UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

URSULA UNGARO
DISTRICT JUDGE

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FINANCIAL
DISCLOSURE OFFICE

May 22, 2007

Hon. Ortrie D. Smith, Chair
Judicial Conference Committee
On Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2006 Filing

Dear Judge Smith,

In response to your letter of May 8, 2007, please be advised that the Intermountain PWR AGY Utah REV Bond was inadvertently omitted from my 2005 Financial Disclosure Report. The omission came about because in my 2004 Financial Disclosure Report (which was filed on May 10, 2005) I reported the bond as sold when I actually had sold only a portion of it. When I prepared my 2005 Financial Disclosure Report using the standard software, I saw from the imported data that the bond had been sold (as opposed to partially sold) in 2004 and deleted it without double-checking my account statement.

This year, after making the entries on Part VII based on the imported data, I took the time to verify the list of reportable assets against my account statement, discovered that I had not included it and, therefore, reported it. At the time, I did not realize that I had omitted it from my 2005 Financial Disclosure Report. In any event, the bond had the same value based on the same valuation method at the end of the 2005 reporting period and should have been included on the 2005 Report.

Please advise me whether you would like me to amend my 2005 Financial Disclosure Report to correspond to the above explanation.

[redacted]

301 N. MIAMI AVENUE ELEVENTH FLOOR MIAMI, FL 33128

AO 10 Rev. 1/2007

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
UNGARO, URSULA M (formerly Ursula Ungaro-Benages)	UNITED STATES DISTRICT COURT	04/09/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
DISTRICT COURT JUDGE (ACTIVE)	☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2006 to 12/31/2006
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
301 N. MIAMI AVENUE ROOM 1117 MIAMI, FLORIDA 33128	Reviewing Officer________ Date______	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

☒ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

☒ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
UNGARO, URSULA M	04/09/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

[X] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			
5.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

[X] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		
5.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

[X] NONE *(No reportable reimbursements.)*

	SOURCE	DESCRIPTION
1.		
2.		
3.		
4.		
5.		

Name of Person Reporting	Date of Report
UNGARO, URSULA M	04/09/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

[X] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

[X] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
UNGARO, URSULA M	04/09/2007

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Franklin Valuemark IV Annuity		None	M	T					
2. Smith Barney Money Market	A	Interest	J	T					
3. Allen Co. Ind. Pub.Lib. Bond	B	Interest	L	T					
4. Hamburg Township, N.Y. Pub. Imp. Bond	B	Interest	L	T					
5. Miami Dade Aviation Rev. Bond	C	Interest	L	T					
6. Miami Dade Co. Fla. Waste Sys Bond	B	Interest	L	T					
7. Mount Prospect, Ill. Library Bond	B	Interest	L	T					
8. Regional Transp. Dist. Colo. Sales Tax Bond	C	Interest	L	T					
9. Texas Womens Univ. Bond	B	Interest	L	T					
10. Thurston Co. Wash. Rev. Bond	B	Interest	L	T					
11. Arizona Sch. Board Series A Bond	A	Interest	K	T					
12. Intermountain PWR AGY UtahREV Bond	B	Interest	K	T					
13. Broward Co. Fla. Airport Revenue Bond	B	Interest	K	T					
14. Hillsborough Co. Fla. Avitn-D-V/r RV Bond	A	Interest	M	T	buy	12/11	M		
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
UNGARO, URSULA M	04/09/2007

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C=$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
UNGARO, URSULA M	04/09/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

In November, 2006 I was notified by the Claims Resolution Tribunal which is responsible for administering claims in In Re Holocaust Victim Assets Litigation, [redacted], which I believe is pending n the Eastern District of New York, that I was to receive [redacted]n account of my status as the [redacted] who was the owner of accounts at an undisclosed Swiss bank. I received the funds in this amount in early December, 2006 and I invested [redacted] the proceeds in the bond reflected at line 14 of Part VII.

Name of Person Reporting	Date of Report
UNGARO, URSULA M	04/09/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] **Date** 4/09/07

NOTE: ANY INDIVI[redacted] **FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544