

# FINANCIAL DISCLOSURE REPORT

## FOR CALENDAR YEAR 2006

*Report Required by the Ethics  
in Government Act of 1978,  
(5 U.S.C. app. §§101-111)*

|   |  |   |
|---|--|---|
| <b>1. Person Reporting</b> <i>(Last name, first, middle initial)</i><br><br>HENDERSON, THELTON E.   | <b>2. Court or Organization</b><br>United States District Court<br>450 Golden Gate Avenue<br>San Francisco, CA 94102   | <b>3. Date of Report</b><br><br>05/15/07              |
| <b>4. Title</b> <i>(Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</i><br><br>Senior U.S. District Judge | <b>5a. Report Type</b> <i>(check appropriate type)</i><br>___ Nomination, Date _____<br>___ Initial <input checked="" type="checkbox"/> Annual    ___ Final<br><br>5b. ___ Amended Report  | <b>6. Reporting Period</b><br><br>01/01/06 - 12/31/06 |
| <b>7. Chambers or Office Address</b><br>United States District Court<br>450 Golden Gate Avenue<br>San Francisco, CA 94102                                     | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____ |   |

*IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of Instructions.)*

| <u>POSITION</u>  | <u>NAME OF ORGANIZATION/ENTITY</u>                 |
|--|--|
| <input type="checkbox"/> NONE (No reportable positions.) |  |
| 1 DIRECTOR   | Stiles Hall, Berkeley, CA                          |
| 2 DIRECTOR   | Edward J. McFetridge American Inn of Court, SF, CA |
| 3 DIRECTOR   | Historical Society of No. CA, SF, CA               |

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of Instructions.)*

| <u>DATE</u>  | <u>PARTIES AND TERMS</u> |
|--|--------------------------|
| <input checked="" type="checkbox"/> NONE (No reportable agreements.) |                          |
| 1 _____  |                          |
| 2 _____  |                          |

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of Instructions.)*

| <u>DATE</u>   | <u>SOURCE AND TYPE</u> |          |
|---|------------------------|----------|
| <b>A. Filer's Non-Investment Income</b>   |                        |          |
| <input checked="" type="checkbox"/> NONE (No reportable non-investment income.) |                        |          |
| 1 _____   |                        | \$ _____ |
| 2 _____   |                        | \$ _____ |
| 3 _____   |                        | \$ _____ |

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)**

|   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> NONE (No reportable non-investment income.) |  |  |
| 1 _____   |  |  |
| 2 _____   |  |  |

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 FINANCIAL DISCLOSURE OFFICE

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|   |                            |
|---|----------------------------|
| Name of Person Reporting<br>HENDERSON, THELTON E. | Date of Report<br>05/15/07 |
|---|----------------------------|

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

|                          | <u>SOURCE</u>                             | <u>DESCRIPTION</u>   |
|--------------------------|---|--|
| <input type="checkbox"/> | NONE (No such reportable reimbursements.) |  |
| 1                        | American Law Institute                    | May 14-17, 2006 - Wash., D.C.<br>(Transportation, Meals, Lodging)    |
| 2                        | American Constitution Society             | June 14-17, 2006 - Wash., D.C.<br>(Transportation, Meals, Lodging)   |
| 3                        | San Diego Bar Association                 | Aug. 26-27, 2006 - San Diego, CA<br>(Transportation, Meals, Lodging) |
| 4                        | University of Nevada                      | Sept. 20-21, 2006 - Reno, NV<br>(Transportation)                     |
| 5                        | Harvard University                        | Oct. 17-20, 2006 - Boston, MA<br>(Transportation, Meals, Lodging)    |
| 6                        |   |  |
| 7                        |   |  |

**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

|                                     | <u>SOURCE</u>                    | <u>DESCRIPTION</u> | <u>VALUE</u> |
|-------------------------------------|----------------------------------|--------------------|--------------|
| <input checked="" type="checkbox"/> | NONE (No such reportable gifts.) |                    |              |
| 1                                   |                                  |                    | \$           |
| 2                                   |                                  |                    | \$           |
| 3                                   |                                  |                    | \$           |
| 4                                   |                                  |                    | \$           |

