

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2008**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial) TROTT, Stephen S.	<b>2. Court or Organization</b> Ninth Circuit Court of Appeals	<b>3. Date of Report</b> 05/01/2009
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge, Senior Status	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2008 to 12/31/2008
<b>7. Chambers or Office Address</b> U.S. Courthouse 550 W. Fort Street, Room 667 Boise, Idaho 83724	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Boise Philharmonic Association & Foundation
2. Board Member	Esther Simplot Performing Arts Center
3. Advisory Committee Member	Childrens Home Society of Idaho
4.	
5.	

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 FINANCIAL DISCLOSURE OFFICE

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2008	Vested interest in a retirement plan maintained by Los Angeles Cnty, CA. I was employed as an attorney between 1965/1981. I draw a retirement income monthly.
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2008	Los Angeles County California Retirement	\$12,000.00
2. 2008	"Highwaymen" LLC, Record Sales & Performance Fees	\$2,000.00
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Artio Intl Equity Fd Class 1 -- See Footnote #1 Part VIII	D	Dividend			Sold	12/12	J	A	
2. Blackrock Funds Large Cap Value Fund MDLVX	A	Dividend			Sold	12/12	J	A	
3. Calamos Growth Fund Class A CVGRX	D	Dividend	J	T	Buy	12/12	J		
4. Calamos Growth Fund Class A CVGRX	D	Dividend	J	T	Buy	12/16	J		
5. Cambiar Opportunity Fd CAMWX	A	Dividend			Sold	12/12	J	A	
6. Columbia Funds TR Marsico 21st Century Fd Class A NMTAX	A	Dividend			Sold	12/12	J	A	
7. Dodge Cox Intl Stk Fd DODFX	D	Dividend	J	T	Buy	12/12	J		
8. Dodge Cox Intl Stk Fd DODFX	D	Dividend	J	T	Buy	12/16	J		
9. FMI FDS Focus Fund FMIOX	A	Dividend			Sold	12/12	J	A	
10. Franklin Insured Tax Free Income Fund Class A FTFIX	A	Dividend			Sold	12/12	K	A	
11. Goldman Sachs - TR Finl Square Market Fund FTXXX	A	Dividend	J	T	Buy	12/04	J		
12. Goldman Sachs - TR Finl Square Market Fund FTXXX	A	Dividend	J	T	Sold (part)	12/12	J	A	
13. Goldman Sachs - TR Finl Square Market Fund FTXXX	D	Dividend			Sold	12/16	J	A	
14. Growth Fund of America Class F	D	Dividend	J	T	Buy	12/12	J		
15. Growth Fund of America Class F	D	Dividend	J	T	Buy	12/16	J		
16. ING Fund Int Value NIIVX	A	Dividend			Sold	12/12	J	A	
17. Ishares MSCI EAFE Index Fund	D	Dividend	J	T	Buy	12/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Ishares Russell Mid Cap Value	D	Dividend	J	T	Buy	12/12	J		
19. Janus Invt Fund Mid Cap JMIVX	A	Dividend			Sold	12/15	J	A	
20. Kinetics Paradigm Fund NAV KNPYX	A	Dividend			Sold	12/12	J	A	
21. Legg Mason Value Trust TR Inc NAV LMNVX	A	Dividend	J	T					
22. Legg Mason Value Trust TR Inc NAV LMNVX	D	Dividend	J	T	Buy	12/12	J		
23. Legg Mason Value Trust TR Inc NAV LMNVX	A	Dividend	J	T	Buy	12/16	J		
24. Mutual Series FD Inc Discovery Fd CL A TEDIX	D	Dividend	J	T	Buy	12/12	J		
25. Mutual Series FD Inc Discovery Fd CL A TEDIX	D	Dividend	J	T	Buy	12/16	J		
26. Nuveen Inter Duration Municipal BD FD CL R NUVBA	A	Dividend			Sold	12/12	K	A	
27. Royce Premier Fund RYPRX	A	Dividend			Sold	12/12	J	A	
28. RS Int TR Global Nat Res FD Class A RSNRX	A	Dividend			Sold	12/12	J	A	
29. Schroeder Muni Bond Inv SMBIX	A	Dividend	K	T					
30. TCW Galileo Funds Select TGCEX	A	Dividend			Sold	12/12	J	A	
31. T Rowe Price Mid Cap RPMGX	A	Dividend			Sold	12/12	J	A	
32. Vanguard Index Trust 500 Portfolio Inv Fd VFINX	D	Dividend	J	T	Buy	12/12	J		
33. Vanguard Index Trust 500 Portfolio Inv Fd VFINX	D	Dividend	J	T	Buy	12/16	J		
34. Vanguard Index Tr Small Cap STK Portfolio Inv NAESX	D	Dividend	J	T	Buy	12/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Vanguard Index Tr Small Cap STK Portfolio Inv NAESX	D	Dividend	J	T	Buy	12/16	J		
