

CHAMBERS OF  
THOMAS C. PLATT  
DISTRICT JUDGE

United States District Court  
Eastern District of New York  
Central Islip, New York 11722-9014

MAILING ADDRESS:  
P.O. Box 9014

EXPRESS MAIL ADDRESS:  
100 Federal Plaza

August 15, 2007

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
ATTN: Catherine Jackson  
Thurgood Marshall Federal Judiciary Building  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

Re: 2006 Report

Dear Ms. Jackson:

In re: GM stock in name of the undersigned and co-Trustee interest to the benefit of co-Trustee: Under an agreement that I made with the Chairman of one of your prior Committees (after his consultation with the Committee), I was required to report only a listing of the stock at the beginning and end of the year. If the stock (as was the case with GM in 2006) were sold by the Trustees during the year 2006, I needed only to report that it was not in the hands of the Trustees at the beginning of the year 2007.

With respect to the [REDACTED] of Host Hotels stock, it appears that on April 12, 2006, these [REDACTED] were spun off from Starwood Hotels with a value, at that time, of \$462.40 and was listed in my holdings at the end of the calendar year 2006.

If your Committee needs any further information, please let me know.

[REDACTED]  
United States District Judge

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**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2006**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial) PLATT, THOMAS C	<b>2. Court or Organization</b> EASTERN DISTRICT OF NEW YORK	<b>3. Date of Report</b> 04/30/2007
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (SENIOR)	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2006 to 12/31/2006
<b>7. Chambers or Office Address</b> U.S. DISTRICT COURT P.O. BOX 9014 CENTRAL ISLIP, NEW YORK 11722	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee		
2.		
3.		
4.		
5.		

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.	Trustee (Commissions - [REDACTED])	\$ 10,749.00
2.		
3.		
4.		
5.		

**B. Spouse's Non-Investment Income** - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

**IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. ALI/ABA	T*, F, L - H & [REDACTED] Charleston, South Carolina 4/30/06 - 5/2/06
2. ALI/ABA	T*, F, L - H & [REDACTED] China 6/5/06 - 6/15/06
3. Federal Judicial Center	T*, F, L - H & [REDACTED] New York, New York 3/16/06 - 3/17/06
4. Transferee Judges Conference	T*, F, L - H & [REDACTED] Palm Beach, Florida 1/9/06 - 1/12/06
5. Transferee Judges Conference	T*, F, L - H & [REDACTED] Palm Beach, Florida 10/17/06 - 10/19/06

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6.

\*H only.

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Allstate Corp. Common	B	Dividend	L	T					
2. Black & Decker Common	A	Dividend	K	T					
3. Burlington Res. Common	A	Dividend	N	T	Sold	04/03	K	G	Sold to Conoco Philips
4. Canadian Pacific (Ltd.) Common	A	Dividend	K	T					
5. Conoco Philips	C	Dividend	M	T					
6. Direct TV Group Inc.		None	J	T					
7. Dupont de Nemours Common	A	Dividend	K	T					
8. El Paso Corp. Common	A	Dividend	K	T					
9. Encana Corp. Common	A	Dividend	L	T					
10. Exxon Mobil Common	E	Dividend	P1	T					
11. Fairmont Hotels Common	A	Dividend			Merger Exch.	05/15	K	E	
12. Fording CDN Coal Trust Common	A	Dividend	J	T					
13. General Electric Common	E	Dividend	P1	T					
14. Halliburton Co. Common	D	Dividend	O	T					
15. Hartford Fin. Serv. Group Common	A	Dividend	J	T					
16. Host Hotels & Resorts	A	Dividend	J	T	Partial Sale	04/12	J	A	
17. IBM Common	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	P4 =More than \$50,000,000 T =Cash Market
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated		

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. International Paper Co. Common	A	Dividend	K	T					
19. ITT Industries Common	A	Dividend	J	T					
20. LL & E Royalty Trust		None			Sold	04/18	J		Loss T
21. Morgan Stanley Dean Witter Common	B	Dividend	L	T					
22. News Corp. Ltd. Preferred		None	J	T					
23. Pepsico Common	C	Dividend	M	T					
24. Progress Energy Common	A	Dividend	J	T					
25. Sears Holding Corp.		None	K	T					
26. Starwood Hotels Common	A	Dividend	J	T	Taxable Exch	04/10	J		
27. Sun Trust Common	A	Dividend	J	T					
28. Yum Brands Common	A	Dividend	K	T					
29. Scudder Gov't Money Fund Common	A	Dividend			Redemption	05/18	J		Scudder
30. Paine Webber Cash Fund	G	Int./Div.	P1	T					
31. Chase Bank	B	Interest	L	T					
32. US Series H Bonds	B	Interest	L	T					
33. Chase IRA's	A	Interest	J	T					
34. * * * * *									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting <b>PLATT, THOMAS C</b>	Date of Report 04/30/2007
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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Trustee - Trust Aunt	F	Dividend	P1	T					
36. Direct TV Group Inc.									
37. Du Pont De Nemours									
38. Electronic Data Sys Corp New									
39. Exxon Mobil Corp.									
40. Genl Electric Co									
41. Intl Paper Co									
42. News Corp. Ltd.									
43. Pepco Holdings, Inc.									
44. Raytheon Co CL A									
45. Xcel Energy Inc. (formerly Nthn States Power Minn)									
46. Paine Webber RMA-Govt Cash Fund									
47. U.S. Treasury Note									
48.									
49.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	



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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

In or about February 1994, Mrs. Platt and I purchased a two week annual [REDACTED] in a unit in Berkshire By The Sea for a value code "J," located in Delray Beach, Florida for use by us in the first two weeks in February in each succeeding year. I am advised that the "cost value" has not changed over the past thirteen years. We have not rented the unit to anyone in any of those years and are still using it as our annual two weeks [REDACTED] have never regarded it as an investment since we have not leased it and have used it solely for [REDACTED] purpose.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 1, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544