**VI. LIABILITIES.** (Includes those of spouse and dependent children See pp. 32-33 of Instructions.)

|                                     | <u>CREDITOR</u>                   | <u>DESCRIPTION</u> | <u>VALUE CODE*</u> |
|-------------------------------------|-----------------------------------|--------------------|--------------------|
| <input checked="" type="checkbox"/> | NONE (No reportable liabilities.) |                    |                    |
| 1                                   |                                   |                    |                    |
| 2                                   |                                   |                    |                    |
| 3                                   |                                   |                    |                    |
| 4                                   |                                   |                    |                    |
| 5                                   |                                   |                    |                    |

\*Value Codes: J=\$15,000 or less    K=\$15,001-\$50,000    L=\$50,001-\$100,000    M=\$100,001-\$250,000  
 N=\$250,001-\$500,000    O=\$500,001-\$1,000,000    P1=\$1,000,001-\$5,000,000  
 P2=\$5,000,001-\$25,000,000    P3=\$25,000,001-\$50,000,000    P4=\$50,000,001 or more

**FINANCIAL DISCLOSURE REPORT**

|  |                                   |
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| Name of Person Reporting<br><b>HENDERSON, THELTON E.</b> | Date of Report<br><b>05/15/07</b> |
|--|-----------------------------------|

**VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions** (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

| A<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure</i> | B<br>Income during reporting period |                                    | C<br>Gross value at end of reporting period |   | D<br>Transactions during reporting period          |                    |                                      |                                     |   |
|--|-------------------------------------|------------------------------------|---|---|--|--------------------|--------------------------------------|-------------------------------------|---|
|  | (1)                                 | (2)                                | (1)   | (2)                                     | If not exempt from disclosure                      |                    |                                      |                                     |   |
|  | Am't Code <sup>1</sup><br>(A-F)     | Type<br>(e.g. div., rent, or int.) | Value Code <sup>2</sup><br>(J-P)            | Value Method Code <sup>3</sup><br>(Q-W) | Type<br>(1) (e.g. buy, sell, transfer, redemption) | (2) Date Month/Day | (3) Value Code <sup>2</sup><br>(J-P) | (4) Gain Code <sup>1</sup><br>(A-H) | (5) Identity of buyer/seller (if private transaction) |
| <input type="checkbox"/> <b>NONE</b> (No reportable income, assets, or transactions.)  |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| <sup>1</sup> Provident Central Cr.Un.<br>Affymetrix, Inc.  | A                                   | Div.                               | J   | T                                       |  |                    |                                      |                                     |   |
| <sup>2</sup> (common stock)  | A                                   | Div.                               | J   | T                                       |  |                    |                                      |                                     |   |
| Benham California Tax-Free   |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| <sup>3</sup> Money Market Fund   | A                                   | Div.                               | J   | T                                       | SOLD   |                    | J                                    | A                                   | Benham Fund   |
| Dreyfus Third Century  |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| <sup>4</sup> Fund, Inc.  | A                                   | Div.                               | J   | T                                       | SOLD   |                    | J                                    | A                                   | Dreyfus Fund  |
| Fidelity Low-Priced  |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| <sup>5</sup> Stock Fund  | A                                   | Div.                               | J   | T                                       | SOLD   |                    | J                                    | A                                   | Fidelity Fund   |
| 6  |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| 7  |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| 8  |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| 9  |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| 10   |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| 11   |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| 12   |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| 13   |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| 14   |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| 15   |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| 16   |                                     |                                    |   |   |  |                    |                                      |                                     |   |
| 17   |                                     |                                    |   |   |  |                    |                                      |                                     |   |

|   |  |  |  |  |                     |
|---|--|--|--|--|---------------------|
| 1 | Income/Gain Codes: A=\$1,000 or less<br>(Sec Col. B1, D4) F=\$50,001-\$100,000                             | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                                | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes: J=\$15,000 or less<br>(Sec Col. C1, D3) N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$5,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes: Q=Appraisal<br>(Sec Col. C2) U=Book value  | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated  | T=Cash/Market  |                     |

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

HENDERSON, THELTON E.

Date of Report

05/15/07

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**

None.

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature \_\_\_\_\_

Date May 15, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

**FILING INSTRUCTIONS:**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544