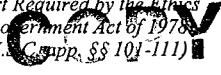


**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2006**



<b>1. Person Reporting (last name, first, middle initial)</b>  Ellis, III, Thomas S	<b>2. Court or Organization</b>  U.S. District Court, E.D. Va.	<b>3. Date of Report</b>  05/22/2007
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. District Judge (Senior)	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final  <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2006 to 12/31/2006
<b>7. Chambers or Office Address</b>  401 Courthouse Square 9th Floor Alexandria, VA 22314-5799	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Board Member	Dean's Intellectual Property Law Advisory Board, George Washington University
2. Member	Judicial Conference Advisory Committee on Appellate Rules
3. Judicial Member	Judicial Advisory Council on International Family Abduction
4.	
5.	

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Ellis, III, Thomas S

Date of Report

05/22/2007

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		
5.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Consumer Electronics Association
2.	
3.	
4.	
5.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. ABA Intellectual Property Law Section	Intellectual Property Program (Speaker) - Washington, D.C. - April 2006 - travel
2. Colorado Bar Association	Intellectual Property Program (Speaker) - Denver, CO - May 2006 - food/lodging/travel
3. ALI-ABA	Employment Law Program (Speaker) - Santa Fe, NM - July 2006 - food/lodging/travel
4. Sedona Conference	Patent Litigation Program (Speaker) - Sedona, AZ - October 2006 - food/lodging/travel
5.	

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Name of Person Reporting

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

**FINANCIAL DISCLOSURE REPORT**

<b>Name of Person Reporting</b> Ellis, III, Thomas S	<b>Date of Report</b> 05/22/2007
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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sensor		None	J	T					
2. Burke & Herbert Bank & Trust Co Accounts	C	Interest	M	T					
3. Burke & Herbert Bank & Trust Co (IRA #1)	A	Interest	J	T					
4. IRA #2:									
5. Calamos Growth Fd A		None			sell	9/29	K	B	
6. Causeway Intl Value Fund Ins Shs		None			sell	9/29	L	D	
7. Century Small Cap Select Fd Instl	A	Dividend			sell	10/16	K	B	
8. Cambiar Opportunity Fd		None			sell	9/29	L	D	
9. FMI Fds Focus Fd		None			sell	9/29	K	A	
10. Goldman Sachs Tr Finl Sq Mmkt 1	A	Dividend	J	T					
11. Hotchkiss & Wiley Mid Cap Value I		None			sell	9/29	K	C	
12. Julius Baer Intl Equity I		None			sell	9/29	L	E	
13. Kinetics Sm Cap Opportunities I		None			sell	9/29	K	D	
14. Legg Mason Value Trust		None			sell	9/29	L	C	
15. Royce Total Return Fd CLW		None			sell	9/29	K	B	
16. TCW Galileo Fds Select Eq I		None			sell	9/29	L	B	
17. TCW Galileo Fds Value Opp I		None			sell	9/29	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated	T =Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting Ellis, III, Thomas S	Date of Report 05/22/2007
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vanguard Windsor Fd II		None			sell	6/21	L	C	
19. Merrill Lynch Large	B	Dividend	K	T	buy	6/22	K		
20. Merrill Lynch Large	B	Dividend			sell	9/29	K	B	
21. Alger Sm Cap		None	J	T	buy	10/17	J		
22. Black Rock	A	Dividend	K	T	buy	6/22	J		
23. Calamos	A	Dividend	J	T	buy	11/17	J		
24. Cambiar	A	Dividend	K	T	buy	12/19	K		
25. DWS Dreman	A	Dividend	J	T	buy	10/17	J		
26. FMI Focus	B	Dividend	J	T	buy	10/30	J		
27. Julius Baer	C	Dividend	K	T	buy	12/29	J		
28. Kinetics Paradigm	A	Dividend	K	T	buy	6/22	J		
29. 401(k):									
30. Spartan U.S. Eq Index	A	Dividend			sell	10/11	K	A	
31. Fidelity Freedom 2020	B	Dividend			sell	10/11	L	B	
32. Fidelity Invst Gr Bd	A	Dividend			sell	10/11	J	A	
33. IRA #3:									
34. Baron Select Partners	A	Dividend	J	T	buy	10/24	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>Ellis, III, Thomas S</b>	Date of Report 05/22/2007
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Blackrock Large Cap Val A	A	Dividend	J	T	buy	10/24	J		
36. Bridgeway Ultra-Small Co	A	Dividend	J	T	buy	10/24	J		
37. Constell Sands Cap Inst		None	J	T	buy	10/24	J		
38. Diamond Hill Sm Cap FD-A	A	Dividend	J	T	buy	10/24	J		
39. Dodge & Cox Int'l Stck Fd		None	J	T	buy	10/24	J		
40. Hotchkiss & Wiley Cor Val I		None	J	T	buy	10/24	J		
41. Janus Md Cap Val Fd Inst	A	Dividend	J	T	buy	10/24	J		
42. Julius Baer Intl Equ II	A	Dividend	J	T	buy	10/24	J		
43. Kinetics S/C Opp Instl	A	Dividend	J	T	buy	10/24	J		
44. Munder M/C Core Growth Y		None	J	T	buy	10/24	J		
45. NUVN Trdwnds Int'l Val R	A	Dividend	J	T	buy	10/24	J		
46. Natnwde Mut S/C FD CL A		None	J	T	buy	10/24	J		
47. Pioneer Cullen Value - Y	A	Dividend	J	T	buy	10/24	J		
48. Sunamer Foc SC Grth CL A	A	Dividend	J	T	buy	10/24	J		
49. Crm Mid Cap Value Instl	A	Dividend	J	T	buy	10/24	J		
50. Wells Fargo Adv End SL-I	A	Dividend	J	T	buy	10/24	J		
51. GS Finl Sq Mmkt Instl	A	Dividend	J	T	buy	10/24	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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Name of Person Reporting	Date of Report
Ellis, III, Thomas S	05/22/2007

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

In Part VII, Page 2, line 29: This 401(k) was rolled over into and became new IRA #3 during October of 2006

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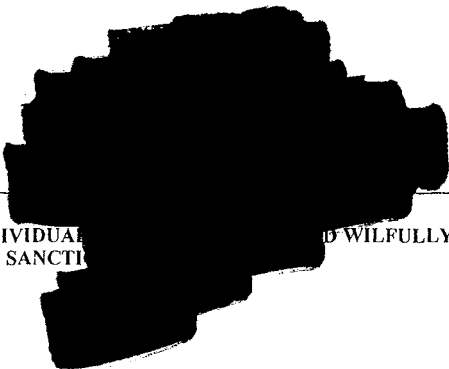
Name of Person Reporting	Date of Report
Ellis, III, Thomas S	05/22/2007

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_



Date \_\_\_\_\_

5/22/07

NOTE: ANY INDIVIDUAL WHO WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS.

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544