page 10 of 10

Name of Person Reporting
Aldisert, Ruggero J

Date of Report
04/28/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature  Date 04/28/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544