36. Vanguard Mid Cap Index Fd CL I VIMSX	D	Dividend	J	T	Buy	12/12	J		
37. Vanguard Mid Cap Index Fd CL I VIMSX	D	Dividend	J	T	Buy	12/16	J		
38. Vanguard Municipal Bond Fund Inter Term VWITX	A	Dividend	J	T					
39. Vanguard Municipal Bond Fund Inter Term VWITX	A	Dividend	J	T	Buy	12/12	J		
40. Vanguard Municipal Bond Fund Inter Term VWITX	A	Dividend	J	T	Buy	12/16	J		
41. Vanguard Municipal Bond Fund Lmted Term Tax Exempt VMLTX	A	Dividend	J	T					
42. Vanguard Municipal Bond Fund Lmted Term Tax Exempt VMLTX	A	Dividend	J	T	Bought	12/12	J		
43. Bonds - Boise Idaho Waste Water	A	Interest	J	T					
44. Bonds - Canyon County Idaho School	A	Interest	K	T					
45. Bonds - Idaho Housing & Finance Association	A	Interest			Redeemed	07/01	J	A	
46. Janus Fund JANSX	B	Dividend	K	T					
47. Janus Growth & Income JAGIX	B	Dividend	K	T					
48. Artio Intl Equity Fund I JIEIX -- See Footnote #I Part VIII	A	Dividend			Sold	12/12	J	A	
49. Artio Intl Equity Fund II JETIX	A	Dividend	J	T	Buy	12/12	J		
50. Artio Intl Equity Fund II JETIX	A	Dividend	J	T	Buy	12/16	J		
51. Black Rock Funds Large Cap Value Fund CL A MDLVX	A	Dividend			Sold	12/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Calamas Growth Fund Class A CVGRX	A	Dividend	J	T	Buy	12/12	J		
53. Calamas Growth Fund Class A CVGRX	A	Dividend	J	T	Buy	12/16	J		
54. Cambiar Opportunity Fund CAMWX	A	Dividend	J	T	Sold (part)	5/19	J	A	
55. Cambiar Opportunity Fund CAMWX	A	Dividend			Sold	12/12	J	A	
56. Columbia Funds TR Marsico 21st Century FD Class A NMTAX	A	Dividend			Sold	12/12	J	A	
57. Cash & Money Market - Evergreen US Govt Portfolio	A	Interest	K	T					
58. Dodge Cox Intl STK Fund DODFX	A	Dividend	J	T	Buy	12/12	J		
59. Dodge Cox Intl STK Fund DODFX	A	Dividend	J	T	Buy	12/16	J		
60. FMI FDS Focus Fund FMIOX	A	Dividend			Sold	12/12	J	A	
61. Goldman Sachs TR Finl Square Market Fd FSMXX	A	Dividend	J	T					
62. Goldman Sachs TR Finl Square Market Fd FSMXX	A	Dividend	J	T	Buy	05/19	J		
63. Goldman Sachs TR Finl Square Market Fd FSMXX	A	Dividend	J	T	Sold (part)	12/12	J	A	
64. Goldman Sachs TR Finl Square Market Fd FSMXX	A	Dividend			Sold	12/16	J	A	
65. Growth Fund American Fund - Class F	A	Dividend	J	T	Buy	12/12	J		
66. Growth Fund American Fund - Class F	A	Dividend	J	T	Buy	12/16	J		
67. ING Fund Intl Value Fund NIIVX	A	Dividend			Sold	12/12	J	A	
68. Janus Invt Fund Mid Cap JMIVX	A	Dividend			Sold	12/15	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. Janus Advisers Ser Adv Mid Cap Cl I JMVAX	A	Dividend	J	T	Buy	12/12	J		
70. Janus Advisers Ser Adv Mid Cap Cl I JMVAX	A	Dividend	J	T	Buy	12/16	J		
71. Kinetics Paradigm Fund KNPYX	A	Dividend			Sold	12/12	J	A	
72. Legg Mason Value Trust TR Inc NAV LMNVX	D	Dividend	J	T					
73. Legg Mason Value Trust TR Inc NAV LMNVX	A	Dividend	J	T	Buy	12/12	J		
74. Legg Mason Value Trust TR Inc NAV LMNVX	A	Dividend	J	T	Buy	12/16	J		
75. Mutual Series FD Inc Discovery Fd CL A TEDIX	A	Dividend	J	T	Buy	12/12	J		
76. Mutual Series FD Inc Discovery Fd CL A TEDIX	A	Dividend	J	T	Buy	12/16	J		
77. PIMCO Funds Pac Int PATTRX	A	Dividend	K	T	Sold (part)	05/19	J	A	
78. PIMCO Funds Pac Int PATTRX	A	Dividend	J	T	Sold (part)	12/03	J	A	
79. PIMCO Funds Pac Int PATTRX	A	Dividend	J	T	Sold (part)	12/12	J	A	
80. RS Invst TR Federal Natural Res FD Class A RSNRX	A	Dividend			Sold	12/12	J	A	
81. Royce Premier Fund RYPRX	A	Dividend	J	T	Sold (part)	05/19	J	A	
82. Royce Premier Fund RYPRX	A	Dividend			Sold	12/12	J	A	
83. TCW Galileo Funds Inc TGCEX	A	Dividend			Sold	12/12	J	A	
84. T Rowe Price Mid Cap RPMGX	A	Dividend			Sold	12/12	J	A	
85. Vanguard Index Trust 500 Portfolio Inv Fund VFINX	A	Dividend	J	T	Buy	12/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					



