

**FINANCIAL DISCLOSURE REPORT
NOMINATION FILING**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

| | | |
|---|---|--|
| 1. Person Reporting (last name, first, middle initial) Sotomayor, Sonia | 2. Court or Organization Supreme Court of the United States | 3. Date of Report 05/11/2010 |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice | 5a. Report Type (check appropriate type) <input checked="" type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report | 6. Reporting Period 1/1/2009 to 12/31/2009 |
| 7. Chambers or Office Address 1 First Street, NE Washington, DC 20543 | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____ | |

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

| | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. | Lecturer-In-Law | Columbia Law School |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

| | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. | | |
| 2. | | |
| 3. | | |

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| | |
|---|-------------------------------------|
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse: see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u> (yours, not spouse's) |
|--------------|------------------------|--|
| 1. 1/09-4/09 | Lecturer-In-Law | \$13,440.00 |
| 2. | | |
| 3. | | |
| 4. | | |

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1. | |
| 2. | |
| 3. | |
| 4. | |

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children: see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

| | <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|----|--|-----------------------|-------------------------|--|--------------------------------|
| 1. | National Urban Fellows | 1/14/2009-1/18/2009 | Ft. Lauderdale, Florida | Keynote speaker for National Urban Fellows 39th Annual Leadership Conference | Transportation, lodging, meals |
| 2. | Pepperdine University | 3/19/2009-3/21/2009 | Malibu, California | Byrne Judicial Clerkship Institute Conference | Transportation, lodging, meals |
| 3. | American Civil Liberties Union | 4/28/2009-5/4/2009 | San Juan, Puerto Rico | Speaker at American Civil Liberties Union Event | Transportation, lodging, meals |
| 4. | Historical Foundation of the Supreme Court of PR & Federal Bar Association | 12/16/2009-12/22/2009 | Puerto Rico | Speaker & visitor to the State and Federal Courts | Transportation, lodging, meals |
| 5. | | | | | |

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

| | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|-------------------|--|--------------|
| 1. | Dawn Cardi | Paid for services of a personal shopper | \$500.00 |
| 2. | Marie Antonieci | Gift Certificate to Day Spa | \$565.00 |
| 3. | Former Law Clerks | Judicial Robe | \$493.00 |
| 4. | Yale Law School | Judicial Robe | \$500.00 |
| 5. | Andrew Drexler | Fountain Pen | \$500.00 |
| 6. | Tom Bachtell | Sketch | \$750.00 |
| 7. | Nancy Gray | Art - Water Color of Three Owls for office | \$1,125.00 |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

| | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|------------------|--------------------|-------------------|
| 1. | American Express | Retail Credit Card | J |
| 2. | Discover | Retail Credit Card | J |
| 3. | Visa | Retail Credit Card | J |
| 4. | Master Card | Retail Credit Card | J |
| 5. | Martha Cortez | Dental Work | K |

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| | |
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| | A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|-----|---|---|---|---|---|---|-------------------------|---------------------------------|--------------------------------|---|
| | | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| | | 1. | Citibank, N.A. Savings | A | Interest | K | T | | | |
| 2. | Citibank, N.A. Checking | A | Interest | J | T | | | | | |
| 3. | | | | | | | | | | |
| 4. | | | | | | | | | | |
| 5. | | | | | | | | | | |
| 6. | | | | | | | | | | |
| 7. | | | | | | | | | | |
| 8. | | | | | | | | | | |
| 9. | | | | | | | | | | |
| 10. | | | | | | | | | | |
| 11. | | | | | | | | | | |
| 12. | | | | | | | | | | |
| 13. | | | | | | | | | | |
| 14. | | | | | | | | | | |
| 15. | | | | | | | | | | |
| 16. | | | | | | | | | | |
| 17. | | | | | | | | | | |

| | | | | | |
|---|---|---|---|--|---|
| 1. Income Gain Codes (See Columns B1 and D4) | A = \$1,000 or less F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000 I = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 J = More than \$50,000,000 |
| 2. Value Codes (See Columns C1 and D3) | J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000 | L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 | M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes (See Column C2) | Q = Appraisal U = Book Value | R = Cost (Real Estate Only) V = Other | S = Assessment W = Estimated | T = Cash Market | |

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Additional Information to Part V. GIFTS.

During my Confirmation process, many people sent me gifts of books, art, jewelry and personal clothing. I have no reason to believe that any of those items exceeded the \$335.00 limit. If I should learn otherwise, I will amend this form.

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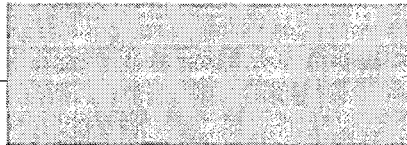
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A rectangular area containing a heavily redacted signature, appearing as a dense grid of grey and white pixels.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544