

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2005**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b>  BARKER, SARAHE	<b>2. Court or Organization</b>  US District Court, SC, IND	<b>3. Date of Report</b>  04/27/2006
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  ACTIVE ARTICLE III	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b> 01/01/2005 to 12/31/2005
<b>7. Chambers or Office Address</b>  46 EAST OHIO STREET, ROOM 210 INDIANAPOLIS, IN 46204	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Member	Indiana Academy
2. Member, Board of Advisors	Spirit and Place Festival, The Polis Center
3. Member, Board of Advisors	Indiana University-Purdue University at Indianapolis (IUPUI)
4. Emeritus Member, Board of Visitors	Indiana University, School of Law, Bloomington
5. Member	Distinguished Alumni Service Award Club, Indiana University
6. Vice President and President-Elect	Federal Judges Association
7. Member	Indianapolis, Federal, and Indiana State Bar Associations
8. Member	The Lawyers Club, Indianapolis
9. Director	Clarian Health Partners, Inc. (non-profit)
10. Member	Downtown Kiwanis Club of Indianapolis
11. Director	Indiana Historical Society
12. Director	ASTAboard (formerly EINSHAC)
13. Member, Executive Committee	The Gathering
14. Member, Board of Advisors	Center for International and Comparative Law, Indiana University School of Law, Indianapolis
15. Director/Member of the Corporation	Methodist Health Group Inc. (non-profit)
16. Advisor	American Law Institute, Aggregate Litigation Project
17. Member	National Women Judges Association
18. Director	Conner Prairie Living Museum Foundation

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 FINANCIAL DISCLOSURE

19. Member, Board of Directors

Hanover College, Hanover, Indiana

20.

21.

22.

23.

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of instructions.)*

NONE *(No reportable agreements.)*

**DATE**

**PARTIES AND TERMS**

1.

2.

3.

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**BARKER, SARAH E**

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

**B. Spouse's Non-Investment Income -** If you were married during any portion of the reporting year, complete this section.  
(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. The Sedona Conference	April 5 - 8, Sedona, Arizona, "CAFA" seminar panelist (transportation, meals and lodging)
2. Federal Judges Association	May 14-16, Washington, DC, board meeting (transportation, meals and lodging)
3. Practising Law Institute ("PLI")	July 28-29, New York City, class-action seminar speaker (transportation, meals and lodging)
4. Advanced Science & Technology Adjudication Standards, Credential and Accreditation Bd	October 7-9, Warrenton, Virginia, board meeting and judicial seminar (transportation, meals and lodging)
5.	

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Indiana Historical Society	"Living Legends" annual reception and dinner to honor outstanding Hoosiers	\$ 350
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. NONE		
2. SEE "ADDITIONAL INFORMATION FOR EXPLANATIONS"		
3.		
4.		
5.		

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bose McKinney & Evans Retirement Plan, Common Trust Fund	E	Dividend	M	T					See explanatory note
1.a. Kirt Marbach Equity Fund	B	Dividend	K	T	Partial	1/01	K	A	*Reallocation within
					Liquidation*				Retirement Plan Account
1.b. Private Capital Management Equity Fund	B	Dividend	K	T	Partial	1/01	K	A	*Reallocation within
					Liquidation*				Retirement Plan Account
1.c. Vanguard Wellington Balanced Fund (VWENX)	B	Dividend	K	T					
1.d. Vanguard Intermediate Term Bond Index Fund (VBILX)	A	Dividend	J	T					
1.e. Vanguard Reit Index Fund (VGSIX)	C	Dividend	K	T					
1.f. Vanguard International Value Fund (VTRIX)	D	Dividend	L	T	Buy*	1/01	L		*Reallocation within
									Retirement Plan Account
2. Indianapolis Post Office Credit Union, Account	A	Interest	K	T					
3. JP Morgan Chase Bank	A	Interest	K	T					
4. EuroPacific Growth Fund, Los Angeles, CA, IRA	A	Dividend	K	T					
(Mutual Fund)									
5. Merrill Lynch Global Allocation Fund, Inc., IRA									
(Mutual Fund)	B	Dividend	K	T					
6. Merrill Lynch Retirement Reserves (IRA Money Accounts)	A	Interest	J	T					See Explanatory Note

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting <b>BARKER, SARAH E</b>	Date of Report <b>04/27/2006</b>
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
7. JP Morgan Chase Bank		None	J	T					
8. JP Morgan Chase Bank	A	Interest	J	T					
9. CISCO Systems, Inc. Common Stock		None	J	T					
10. Align Technology, inc. Common Stock		None	J	T					
11. JP Morgan Chase Bank	B	Interest	L	T					
12. Hartford Whole Life		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Section VI: [REDACTED] (no longer a dependent) has graduate educational loans which are occasionally listed, wrongfully, on [REDACTED] credit report. However, our [REDACTED] only is signed on such loans, and neither the reporting person nor [REDACTED] is involved in such loans in any legal capacity.

Section VII (1): The interest in the "Bose McKinney & Evans Retirement Plan" is an interest of [REDACTED] in a tax-deferred common trust fund for retirement purposes, which is maintained by [REDACTED] former employer. The overall Retirement Plan is itself governed by trustees who are elected from within the partnership of the Bose McKinney & Evans law firm. [REDACTED] is not eligible to vote for such trustees and has no voice in nominating or otherwise selecting them. The plan trustees have approved as many as ten collective and commingled investment options, and at appointed times resources may be reallocated within and among such options. All Funds listed under such retirement Plan in this Financial Disclosure Report consist of such designated collective investment options. Furthermore, all Funds so listed are managed by independent professional managers who engage in various and/or numerous transactions within their respective funds. In the course of overseeing assets in such various commingled funds, the Plan trustees have delegated to the professional fund managers all authority with regard to purchases, sales, exchanges, or other dispositions of assets within each fund. [REDACTED] accordingly has no more control over individual assets than would be had as an investor in any publically-traded mutual fund. [REDACTED] interest in the Plan as a whole represents less than one percent of the Plan's assets, and [REDACTED] is making no contribution to the Plan outside the reinvestment sources generated from within the above-listed funds themselves.

Section VII (6): "Merrill Lynch Retirement Reserves" is an aggregated and occasionally reportable, temporary accumulation of IRA cash held as reserves and/or swept into deposit accounts in Merrill Lynch banks. This is in essence a cash flow phenomenon which occurs to varying degrees within IRA accounts prior to dividends, etc., being automatically reinvested in the underlying holdings, which themselves produced the dividends. Such underlying holdings are disclosed fully elsewhere in Section VII.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

*April 28, 2006*

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544