FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Phillips, Thomas W.	**2. Court or Organization** Eastern District of Tennessee	**3. Date of Report** 04/14/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge Active	**5a. Report Type (check appropriate type)** ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2010 to 12/31/2010
7. Chambers or Office Address 800 Market Street Suite 145 Knoxville, TN 37902	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer**__________ **Date**______	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Phillips, Thomas W.	04/14/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2010	ONEIDA INDEPENDENT SCHOOL SYSTEM (RETIRED TEACHER) 0.00
2.		
3.		
4.		

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Phillips, Thomas W.	04/14/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	ATTEND ANNUAL REVIEW SEMINAR W/O CHARGE	TENNESSEE LAW INSTITUTE	$400.0
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Phillips, Thomas W.	04/14/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CD-1ST NAT'L BANK, ONEIDA, TN	A	Interest	J	T	Redeemed (part)	01/08/10	J	A	
2. CD-1ST NAT'L BANK, ONEIDA, TN	B	Interest	K	T	Redeemed (part)	04/19/10	K	B	
3. AMERIPRISE BROCKERAGE ACCOUNT (CASH EQUIVALENT)	A	Interest	J	T					
4. CBRL GROUP, INC.	A	Dividend	J	T					
5. AMEX TRUST CO. - IRA	D	Int./Div.	N	T					
6. --AMERIPRISE INS MONEY MARKET	A	Interest	J	T					
7. --OAKMARK EQ & INCOME - I OAKMARK FUNDS	A	Dividend			Sold	06/15/10	K	A	
8. --ISHARES BARCLAYS AGGREGATE BD FUND	B	Dividend			Sold	12/16/10	L	B	
9. --ISHARES MSCI EMERGING MARKETS INDEX	A	Dividend	K	T					
10. --ISHARES S&P 500 GROWTH INDEX FUNDS	B	Dividend	K	T					
11. --ISHARES MSCI EAFE INDEX FUND	A	Dividend	K	T					
12. --ISHARES RUSSELL 1000 VALUE INDEX FUND	B	Dividend	K	T					
13. --ISHARES IBOXX HIGH YIELD CORPORATE BOND FUND	B	Dividend	K	T					
14. --ISHARES LEHMAN 1-3 YEAR CREDIT BOND FUND	B	Dividend	K	T					
15. --ISHARES LEHMAN 3-7 YEAR TREASURY BOND FUND	A	Dividend			Sold	12/16/10	K	A	
16. --SPDR DJ WILSHIRE MID CAP VALUE ETF	A	Dividend	K	T					
17. --VANGUARD MID-CAP GROWTH INDEX FUND	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B=$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. --COLUMBIA FUNDS GROUP	A	Dividend	K	T	Buy	12/14/10	K		
19. --ISHARES LEHMAN 1-3 YR CREDIT	A	Dividend	K	T	Buy	12/16/10	K		
20. --ISHARES LEHMAN 1-3 YR CREDIT	A	Dividend	J	T	Buy	12/16/10	J		
21. AMEX - TRUST CO. IRA	C	Int./Div.	N	T					
22. --AMERIPRISE INS MONEY MARKET	A	Interest	J	T					
23. --ISHARES BARCLAYS AGGREGATE BD FUND	B	Dividend			Sold	12/16/10	K	B	
24. --ISHARES MSCI EMERGING MARKETS INDEX	A	Dividend	J	T					
25. --ISHARES S&P 500 GROWTH INDEX FUND	A	Dividend	J	T					
26. --ISHARES MSCI EAFE INDEX FUND	A	Dividend	J	T					
27. --ISHARES RUSSELL 1000 VALUE	A	Dividend	J	T					
28. --ISHARES IBOXX HIGH YIELD	A	Dividend	J	T					
29. --ISHARES LEHMAN 1-3 YEAR CREDIT BOND FUND	A	Dividend	J	T					
30. --ISHARES LEHMAN 3-7 YEAR TREASURY BOND FUND	A	Dividend			Sold	12/16/10	J	A	
31. --SPDR DJ WILSHIRE MID CAP VALUE ETF	A	Dividend	J	T					
32. --VANGUARD MID-CAP GROWTH INDEX FUND	A	Dividend	J	T					
33. --COLUMBIA FUNDS GROUP	A	Dividend	J	T	Buy	12/14/10	J		
34. --ISHARES LEHMAN 1-3 YR CREDIT	A	Dividend	J	T	Buy	12/16/10	K		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. --FIDELITY ADVISOR STRATEGIC INCOME T	A	Dividend			Sold	12/16/10	J	A	
36. RVS STRAT INC ALLOC - A RIVERSOURCE MUTUAL FUNDS	B	Dividend	K	T					
37. GLD STRAT INCOME -A GOLDMAN SACHS ASSET MGMT	A	Dividend	K	T	Buy	01/11/10	K		
38. ISHARES BARCLAYS AGGREGATE BD FUND	B	Dividend			Sold	12/16/10	K	B	
39. ISHARES MSCI EMERGING MARKETS INDEX	A	Dividend	J	T					
40. ISHARES S&P 500 GROWTH INDEX FUND	A	Dividend	K	T					
41. ISHARES MSCI EAFE INDEX FUND	A	Dividend	J	T					
42. ISHARES RUSSELL 1000 VALUE	A	Dividend	K	T					
43. ISHARES IBOXX HIGH YIELD CORPORATE BOND FUND	A	Dividend	J	T					
44. ISHARES LEHMAN 1-3 YEAR CREDIT BOND FUND	A	Dividend	J	T					
45. GLD STRAT INCOME -A GOLDMAN SACHS ASSET MGMT	A	Dividend	K	T	Sold (part)	10/18/10	K		
46. GLD STRAT INCOME -A GOLDMAN SACHS ASSET MGMT	A	Dividend	K	T	Sold (part)	11/16/10	K		
47. GLD STRAT INCOME -A GOLDMAN SACHS ASSET MGMT	A	Dividend	K	T	Sold (part)	12/16/10	K		
48. ISHARES LEHMAN 3-7 YEAR TREASURY BOND FUND	A	Dividend			Sold	12/16/10	J	A	
49. SPDR DJ WILSHIRE MID CAP VALUE ETF	A	Dividend			Sold	12/16/10	J	A	
50. VANGUARD MID-CAP GROWTH INDEX FUND	A	Dividend			Sold	12/16/10	J	A	
51. COLUMBIA FUNDS GROUP A	A	Dividend	K	T	Buy	12/14/10	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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52. ISHARES LEHMAN 1-3 YR CREDIT	A	Dividend	K	T	Buy	12/14/10	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Did not teach in 2010.

Name of Person Reporting	Date of Report
Phillips, Thomas W.	04/14/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas W. Phillips

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544