



United States District Court

Eastern District of Tennessee

Howard H. Baker Jr. U.S. Courthouse

800 Market Street

Knoxville, Tn 37902

June 28, 2006

Thomas W. Phillips
District Judge

(865) 545-4255
(865) 545-4243 (fax)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

RECEIVED
2006 JUL 10 A 11: 18
FINANCIAL
DISCLOSURE OFFICE

Re: Amendment to Calendar Year 2005 Filing

Dear Members of the Committee:

Thank you very much for your letter of June 14, 2006, in regard to my financial disclosure report for calendar year 2005. In response to your inquiry contained in the last paragraph on page 1, please be advised that the "Ameriprise Brokerage Account" listed in Part VII, page 4, line 4, is a cash-equivalent account and I should have included the designation "cash-equivalent" in the description in Column A.

In response to your inquiry contained in paragraph (1), contained on page 2 of your letter of June 14, 2006, please be advised that the "RVS U.S. Gov't Fund Class B" was an account inherited by [REDACTED] from [REDACTED] who died on January 22, 2005. Therefore, I would like to amend my financial disclosure report for calendar year 2005 as follows:

(1) In part VII, page 5, line 24, the parenthetical "(X)" should be inserted alongside the asset name as instructed in the heading to column A, indicating that the asset was received by [REDACTED] in 2005 as an inheritance.

Thank you very much for your assistance in this matter. I know that going over the multitude of financial disclosure reports you receive is not an easy task, and I appreciate your willingness to help us comply with our statutory obligation.

Respectfully yours,

A large, solid black rectangular redaction covering the signature of the sender.

Thomas W. Phillips
United States District Judge

Enclosures

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

1. Person Reporting (last name, first, middle initial) Phillips, Thomas W	2. Court or Organization Eastern District of Tennessee	3. Date of Report 04/28/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address 800 Market Street Suite 145 Knoxville, TN 37902	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

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 U.S. DEPARTMENT OF JUSTICE

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Phillips, Thomas W

Date of Report

04/28/2006

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2005	ONEIDA INDEPENDENT SCHOOL SYSTEM (TEACHER) 0.00
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Phillips, Thomas W

Date of Report

04/28/2006

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)* NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. ATTEND ANNUAL REVIEW SEMINAR W/O CHARGE	TENNESSEE LAW INSTITUTE	\$ 400.0
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)* NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting Phillips, Thomas W	Date of Report 04/28/2006
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. J.C. BRADFORD-WALMART CST	A	Dividend	L	T	SOLD	5/18	L	E	
2. CD-1ST NAT'L BANK, ONEIDA, TN	A	Interest			RED	7/14	J	A	
3. CD-1ST NAT'L BANK, ONEIDA, TN	B	Interest			RED	10/13	K	B	
4. AMERIPRISE BROCKERAGE ACCOUNT	A	Interest	J	T					
5. CBRL GROUP, INC.	A	Dividend	J	T					
6. CISO SYSTEMS, INC.	B	Dividend	J	T					
7. AXP STOCK MARKET CERTIFICATE	A	Interest	J	T					
8. ACTIVISION, INC.	A	Dividend	J	T					
9. AMEX TRUST CO. - IRA	D	Dividend/ <i>Interest</i>	N	T					
10. --AMEX FLEXIBLE PORTFOLIO ANNUITY	D	Dividend/ <i>Interest</i>	O	T					
11. --AMERICAN EXPRESS FUNDS	A	Interest	J	T	SOLD	12/12	J	A	
12. --FIDELITY ADVISOR FUNDS	B	Dividend	K	T					
13. --VAN KAMPEN FUNDS	A	Dividend	J	T					
14. --ACCENTURE	A	Dividend	J	T	SOLD	12/28	J	A	
15. --STRATEGIC PORTFOLIO SVC ADVANTAGE	D	Dividend	M	T					
16. --EASTMAN KODAK CO.	A	Dividend	J	T	SOLD	8/5	J	A	
17. --LIFEPOINT HOSPITALS, INC.	A	Dividend	J	T	SOLD	12/28	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Phillips, Thomas W

Date of Report

04/28/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --RF MICRO DEVICES, INC.	A	Dividend	J	T	SOLD	12/28	J	A	
19. --RYLAND GROUP, INC.	B	Dividend	J	T	SOLD	12/28	J	C	
20. AMEX - TRUST CO. IRA	D	Dividend/ <i>Interest</i>	L	T					
21. --AMEX FLEXIBLE PORTFOLIO ANNUITY	C	Dividend/ <i>Interest</i>	L	T					
22. --STRATEGIC PORTFOLIO SVC ADVANTAGE	A	Dividend	K	T					
23. --VAN KAMPEN FUNDS	A	Dividend	J	T	SOLD	12/23	J	A	
24. RVS U.S. GOVT FUND CLASS B	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Phillips, Thomas W

Date of Report

04/28/2006

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Did not teach in 2005.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting	Date of Report
Phillips, Thomas W	04/28/2006

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature  Date 4/28/06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544