AO 10 Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
O'Brien, Terrence L.	Tenth Circuit	05/14/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2010 to 12/31/2010
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
U.S. Court of Appeals, Tenth Circuit 2120 CareyAve., Suite 200 Cheyenne, WY 82001	Reviewing Officer__________ Date______	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
O'Brien, Terrence L.	05/14/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2010	State of Wyoming - Judicial Retirement	$50,786.20
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
O'Brien, Terrence L.	05/14/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.	Wooden Chest	April Brimmer Kunz	$350.00
3.	Air Fare Cheyenne Vancover Cheyenne	Marian Rochelle	$560.00
4.	Alaska Tours	April Brimmer Kunz	$985.00
5.	Alaska Cruise	Marian Rochelle	$5,000.00
6.	Metz- Lewis & Clark Book	Marian Rochelle	$2,450.00
7.	Thomas Bronze (1/2 interest)	Marian Rochelle	$3,227.50
8.	Misc Gifts	April Brimmer Kunz	$900.00
9.	Christmas & Birthday Gifts [redacted]	[redacted]	$600.00

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
O'Brien, Terrence L.	05/14/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	IRA#1 Wy Defer Compt Artisan Mid Cap ARTMX	A	Dividend			Sold	12/27/10	K	C	Proceeds to Line 33
2.	IRA #1 Wy Retire Acct Dreyfus Money Market Reserves	A	Dividend			Sold	12/27/10	J	A	Proceeds to line 33
3.	IRA#1 WY Defer Comp Dodge & Cox Intl Stock Fund	A	Dividend			Sold	12/27/10	K	A	Proceeds to line 33
4.	IRA#1 WYDefer Comp Perkins Mid Cap Value Fund	A	Dividend			Sold	12/27/10	K	D	Proceeds to line33
5.	IRA#1 WY Defer Comp Black Rock Russell 1000 Growth Index T	A	Dividend			Sold	12/27/10	K	B	Proceeds to Line 33
6.	IRA #2 BA (TD Ameritrade - IRA)	A	Dividend			Sold	12/09/10	K	D	
7.	IRA #2 CLX (TDA-IRA)	A	Dividend			Sold	12/09/10	J	A	
8.	IRA #2 CVX (TDA-IRA)	A	Dividend			Sold	12/09/10	J	C	
9.	IRA#2 DRYS (TDA_IRA)	A	Dividend			Sold	12/09/10	J	A	
10.	IRA #2 GR (TD-IRAA)	A	Dividend			Sold	12/09/10	J	B	
11.	IRA#2 Cash Equivalent Account (TDA-IRA)	A	Interest	L	T					Converted to Roth IRA
12.	BKH (TD Ameritrade)	A	Dividend			Sold	12/09/10	J	C	
13.	BA (TDA)	A	Dividend			Sold	12/09/10	K	D	
14.	CAT (TDA)	A	Dividend			Sold	12/09/10	K	D	
15.	GLW (TDA)	A	Dividend			Sold	12/09/10	K	D	
16.	DD (TDA)	A	Dividend			Sold	12/09/10	K	D	
17.	FCX (TDA)	A	Dividend			Sold	12/09/10	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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O'Brien, Terrence L.	05/14/2011

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18. IBM (TDA)	A	Dividend			Sold	12/09/10	K	E	
19. JNJ (TDA)	A	Dividend			Sold	12/09/10	K	B	
20. KMB (TDA)	A	Dividend			Sold	12/09/10	J	A	
21. PEP (TDA)	A	Dividend			Sold	12/09/10	J	A	was PAS
22. RTP (TDA)	A	Dividend			Sold	12/09/10	K	E	
23. JAVA (TDA)	A	Dividend			Sold	01/28/10	J	A	
24. TRA (TDA)	A	Dividend			Sold	04/26/10	K	D	
25. TRN (TDA)	A	Dividend			Sold	12/09/10	K	C	
26. UNP (TDA)	A	Dividend			Sold	12/09/10	K	D	
27. VLO (TDA)	A	Dividend			Sold	12/09/10	K	B	
28. CF (TDA)	A	Dividend			Buy	04/16/10	J		
29. CF (TDA)	A	Dividend			Sold	12/09/10	J	A	
30. PTHAX (TDA)	A	Interest	J	T					
31. ATCHX (TDA)	A	Interest	J	T					was VKMHX
32. Cash Equivalent Account (TDA)	A	Interest	N	T					Was CMFUZ
33. Roth IRA Fidelity Investments	A	Int./Div.	M	T	Buy	01/03/11	M		
34. BRKB (Fidelity Investments)	A	Dividend			Sold	12/09/10	K	A	

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. IBDRY (Fid)	A	Dividend			Sold	12/09/10	J	A	
36. BA (Fid)	A	Dividend			Sold	12/09/10	J	A	
37. FHIGX (Fid)	A	Dividend	L	T					
38. FTEXX Money Market Account (Fid)	A	Interest	M	T					
39. Transamerica Annuity #1	A	Interest	K	T					was Pacific Fidelity Life
40. Transamerica Annuity #2	A	Interest	K	T					was PFL
41. Transamerica Annuity #3	A	Interest	K	T					was PFL
42. Promissory Note from [redacted]	D	Interest	N	T	Buy	05/19/10	N		
43. First Interstate Bank Gillette WY Cash Equiv Acct	A	Interest	M	T					
44. USAA Fed Savings Bank, San Antonio, TX Cash Equiv Acct	A	Interest	M	T					
45. First NB, Buffalo, WY Cash Equiv Acct	A	Interest	J	T					
46. American NB, Cheyenne, WY Cash Equiv Acct	A	Interest	L	T					Acct Closed
47. U S Coins		None	J	T					
48. U.S. Bonds	A	Interest	J	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII - I converted my State of Wyoming IRA (lines 1-5) to a Roth IRA with Fidelity Investments (line 33). The SOW issued a check on 12/27/2010 but it did not reach Fidelity for credit to the Roth IRA account until 01/03/2011

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Terrence L. O'Brien

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544