AO 10 Rev. 1/2008

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Phillips, Thomas W	**2. Court or Organization** Eastern District of Tennessee	**3. Date of Report** 05/01/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge Active	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2007 to 12/31/2007
7. Chambers or Office Address 800 Market Street Suite 145 Knoxville, TN 37902	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer**________ **Date**________	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Phillips, Thomas W	05/01/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2007	ONEIDA INDEPENDENT SCHOOL SYSTEM (TEACHER) 0.00
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Phillips, Thomas W	05/01/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	ATTEND ANNUAL REVIEW SEMINAR W/O CHARGE	TENNESSEE LAW INSTITUTE	$ 400.0
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Phillips, Thomas W	05/01/2008

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. CD-1ST NAT'L BANK, ONEIDA, TN	A	Interest			RED	1/16	J	A	
2. CD-1ST NAT'L BANK, ONEIDA, TN	B	Interest			RED	4/17	K	B	
3. AMERIPRISE BROCKERAGE ACCOUNT (CASH EQUIVALENT)	A	Interest	J	T					
4. CBRL GROUP, INC.	A	Dividend	J	T					
5. RVS U.S. GOVT FUND CLASS B (X)	A				SOLD	1/10	K	B	
6. AMEX TRUST CO. - IRA	E	Int./Div.	N	T					
7. --STRATEGIC PORTFOLIO SVC ADVANTAGE	E				SOLD	1/10	O	E	
8. --FIDELITY ADVISOR STRATEGIC INCOME T	C	Dividend	M	T	BUY	1/10	M		
9. --RIVERSOURCE CASH MANAGEMENT A	B	Dividend	L	T	BUY	1/10	L		
10. --RIVERSOURCE MID CAP VALUE A	B	Dividend	L	T	BUY	1/10	L		
11. --FIDELITY ADVISOR NEW INSIGHTS T	B	Dividend	K	T	BUY	1/12	K		
12. --RIVERSOURCE INTL SELECT VALUE A	B	Dividend	K	T	BUY	1/29	K		
13. --AMERICAN CENTURY EQUITY INCOME A	B	Dividend	K	T	BUY	2/16	K		
14. --AMERICAN CENTURY LONG-SHORTEQUITY A	B	Dividend	K	T	BUY	6/1	K		
15. --RIVERSOURCE CASH MANAGEMENT A	A	Dividend	J	T	BUY	6/1	J		
16. --FIDELITYADVISOR DIVERSIFIED INTL T	A	Dividend	J	T	BUY	9/5	J		
17. --RIVERSOURCE DIVERSIFIED EQUITY INC A	A	Dividend	J	T	BUY	8/6	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Phillips, Thomas W	05/01/2008

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --RIVERSOURCE CASH MANAGEMENT A	A	Dividend	J	T	BUY	2/16	J		
19. --LOOMIS STRAT INCOME	A	Dividend	J	T	BUY	11/5	J		
20. AMEX - TRUST CO. IRA	E	Int./Div.	N	T					
21. --STRATEGIC PORTFOLIO SVC ADVANTAGE	B				SOLD	1/10	L	C	
22. --AMERICAN CENTURY EQUITY INCOME A	C	Dividend	L	T	BUY	1/10			
23. --FIDELITY ADVISOR NEW INSIGHTS T	C	Dividend	L	T	BUY	1/10			
24. --AMERICAN CENTURY LONG-SHORT EQUITY A	B	Dividend	K	T	BUY	1/10			
25. --RIVERSOURCE INTL SELECT VAULE A	B	Dividend	K	T	BUY	8/3			
26. --FIDELITY ADVISOR STRATEGIC INCOME T	B	Dividend	K	T	BUY	6/1	K		
27. --RIVERSOURCE INTL SELECT VALUE A	B	Dividend	K	T	BUY	8/3	K		
28. --RAINIER SMALL/MID CAP EQUITY	B	Dividend	K	T	BUY	6/1	K		
29. --RIVERSOURCE DIVERSIFIED EQUITY INC A	B	Dividend	K	T	BUY	8/3	K		
30. --SELECTED AMERICAN SHARES S	B	Dividend	K	T	BUY	6/1	K		
31. --RAINIER SMALL/MID CAP EQUITY	B	Dividend	K	T	BUY	6/1	K		
32. --FRANKLIN INCOME A	A	Dividend	J	T	BUY	6/1	J		
33. --FIDELITY ADVISOR DIVERSIFIED INTL T	A	Dividend	J	T	BUY	9/5	J		
34. --FIDELITY ADVISOR NEW INSIGHTS T	A	Dividend	J	T	BUY	9/5	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Phillips, Thomas W	05/01/2008

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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. FIDELITY ADVISOR STRATEGIC INCOME T	A	Dividend	J	T	BUY	6/1	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Did not teach in 2007.

Name of Person Reporting	Date of Report
Phillips, Thomas W	05/01/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544