

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

<b>1. Person Reporting (last name, first, middle initial)</b> Fitzwater, Sidney A.	<b>2. Court or Organization</b> U.S. District Court, Northern District of Texas	<b>3. Date of Report</b> 04/18/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> Chief U.S. District Judge - Active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b> U.S. Courthouse 1100 Commerce St., Room 1528 Dallas, Texas 75242-1035	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Trust # 3 (See Part VIII).
2. Co-Executor	Estate #4 (See Part VIII for explanation of why no assets owned or held by Estate # 4 are reported in Part VII).
3.	
4.	
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	[REDACTED] - salary
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Freedom Forum, Arlington, VA (First Amendment Center, Inc., Nashville, TN)	February 18-20, 2010	Nashville, TN	Judge moot court competition	Airfare, hotel, transportation, and food
2.	Arizona State University Sandra Day O'Connor College of Law	April 24-26, 2010	Phoenix, AZ	Attend National Forum on the Future of Legal Education	Airfare, hotel, transportation, and food
3.					
4.					
5.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	American Funds-Wash. Mut. Invs. Fund-A (See Part VIII)	A	Dividend	J	T					
2.	UBS Bank USA Deposit Acct.	A	Interest	J	T					
3.	3M Corp. Common Stock	A	Dividend	J	T					
4.	J.P. Morgan Chase & Co. Common Stock	A	Dividend	J	T					
5.	Trust # 2 (Nos. 5-9) (See Part VIII)	C	Dividend	M	T					
6.	-UBS Resource Mgmt. Acct (Money Market Portfolio)									
7.	-Calamos Growth Fund									
8.	-Lord Abbett Affiliated Fund									
9.	-UBS Global Allocation Fund									
10.	Calamos Growth and Income Fund	A	Dividend	J	T					
11.	Lord Abbett Affiliated Fund	A	Dividend	J	T					
12.	Lord Abbett Fundamental Equity		None	J	T					
13.	MFS Research Bond Fund	A	Dividend	J	T					
14.	UBS Global Allocation Fund	A	Dividend	J	T					
15.	Jefferson-Pilot Life Ins Flex Prem. Surv. Life (See Pt VIII)	B	Interest			Redeemed	12/30/10	N		
16.	Lincoln Nat'l Corp. Secure Line (See Part VIII)	A	Interest	N	T	Open	12/30/10	N		
17.	Trust # 3 (Nos. 17-65) (See Part VIII)	F	Int./Div.	PI	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code I (A-H)	Identity of buyer/seller (if private transaction)
18. -Dominion Investor Servs., Inc. Prime Fund (Account)									
19. -Aberdeen Asia-Pacific Prime Income Fund									
20. -ADC Telecommunications Inc. Common (See Part VIII)					Redeemed	12/10/10	J	A	
21. -Ameren Corporation Common Stock									
22. -Consolidated Edison, Inc. Common Stock									
23. -Dominion Resources, Inc. Common Stock									
24. -Dreyfus Strategic Municipals Inc. (Income Trust Fund)									
25. -Duke Energy Corp. Common Stock									
26. -Entergy Corp. Common Stock									
27. -Exelon Corp. Common Stock									
28. -ExxonMobil Corp. Common Stock									
29. -Firstenergy Corp. Common Stock									
30. -NextEra Energy, Inc. Common Stock (See Part VIII)									
31. -Hawaiian Electric Industries Inc. Common Stock									
32. -Integrays Energy Group Inc. Common									
33. -Medco Health Solutions Inc. Common Stock									
34. -Merck & Co. Inc. Common Stock									

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000  
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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -Monsanto Co. New Common Stock									
36. -Nuveen Dividend Advantage Municipal Fund									
37. -Nuveen Dividend Advantage Municipal Fund 2									
38. -Nuveen Dividend Advantage Municipal Fund 3									
39. -Nuveen Insured Premium Inc. Mun. Fund 2 Inc.									
40. -Nuveen Municipal Advantage Fund Inc.									
41. -Nuveen Municipal Value Fund Inc.									
42. -Nuveen Premium Inc. Mun. Fund Inc.									
43. -Nuveen Premium Inc. Mun. Fund 2 Inc.									
44. -Nuveen Premier Insured Municipal Income Fund Inc.									
45. -Nuveen Select Maturities Municipal Fund									
46. -Pepco Holdings Inc. Common Stock									
47. -Pepsico, Inc. Common Stock									
48. -Pfizer Inc. Common Stock									
49. -Spectra Energy Corp. Common Stock									
50. -TECO Energy Inc. Common Stock									
51. -Van Kampen Trust for Insured Municipals									

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**VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)**

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
52. -Westar Energy Inc. Common Stock										
53. -Xcel Energy Inc. Common Stock										
54. -Insured Municipals Income Trust Series 22										
55. -Insured Municipals Income Trust Series 228										
56. -Insured Municipals Income Trust Series 314										
57. -Insured Municipals Income Trust Series 79										
58. -Nuveen Tax Exempt Nat'l Insured 251 (UIT)										
59. -Nuveen Tax Exempt Nat'l Trad. 257 (UIT)										
60. -Unit Insured Municipal Inc. Series 77 (See Pt VIII)										
61. -Insured Municipals Inc. Trust Unit Ser. 290										
62. -Nuveen Investment Quality Municipal Fund, Inc.										
63. -International Bank of Commerce (Account)										
64. -First Mark Credit Union (Account)										
65. -USAA Tax Exempt Long-Term Bond Fund										

