

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2004**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) <b>BARKER, SARAH E</b>	2. Court or Organization U.S. District Court, SD, IND	3. Date of Report 5/5/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5. Report Type (check appropriate type) <input type="radio"/> Nomination, <input type="radio"/> Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address 210 U.S. COURTHOUSE 46 EAST OHIO STREET INDIANAPOLIS, INDIANA 46204	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions)

**NONE** - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Indiana Academy
2. Member	Spirit and Place Advisory Board
3. Member, Board of Advisors	Indiana University-Purdue University at Indianapolis
4. Emeritus Member, Board of Visitors	Indiana University, School of Law, Bloomington
5. Member	Distinguished Alumni Service Award Club, Indiana University
6. Director and Editor	Federal Judges Association
7. Member	Indianapolis, Federal and Indiana State Bar Associations
8. Member	The Lawyers Club, Indianapolis
9. Director	Clarian Health Partners (non-profit)
10. Member	Downtown Kiwanis Club of Indianapolis
11. Director	Indiana Historical Society
12. Co-Chair	Women in Law Conference, Indianapolis
13. Director	Einstein Institute for Science, Health and the Courts
14. Member, Executive Committee	The Gathering
15. Board of Advisors	Center for International & Comparative Law, Indiana University School of Law -- Indianapolis
16. Member	Women Judges Association
17. Director/Member	Methodist Health Group Inc. (non-profit)
18. Director	Advanced Science and Technology Adjudication Resource
19. Advisor	American Law Institute, Aggregate Litigation project
20. Director	Hanover College, Hanover, Indiana

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions)

**NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.  
\_\_\_\_\_  
\_\_\_\_\_**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.) **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE1.  
\_\_\_\_\_  
\_\_\_\_\_**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. American Bar Association

March 25-28, Orlando, Fla., Litigation, Environment, Products Liability and Mass Torts CLE Conference (Transportation, meals and lodging)

2. Federal Judges Association

May 15-17, Washington, DC, Board Meeting (Transportation, meals and lodging)

3. American Law Institute

September 10-11, Philadelphia, Pa., meeting of Aggregate Litigation Advisors (Transportation, meals and lodging)

4. Advanced Science and Technology Adjudication Research Center ("ASTAR")

November 18-19, Annapolis, Md., Meeting of ASTA Board re: Standards, Credentials and Accreditation (Transportation, meals and lodging)

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**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) **NONE** - (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	President Adam Herbert, Indiana University	Two tickets to Indiana University basketball game	\$42
2.	Margot Eccles	Two tickets to United Way's "United on Broadway" dinner and "Lion King" fundraiser	\$316
3.	43 present/former staff, family and personal friend of reporting individual	For 20th Anniversary as US District Judge, 4 dinners, gift and hotel overnight	\$919
4.	Indiana Historical Society/Lumina Foundation Table	2 dinners at Society annual fundraiser for which Judge served on planning committee	\$150

**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.) **NONE** - (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	NONE		
2.	SEE "ADDITIONAL INFORMATION FOR EXPLANATIONS"		

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A -H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. Bose McKinney & Evans Retirement Plan, Common Trust Fund	E	Dividend	M	T					See explanatory note.
1a. -Kirr Marbach Equity Fund	D	Dividend	L	T					
1b. -Private Capital Management Equity Fund	D	Dividend	L	T					
1c. -Fidelity Investment Grade Bond Fund	B	Dividend			Redeem	8/4	K	D	
1d. -Vanguard Wellington Balanced Fund (VWENX)	B	Dividend	K	T	Buy*	8/20	K		*Reallocation
1e. -Vanguard Intermediate Term Bond Index Fund	A	Dividend	J	T	Buy*	8/20	J		*Reallocation
1f. -Vanguard Reit Index Fund	B	Dividend	J	T	Buy*	8/20	J		*Reallocation
Indianapolis Post Office Credit Union, Account	B	Interest	K	T					
3. JP Morgan Chase Bank, formerly Bank One, Indianapolis	A	Interest	J	T					
4. EuroPacific Growth Fund, Los Angeles, CA, (IRA)									
(Mutual Fund)	A	Dividend	K	T					
5. Merrill Lynch Global Allocation Fund, Inc., (IRA)									
(Mutual Fund)	A	Dividend	K	T					
6. Merrill Lynch Retirement Reserves (IRA Money Accounts)	A	Interest	J	T					See explanatory note
7. JP Morgan Chase Bank, formerly Bank One, Indianapolis		None	J	T					
8. JP Morgan Chase Bank, formerly Bank One, Indianapolis	A	Interest	J	T					
9. CISCO Systems, Inc. Common Stock		None	J	T					
10. Align Technology, Inc. Common Stock		None	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H = \$1,000,001-\$5,000,000 I = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
(See Column C2) U = Book Value V = Other W = Estimated

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	not exempt			
	Amount Code 1 (A -H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
11. JP Morgan Chase Bank, formerly Bank One, Indianapolis	B	Interest	L	T					
12. Hartford Whole Life		None	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS**

(Indicate part of Report.)

Section VI: Our [REDACTED] has graduate educational loans which may have been occasionally listed, wrongfully, on [REDACTED] credit report. However, [REDACTED] only is signed on such loans, and neither the reporting person nor [REDACTED] is involved in such loans in any legal capacity.

Section VII (1): The interest in the "Bose McKinney & Evans Retirement Plan" is an interest of Spouse in a tax-deferred common trust fund for retirement purposes, which is maintained by [REDACTED] former employer. The overall Retirement Plan is itself governed by trustees who are elected from within the partnership of the Bose McKinney & Evans law firm. [REDACTED] is not eligible to vote for such trustees and has no voice in nominating or otherwise selecting them. As a result of changes by such trustees, the Fidelity Investment Grade Bond Fund was redeemed in 2004 (see line 1c); this released monies which could be reallocated among as many as ten collective accounts approved by said trustee. [REDACTED] interest was therefore rebalanced, and the portion previously in 1(c) is now in the three commingled funds named at 1(d), 1(e), and 1(f). All funds listed in this report for the Retirement Plan, including but not limited to the three here which are newly designated, are managed by independent professional managers who engage in various and/or numerous transactions within their respective funds. In the course of overseeing assets in the various commingled funds listed in this Financial Disclosure Report, the Plan trustees have delegated to the professional fund managers all authority with regard to purchases, sales, exchanges, or other dispositions of assets within each fund. [REDACTED] accordingly has no more control over individual assets than would be had as an investor in any publically-traded mutual fund. [REDACTED] interest in the Plan as a whole represents less than one percent of the Plan's assets, and [REDACTED] is making no contribution to the Plan outside the reinvestment sources generated from within the above-listed funds themselves.

Section VII (6): "Merrill Lynch Retirement Reserves" is an aggregated and occasionally reportable, temporary accumulation of IRA cash held as reserves and/or swept into deposit accounts in Merrill Lynch banks. This is in essence a cash flow phenomenon which occurs to varying degrees within IRA accounts prior to dividends, etc., being automatically reinvested in the underlying holdings, which themselves produced the dividends. Such underlying holdings are disclosed fully elsewhere in Section VII.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_



Date \_\_\_\_\_

May 5, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544