


SELF INITIATED  
AMENDMENT

UNITED STATES COURT OF APPEALS  
FOR THE NINTH CIRCUIT

125 SOUTH GRAND AVENUE  
P.O. BOX 91510  
PASADENA, CALIFORNIA 91109-1510

TEL: (626) 229-7373  


CHAMBERS OF  
A. WALLACE TASHIMA  
UNITED STATES CIRCUIT JUDGE


September 8, 2008

Committee on Financial Disclosure  
c/o Administrative Office of U.S. Courts  
One Columbus Circle, NE, Suite 2-301  
Washington, DC 20544

RECEIVED  
2008 SEP 15 A 11:32  
FINANCIAL  
DISCLOSURE OFFICE

Re: Amendment to 2007 Financial Disclosure Report

Dear Committee Members:

Subsequent to the filing of my 2007 Report, I was reminded that  former corporation is now defunct and its shares worthless. I therefore amend my 2007 Report, as follows:

(1) With respect to Part I, delete the entry listed in line 1; instead, check the Box for "None."

(2) With respect to Part VII, delete the entry on line 7. I am not listing any "transaction" for that line because there was no transaction, the corporation simply was dissolved.

I hereby also enclose three copies of this letter amendment.

Very truly yours,  


encl.

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2007**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial)  TASHIMA, A. WALLACE	<b>2. Court or Organization</b>  U.S.C.A. - Ninth Circuit	<b>3. Date of Report</b>  07/14/2008
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. Circuit Judge - Senior	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2007 to 12/31/2007
<b>7. Chambers or Office Address</b>  125 South Grand Avenue Pasadena, CA 91105-1652	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director & Secretary	K.I.T. & Company, Inc. (incorporated business)
2.	
3.	
4.	
5.	

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting  
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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 3/27/07	Thomson/West, Eagan, MN - Royalties	\$ 21,085.00
2. 9/20/07	Thomson/West, Eagan, MN - Royalties	\$ 50,274.00
3.		
4.		

**B. Spouse's Non-Investment Income -** If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Cardozo Law School	3/23/07 - 3/25/07	New York	Moot Court	Transportation, Hotel & Meals
2.	N.Y.U. Law School	4/1/07 - 4/3/07	New York	Korematsu Lecture	Transportation, Hotel & Meals
3.	University of California	6/15/07 - 6/17/07	Berkeley, CA	Jefferson Lecture	Transportation, Hotel & Meals
4.	Emory Law School	10/20/07 - 10/21/07	Atlanta, GA	Moot Court	Transportation, Hotel & Meals
5.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Washington Mutual, Los Angeles, CA	Mortgage on investment property	0
2.			
3.			
4.			
5.			

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Dreyfus Liquid Asset Fund	C	Dividend	L	T					
2. Rowe Price Int'l Bond Fund	A	Dividend	J	T					
3. John Hancock Money Market Fund	C	Dividend	L	T					
4. Rowe Price Equity Income Fund	B	Dividend	K	T					
5. W.L. Morgan Growth Fund (Vanguard)	A	Div. & C.G	K	T					
6. Vanguard Windsor II Fund	C	Div. & C.G	K	T					
7. K.I.T. & Company, Inc. (common stock & notes)	A	None	M	U					
8. Citibank	D	Interest	M	T					
9. Honeywell Intl. Common	A	Dividend	J	T					
10. Chas. Schwab & Co. Brokerage Acct. Money Market	A	Dividend	J	T					
11. Hendricks Villa, Inc., common	G	Dividend	O	U					
12. Citibank -Checking (2)	A	Interest	K	T					
13. Citibank - Savings	D	Interest	L	T					
14. Janus Research Fund	A	Dividend	K	T					
15. Rental Prop. #2, L.A., CA Appraisal Date: 12/17/03	A	Rent	P1	Q					
16. Janus Orion Fund	A	Dividend	J	T					
17. Bank of America	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000 <sup>1</sup> - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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07/14/2008

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Washington Mutual Bank	B	Interest	K	T					
19. Hendricks Villa Inc., common (Trustee)	G	Dividend	P1	W					
20. Promissory Note (Trustee)	D	Interest	N	T					
21. Citibank Trust		None	J	T					
22. Citibank Trust (CYP)		None	J	T					
23. Citibank Custodian		None	J	T					
24. Citibank Custodian		None	J	T					
25. Citibank Custodian		None	J	T					
26. Golden State Scholarshare Trust	A	Dividend	L	T					
27. Golden State Scholarshare Trust	A	Dividend	L	T					
28. Golden State Scholarshare Trust	A	Dividend	L	T					
29.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

All of the assets listed in Part VII, lines 19-28, are held by [REDACTED] trustee (or otherwise in a trust capacity) and I have no beneficial interest in said assets.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544