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86. Vanguard Index Trust 500 Portfolio Inv Fund VFINX	A	Dividend	J	T	Buy	12/16	J		
87. Vanguard Index TR Small Cap Stock Portfolio NAESX	A	Dividend	J	T	Buy	12/12	J		
88. Vanguard Index TR Small Cap Stock Portfolio NAESX	A	Dividend	J	T	Buy	12/16	J		
89. Vanguard Mid Cap Index Fd Cl 1 VIMSX	A	Dividend	J	T	Buy	12/12	J		
90. Vanguard Mid Cap Index Fd Cl 1 VIMSX	A	Dividend	J	T	Buy	12/16	J		
91. Western Asset Core WATFX	A	Dividend	K	T	Sold (part)	05/19	J	A	
92. Western Asset Core WATFX	A	Dividend	J	T	Sold (part)	12/03	J	A	
93. IRA - US Bank Cash Equivalent	C	Interest	K	T					

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TROTT, Stephen S.	05/01/2009

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Section VII: This, except for line #93 (IRA U.S. Bank), [REDACTED] asset held in three different accounts, which may make some of the reporting seem duplicative or redundant, which it is not.

Note: This report consists of three separate accounts.

Footnote #1: Artio Intl Equity Fund 1 - JIEIX (Formerly Julius Baer Inv. Fund)

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

TROTT, Stephen S.

Date of Report

05/01/2009

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature 

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544