

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2006**

<b>1. Person Reporting (last name, first, middle initial)</b>  BARKER, SARAH E	<b>2. Court or Organization</b>  US District Court, SC, IND	<b>3. Date of Report</b>  05/03/2007
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  ACTIVE ARTICLE III	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final  <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2006 to 12/31/2006
<b>7. Chambers or Office Address</b>  46 EAST OHIO STREET, ROOM 210 INDIANAPOLIS, IN 46204	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Vice President and President-Elect	Federal Judges Association
2. Director	Clarian Health Partners, Inc. (non-profit)
3. Director	Indiana Historical Society
4. Director	ASTAboard (formerly EINSHAC)
5. Director/Member of the Corporation	Methodist Health Group Inc. (non-profit)
6. Director	Conner Prairie Living Museum Foundation
7. Member, Board of Directors	Hanover College, Hanover, Indiana
8.	
9.	
10.	
11.	

RECEIVED  
 2007 MAY -7 P 3:20  
 FINANCIAL  
 DISCLOSURE OFFICE

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

2.

3.

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 8

Name of Person Reporting

BARKER, SARAH E

Date of Report

05/03/2007

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		
5.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

**IV. REIMBURSEMENTS** *--transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Federal Judges Association	May 7-10, Arlington, VA, board meeting and quadrennial conference ( meals and lodging)
2. Federal Judges Association	August 30-31, Washington, DC, interviews of Washington Counsel (transportation, meals and lodging)
3.	
4.	
5.	

**FINANCIAL DISCLOSURE REPORT**

Page 4 of 8

Name of Person Reporting

BARKER, SARAH E

Date of Report

05/03/2007

**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Indiana Historical Society	"Living Legends" annual reception and dinner to honor outstanding Hoosiers	\$ 350
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	NONE.		
2.	SEE "ADDITIONAL INFORMATION FOR EXPLANATIONS"		
3.			
4.			
5.			

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>BARKER, SARAH E</b>	Date of Report 05/03/2007
--	------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bose McKinney & Evans Retirement Plan, Common Trust Fund	E	Dividend	M	T					See explanatory note
2. 1.a. Kirt Marbach Equity Fund	C	Dividend	K	T					
3. 1.b. Private Capital Management Equity Fund	C	Dividend	K	T					
4. 1.c. Vanguard Wellington Balanced Fund (VWENX)	C	Dividend	K	T					
5. 1.d. Vanguard Intermediate Term Bond Index Fund (VBILX)	A	Dividend	J	T					
6. 1.e. Vanguard Reit Index Fund (VGSIX)	D	Dividend	K	T					
7. 1.f. Vanguard International Value Fund (VTRIX)	E	Dividend	L	T					
8. 2. Indianapolis Post Office Credit Union, Account	A	Interest	K	T					
9. 3. JP Morgan Chase Bank	A	Interest	K	T					
10. 4. EuroPacific Growth Fund, Los Angeles, CA, IRA	A	Dividend	K	T					
11. (Mutual Fund)									
12. 5. BlackRock Global Allocation Fund, Inc., IRA (Mutual Fund)	B	Dividend	L	T					
13. (formerly known as Merrill Lynch Global Allocation		None							
14. Fund Inc.)									
15. 6. Merrill Lynch Retirement Reserves (IRA Money Accounts)	A	Interest	J	T					See Explanatory Note
16. 7. JP Morgan Chase Bank		None	J	T					
17. 8. JP Morgan Chase Bank	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 8

Name of Person Reporting <b>BARKER, SARAH E</b>	Date of Report <b>05/03/2007</b>
--	-------------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. 9. CISCO Systems, Inc. Common Stock		None	J	T					
19. 10. Align Technology, Inc. Common Stock		None			Sold	5/2	J	A	
20. 11. JP Morgan Chase Bank	C	Interest	L	T					
21. 12. Hartford Whole Life		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

BARKER, SARAH E

Date of Report

05/03/2007

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Section VI: [REDACTED] as graduate educational loans which are occasionally listed, wrongfully, on [REDACTED] credit report. However, our [REDACTED] only is signed on such loans, and neither the reporting person nor spouse is involved in such loans in any legal capacity.

Section VII (1): The interest in the "Bose McKinney & Evans Retirement Plan" is an interest of [REDACTED] in a tax-deferred common trust fund for retirement purposes, which is maintained by [REDACTED]'s former employer. The overall Retirement Plan is itself governed by trustees who are elected from within the partnership of the Bose McKinney & Evans firm. [REDACTED] is not eligible to vote for such trustees and has no voice in nominating or otherwise selecting them. The plan trustees have approved as many as ten collective and commingled investment options, and at appointed times resources may be reallocated within and among such options. All Funds listed under such retirement Plan in this Financial Disclosure Report consist of such designated collective investment options. Furthermore, all Funds so listed are managed by independent professional managers who engage in various and/or numerous transactions within their respective funds. In the course of overseeing assets in such various commingled funds, the Plan trustees have delegated to the professional fund managers all authority with regard to purchases, sales, exchanges, or other dispositions of assets within each fund. [REDACTED] accordingly has no more control over individual assets than would be had as an investor in any publically-traded mutual fund. [REDACTED] interest in the Plan as a whole represents less than one percent of the Plan's assets, and Spouse is making no contribution to the Plan outside the reinvestment sources generated from within the above-listed funds themselves.

Section VII (6): "Merrill Lynch Retirement Reserves" is an aggregated and occasionally reportable, temporary accumulation of IRA cash held as reserves and/or swept into deposit accounts in Merrill Lynch banks. This is in essence a cash flow phenomenon which occurs to varying degrees within IRA accounts prior to dividends, etc., being automatically reinvested in the underlying holdings, which themselves produced the dividends. Such underlying holdings are disclosed fully elsewhere in Section VII.

**FINANCIAL DISCLOSURE REPORT**

Page 8 of 8

Name of Person Reporting BARKER, SARAH E	Date of Report 05/03/2007
---	------------------------------

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 3, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544