

AO-10  
Rev. 1/2004

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) PLATT, THOMAS C	2. Court or Organization EASTERN DISTRICT OF NEW YORK	3. Date of Report 4/25/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (SENIOR)	5. Report Type (check appropriate type) <input type="radio"/> Nomination,      Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address U.S. DISTRICT COURT P.O. BOX 9014 CENTRAL ISLIP, NEW YORK 11722	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

**NONE** - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	U/W Charlotte Platt Lyman
2.	
3.	

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### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

**NONE** - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

**FINANCIAL DISCLOSURE REPORT**Name of Person Reporting  
PLATT, THOMAS CDate of Report  
4/25/2005**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	Trustee (Commissions - Charlotte Platt Lyman)	\$10,344.00

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.) **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	

**IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	ALI/ABA	CLE H & S T* F & L Scottsdale, Arizona 1/17 - 1/20/04
2.	Employment Law Seminar	H & S T F & L New York, New York 3/11 - 3/12/04
3.	Federal Bar Council	Lunch H F New York, New York 1/24/04
4.		
5.		
6.		*H only.

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**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

**NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		

**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

**NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

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Name of Person Reporting  
PLATT, THOMAS C

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. Allstate Corp. Common	B	Dividend	L	T					
2. Antigenics Inc. Common		None	J	T					
3. Black & Decker Common	A	Dividend	K	T					
4. Burlington Res. Common	B	Dividend	M	T					
5. Canadian Pacific (Ltd.) Common	A	Dividend	K	T					
6. CP Ships Ltd. Common	A	Dividend	J	T					
7. Dephi Automotive Common	A	Dividend	J	T					
8. Direct TV Group Inc.		None	J	T		3/19			Name changed from Hughes
9. Dupont de Nemours Common	A	Dividend	K	T					
10. El Paso Corp. Common	A	Dividend	K	T					
11. Encana Corp. Common	A	Dividend	L	T					
12. Exxon Mobil Common	E	Dividend	O	T					
13. Fairmont Hotels Common	A	Dividend	J	T					
14. Fording CDN Coal Trust Common	A	Dividend	J	T					
15. Freeport McMoran Oil Royalty Trust		None							Worthless
16. General Electric Common	E	Dividend	P1	T					
17. General Motors Common	A	Dividend	J	T					
18. Halliburton Co. Common	D	Dividend	O	T					

1. Income/Gain Codes	A – \$1,000 or less	B – \$1,001-\$2,500	C – \$2,501-\$5,000	D – \$5,001-\$15,000	E – \$15,001-\$50,000
(See Columns B1 and D4)	F – \$50,001-\$100,000	G – \$100,001-\$1,000,000	H1 – \$1,000,001-\$5,000,000	H2 – More than \$5,000,000	
2. Value Codes	J – \$15,000 or less	K – \$15,001-\$50,000	L – \$50,001-\$100,000	M – \$100,001-\$250,000	
(See Columns C1 and D3)	N – \$250,000-\$500,000	O – \$500,001-\$1,000,000	P1 – \$1,000,001-\$5,000,000	P2 – \$5,000,001-\$25,000,000	
	P3 – \$25,000,001-\$50,000,000		P4 – More than \$50,000,000		
3. Value Method Codes	Q – Appraisal	R – Cost (Real Estate Only)	S – Assessment	T – Cash/Market	
(See Column C2)	U – Book Value	V – Other	W – Estimated		

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Hartford Fin. Serv. Group Common	A	Dividend	J	T					
20. IBM Common	B	Dividend	M	T					
21. International Paper Co. Common	A	Dividend	K	T					
22. Ionics Common		None	K	T					
23. ITT Industries Common	A	Dividend	J	T					
24. LL & B Royalty Trust	A	Royalty	J	T					
25. Morgan Stanley Dean Witter Common	B	Dividend	L	T					
26. News Corp. Ltd. Preferred	A	None	J	T					
27. Pepsico Common	B	Dividend	M	T					
28. Progress Energy Common	A	Dividend	J	T					
29. Sears Common	A	Dividend	K	T					
30. Starwood Hotels Common	A	Dividend	J	T					
31. Sun Trust Common	A	Dividend	J	T					
32. Teraforce Tech Common		None	J	T					
33. Yum Brands Common	A	Dividend	K	T					
34. Zweig Fund Inc. Common	A	Dividend	J	T					
35. Scudder Gov't Money Fund Common	A	Dividend	J	T					
36. Paine Webber Cash Fund Common	D	Dividend	O	T					

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37. Chase Manhattan Common	A	Interest	L	T					
38. US Series HH Bonds	E	Interest	L	T					
39. IRAs - Chase CD's	A	Int.Annuity	J	T					
40. *****									
41. Trustee - Trust Aunt	F	Div Int	P1	T					
42. Delphi Automotive Sys Corp									
43. Direct TV Group Inc.						3/19			Name changed from Hughes
44. Du Pont De Nemours									
45. Electronic Data Sys Corp New									
46. Exxon Mobil Corp.									
47. Genl Electric Co									
48. Genl Motors Corp									
49. Intl Paper Co									
50. News Corp. Ltd.									
51. Pepco Holdings, Inc.									
52. Raytheon Co CLA									
53. Xcel Energy Inc. (formerly Nthn States Power Minn)									
54. Paine Webber RMA-Govt Cash Fund									

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. U.S. Treasury Note									
56.									
57.									

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**FINANCIAL DISCLOSURE REPORT**

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS**

(Indicate part of Report.)



# FINANCIAL DISCLOSURE REPORT

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_

Date

May 9, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544