- 1. Income Gain Codes:      A = \$1,000 or less              B = \$1,001 - \$2,500              C = \$2,501 - \$5,000              D = \$5,001 - \$15,000              E = \$15,001 - \$50,000  
                                     (See Columns B1 and D4)      F = \$50,001 - \$100,000      G = \$100,001 - \$1,000,000      H1 = \$1,000,001 - \$5,000,000      H2 = More than \$5,000,000
- 2. Value Codes              J = \$15,000 or less              K = \$15,001 - \$50,000              L = \$50,001 - \$100,000              M = \$100,001 - \$250,000  
                                     (See Columns C1 and D3)      N = \$250,001 - \$500,000      O = \$500,001 - \$1,000,000      P1 = \$1,000,001 - \$5,000,000      P2 = \$5,000,001 - \$25,000,000
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                                     (See Column C2)              Q = Appraisal                      R = Cost (Real Estate Only)      S = Assessment                      T = Cash Market  
     U = Book Value                      V = Other                              W = Estimated

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

### Part I (Trust # 3)

I am ethically permitted to serve as Co-Trustee of Trust # 3 because I am doing so under the will of a family member who died in 2007, and such service will not interfere with the proper performance of my judicial duties.

The Trust is designated as Trust # 3 to differentiate it from Trust # 1 and Trust # 2, which have been referred to in this and/or prior reports.

See below for additional explanations concerning the reporting of assets for Trust # 3.

### Part I (Estate # 4)

I am ethically permitted to serve as Co-Executor of Estate #4 because I am doing so under the will of a family member who died in 2010, and such service will not interfere with the proper performance of my judicial duties.

No assets are reported in Part VII for Estate # 4 because, although the family member died in December 2010, the person's will was not admitted to probate and letters testamentary were not issued until January 2011, at which time I began exercising legal authority and responsibility to control the purchase, sale, or other disposition of the assets in the Estate. Under the filing instructions for the 2010 Financial Disclosure Report, the reporting period for positions "consists of the calendar year preceding the date of the report, and the time to the date of the report." See page 9. The reporting period for assets owned or held by the Estate, however, "is the calendar year preceding the date of the report." See page 35. Accordingly, I am reporting the position in Part I but am not reporting assets in Part VII until I file my 2011 Financial Disclosure Report.

The Estate is designated as Estate #4 to differentiate it from estates referred to in prior reports.

### Part VII, Item No. 1

"American Funds-Wash. Mut. Inves. Fund-A" is the same asset as the one reported in my 2009 Financial Disclosure Report as "Washington Mutual Investors Fund (1984 IRA-Mutual Fund)." The asset description has been revised to comply with the filing instructions for describing mutual funds.

### Part VII, Item Nos. 5-9 (Trust # 2)

The type of income disclosed for Trust # 2 is "Dividend." The form does not allow the filer to report multiple types of income except for the combination of interest and dividends. The Trust income includes, in addition to dividends, a short term capital gain distribution. All income has been reported in column B(1).

### Part VII, Item No. 15

This asset is a life insurance policy that was redeemed on 12/30/2010, following the death of the family member whose life was insured. No gain is shown in column D(4) because there was no gain. After the policy was redeemed, the proceeds were distributed to the beneficiaries. The policy proceeds distributed to me are reflected in the asset listed in Part VII, Item No. 16.

### Part VII, Item No. 16

This asset was opened on 12/30/2010 with my portion of the proceeds of the insurance policy reflected in Part VII, Item No. 15. The insurance company opened this account in my name as the means of distributing my portion of the proceeds.

### Part VII, Item Nos. 17-65 (Trust #3)

The type of income disclosed for Trust # 3 is "Int./Div." The form does not allow the filer to report multiple types of income except for the combination of interest and dividends. The Trust income includes other income, such as capital gains earned by funds and distributed to fund owners. All income has been reported in column B(1).

### Part VII, Item No. 20

Tyco Electronics Ltd. acquired ADC Telecommunications, Inc. The shares of ADC were tendered to Tyco as part of the acquisition.

### Part VII, Item No. 30

This asset was reported in my 2009 Financial Disclosure report as Item No. 29 under the name "FPL Group Inc. Common Stock." FPL Group, Inc. is now known as "NextEra Energy, Inc." and is reported in this year's report under that name.

### Part VII, Item No. 60

This asset should not have been included in my 2009 Financial Disclosure Report. It was redeemed on 01/13/09. Had the transaction been reported, Column D(1) would have read "Redeemed"; Column D(2) would have read "01/13/09"; Column D(3) would have read "J"; and Column D(4) would have read "A."



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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sidney A. Fitzwater**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544